

# **Fiscal Year 2012 Budget Request Governor's Recommendations**



**DIFP**

Department of Insurance,  
Financial Institutions &  
Professional Registration

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**Jeremiah W. (Jay) Nixon**  
Governor

**John M. Huff**  
Director

Missouri Department of Insurance, Financial Institutions and Professional Registration  
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## Department of Insurance, Financial Institutions and Professional Registration Overview

Created in 2006, the department consists of the former Missouri Department of Insurance and the Divisions of Finance, Credit Unions and Professional Registration formerly within the Missouri Department of Economic Development. The department protects consumers through oversight of the insurance industry, financial institutions and licensed professionals.

The department organizational structure includes the director's office and seven divisions.

**Director's Office:** Charged with overseeing operations of the department. The director's office handles regulatory transactions, provides legal assistance to other divisions in the department and coordinates dissemination of department information to the public.

**Resource Administration Division:** Responsible for department-wide administrative support functions—including accounting, human resources, budget and information systems. The division is also responsible for the licensing of insurance producers operating within the state as well as public adjusters, bail bondsmen and surplus lines brokers.

**Consumer Affairs Division:** Answers around 30,000 calls each year from Missourians about insurance through the department's consumer hotline. In disputed insurance claims, the division acts as a liaison between the consumer and the insurance company. The division investigates complaints submitted by the public alleging unfair or unlawful acts committed by insurance companies and producers. The division also provides insurance education and outreach activities to Missouri citizens.

**Insurance Market Regulation Division:** Reviews around 10,000 policies, rates, products and other pertinent material filed by insurance companies each year to ensure compliance with state statutes and regulations. Performs market conduct examinations of insurance companies to ensure equitable treatment of policyholders, determine compliance with the statutes and regulations of the state; and actively monitor the insurance marketplace.

**Insurance Company Regulation Division:** Responsible for monitoring and analyzing the financial solvency of insurance companies licensed in the state. The division performs financial examinations of insurance companies to prevent insolvencies and to take the appropriate action against financially unsound or incompetently managed companies. Also certifies and collects about \$200 million in premium taxes due the state.

**Division of Credit Unions:** Responsible for the examination, supervision, chartering, merger and liquidation of Missouri's 129 state-chartered credit unions. The division also responds to consumer complaints against credit union services or operations. Missouri credit unions have approximately 1.2 million member and assets of \$9.9 billion. Missouri ranks seventh in the nation in the number of state-chartered credit unions.

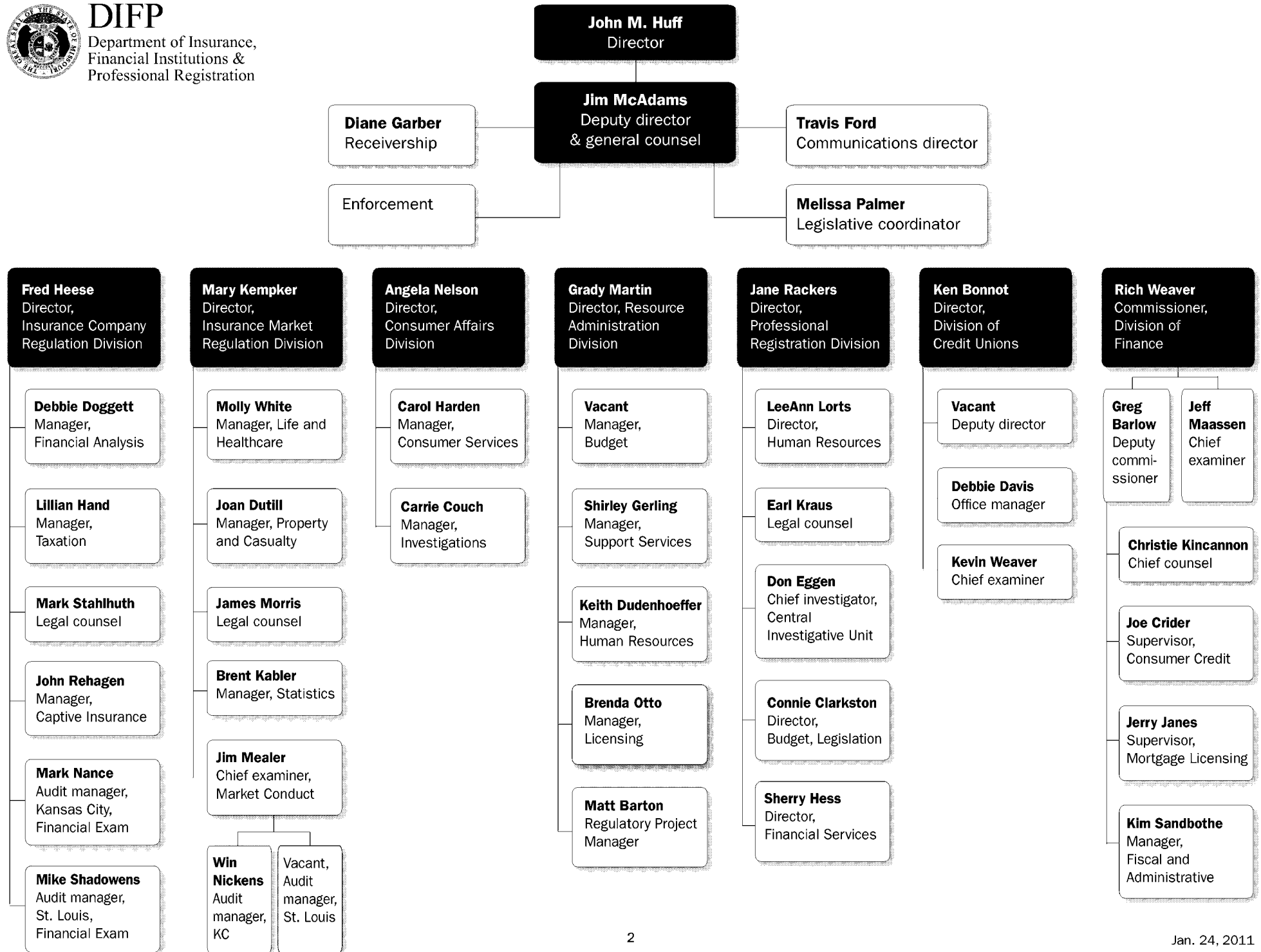
**Division of Finance:** Responsible for the incorporation and regulation of Missouri's 281 state-chartered banks, non-deposit trust companies, and savings and loan associations. The division also licenses and regulates consumer credit companies, credit services organizations, money order companies and mortgage loan originators. Primary objectives include ensuring the safety and soundness of these institutions and the monitoring of compliance with laws and regulations, thereby safeguarding the funds of depositors and maintaining public confidence in Missouri's financial system. Missouri ranks fifth in the nation in the number of state-chartered banks.

**Division of Professional Registration:** Responsible for supporting 39 professional licensing boards and commissions in licensing and regulating the activities of over 400,000 Missourians representing approximately 240 different trades and professions. The boards and commissions process applications, administers examinations and, when warranted, conduct investigations into possible professional misconduct and may take disciplinary action against the practitioner.



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Department of Insurance,  
Financial Institutions &  
Professional Registration



# Missouri Department of Insurance, Financial Institutions and Professional Registration

## State Auditor's Reports, Oversight Evaluations and Missouri Sunset Act Reports

<b>Program or Division Name</b>	<b>Type of Report</b>	<b>Date Issued</b>	<b>Website</b>
Department of Insurance, Financial Institutions and Professional Registration - INSURANCE Two Years Ended June 30, 2009	Audit	8/2010	<a href="http://www.auditor.mo.gov/press/2010-99.htm">www.auditor.mo.gov/press/2010-99.htm</a>
Department of Insurance Three Years Ended June 30, 2007	Audit	12/1/2007	<a href="http://www.auditor.mo.gov/press/2007-84.htm">www.auditor.mo.gov/press/2007-84.htm</a>
Program Evaluation: Insurance Mandates	Oversight Evaluation	9/2006	<a href="http://www.moga.mo.gov/oversight/audits.htm">www.moga.mo.gov/oversight/audits.htm</a>
Division of Professional Registration, State Board of Cosmetology	Audit	2/2006	<a href="http://www.auditor.mo.gov/press/2006-07.htm">www.auditor.mo.gov/press/2006-07.htm</a>
Division of Professional Registration, State Board of Barber Examiners	Audit	2/2006	<a href="http://www.auditor.mo.gov/press/2006-06.htm">www.auditor.mo.gov/press/2006-06.htm</a>
Department of Insurance Two Years Ended June 30, 2004	Audit	10/2005	<a href="http://www.auditor.mo.gov/press/2005-75.htm">www.auditor.mo.gov/press/2005-75.htm</a>
Department of Insurance Three Years Ended June 30, 2002	Audit	7/31/2003	<a href="http://www.auditor.mo.gov/press/2003-77.pdf">www.auditor.mo.gov/press/2003-77.pdf</a>
Department of Insurance Division of Consumer Affairs Complaint Processing	Audit	6/13/2002	<a href="http://www.auditor.mo.gov/press/2002-43.pdf">www.auditor.mo.gov/press/2002-43.pdf</a>
Division of Professional Registration, Missouri Board for Architects, Professional Engineers, and Professional Land Surveyors.	Audit	9/26/2001	<a href="http://www.auditor.mo.gov/press/2001-98.htm">www.auditor.mo.gov/press/2001-98.htm</a>
State Departments' Travel Regulations, Policies and Procedures	Audit	9/25/2001	<a href="http://www.auditor.mo.gov/press/2001-95.pdf">www.auditor.mo.gov/press/2001-95.pdf</a>
Division of Professional Registration and the Missouri State Board of Nursing	Audit	8/22/2001	<a href="http://www.auditor.mo.gov/press/2001-64.htm">www.auditor.mo.gov/press/2001-64.htm</a>
Department of Insurance	Audit	4/3/2000	<a href="http://www.auditor.mo.gov/press/2000-22.pdf">www.auditor.mo.gov/press/2000-22.pdf</a>

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**DIFP****DECISION ITEM SUMMARY**

Budget Unit								
Decision Item	FY 2010	FY 2010	FY 2011	FY 2011	FY 2012	FY 2012	FY 2012	FY 2012
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
<b>DEPT ADMINISTRATION</b>								
<b>CORE</b>								
PERSONAL SERVICES								
DIFP ADMINISTRATIVE	152,950	2.55	153,121	5.00	153,121	5.00	153,121	5.00
TOTAL - PS	152,950	2.55	153,121	5.00	153,121	5.00	153,121	5.00
EXPENSE & EQUIPMENT								
DIFP ADMINISTRATIVE	13,879	0.00	42,157	0.00	42,157	0.00	42,157	0.00
TOTAL - EE	13,879	0.00	42,157	0.00	42,157	0.00	42,157	0.00
<b>TOTAL</b>	<b>166,829</b>	<b>2.55</b>	<b>195,278</b>	<b>5.00</b>	<b>195,278</b>	<b>5.00</b>	<b>195,278</b>	<b>5.00</b>
<b>GRAND TOTAL</b>	<b>\$166,829</b>	<b>2.55</b>	<b>\$195,278</b>	<b>5.00</b>	<b>\$195,278</b>	<b>5.00</b>	<b>\$195,278</b>	<b>5.00</b>

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# CORE DECISION ITEM

Department of Insurance, Financial Institutions & Professional Registration					Budget Unit <u>37502C</u>				
Core - Department Administration									
1. CORE FINANCIAL SUMMARY									
FY 2012 Budget Request					FY 2012 Governor's Recommendation				
	GR	Federal	Other	Total		GR	Fed	Other	Total
PS	0	0	153,121	153,121	PS	0	0	153,121	153,121
EE	0	0	42,157	42,157	EE	0	0	42,157	42,157
PSD	0	0	0	0	PSD	0	0	0	0
TRF	0	0	0	0	TRF	0	0	0	0
Total	0	0	195,278	195,278	Total	0	0	195,278	195,278
FTE	0.00	0.00	5.00	5.00	FTE	0.00	0.00	5.00	5.00
Est. Fringe	0	0	85,212	85,212	Est. Fringe	0	0	85,212	85,212
Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.					Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.				
Other Funds: DIFP Administrative Fund (0503)					Other Funds: DIFP Administrative Fund (0503)				
2. CORE DESCRIPTION									
Appropriation supports a portion of department administration staff providing department-wide direction and assistance to all divisions within the department through legislative coordination, human resources, accounting, budget and planning. Department Administration staff also provide coordination and administration of department-wide issues such as policy and procedure development and setting department objectives.									
3. PROGRAM LISTING (list programs included in this core funding)									
Department Administration									

# **CORE DECISION ITEM**

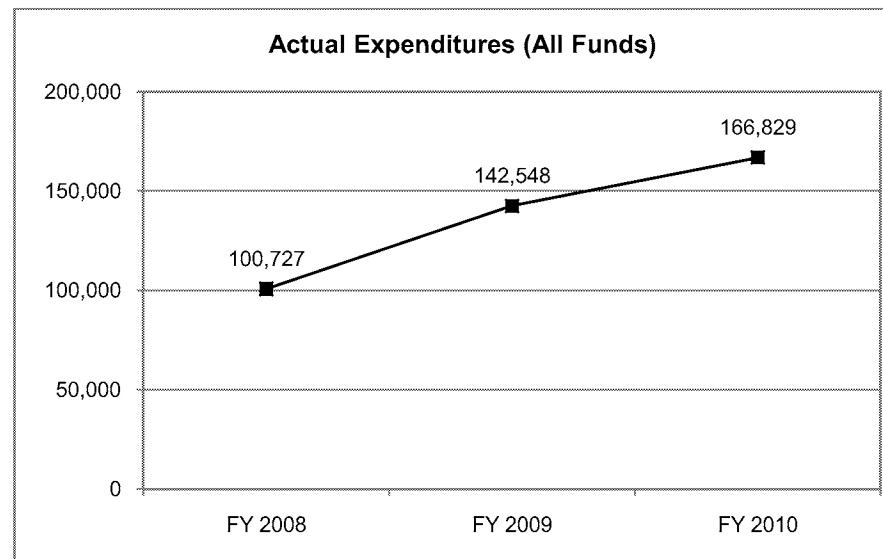
**Department of Insurance, Financial Institutions & Professional Registration**

**Budget Unit** 37502C

**Core - Department Administration**

## **4. FINANCIAL HISTORY**

	<b>FY 2008 Actual</b>	<b>FY 2009 Actual</b>	<b>FY 2010 Actual</b>	<b>FY 2011 Current Yr.</b>
Appropriation (All Funds)	190,818	195,278	195,278	195,278
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	190,818	195,278	195,278	N/A
Actual Expenditures (All Funds)	100,727	142,548	166,829	N/A
Unexpended (All Funds)	90,091	52,730	28,449	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	90,091	52,730	28,449	N/A



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

### **NOTES:**

**CORE RECONCILIATION DETAIL**

DIFP  
DEPT ADMINISTRATION

**5. CORE RECONCILIATION DETAIL**

				Budget Class	FTE	GR	Federal	Other	Total	Explanation
<b>TAFP AFTER VETOES</b>										
				PS	5.00	0	0	153,121	153,121	
				EE	0.00	0	0	42,157	42,157	
				<b>Total</b>	<b>5.00</b>	<b>0</b>	<b>0</b>	<b>195,278</b>	<b>195,278</b>	
<b>DEPARTMENT CORE ADJUSTMENTS</b>										
Core Reallocation	1491	3652		PS	(0.00)	0	0	0	(0)	
<b>NET DEPARTMENT CHANGES</b>					<b>(0.00)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>(0)</b>	
<b>DEPARTMENT CORE REQUEST</b>										
				PS	5.00	0	0	153,121	153,121	
				EE	0.00	0	0	42,157	42,157	
				<b>Total</b>	<b>5.00</b>	<b>0</b>	<b>0</b>	<b>195,278</b>	<b>195,278</b>	
<b>GOVERNOR'S RECOMMENDED CORE</b>										
				PS	5.00	0	0	153,121	153,121	
				EE	0.00	0	0	42,157	42,157	
				<b>Total</b>	<b>5.00</b>	<b>0</b>	<b>0</b>	<b>195,278</b>	<b>195,278</b>	

## DIFP

## DECISION ITEM DETAIL

Budget Unit	FY 2010	FY 2010	FY 2011	FY 2011	FY 2012	FY 2012	FY 2012	FY 2012
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
<b>DEPT ADMINISTRATION</b>								
<b>CORE</b>								
ACCOUNTANT I	3,209	0.10	2,054	0.06	2,054	0.06	2,054	0.06
ACCOUNTING SPECIALIST I	1,161	0.03	1,212	0.03	1,212	0.03	1,212	0.03
ACCOUNTING ANAL I	1,224	0.04	0	0.00	1,530	0.05	1,530	0.05
BUDGET ANAL III	26,006	0.60	26,006	0.60	26,006	0.60	26,006	0.60
HUMAN RELATIONS OFCR I	0	0.00	5,006	0.12	5,006	0.12	5,006	0.12
PERSONNEL ANAL I	2,208	0.07	0	0.00	0	0.00	0	0.00
PERSONNEL ANAL II	1,010	0.03	0	0.00	0	0.00	0	0.00
PUBLIC INFORMATION SPEC I	555	0.02	2,725	0.09	0	0.00	0	0.00
PUBLIC INFORMATION ADMSTR	3,807	0.08	4,616	0.09	4,616	0.09	4,616	0.09
LEGISLATIVE COORDINATOR	8,763	0.17	4,238	0.09	0	0.00	0	0.00
FISCAL & ADMINISTRATIVE MGR B1	16,246	0.30	16,637	0.31	16,637	0.31	16,637	0.31
FISCAL & ADMINISTRATIVE MGR B2	2,916	0.05	1,707	0.03	1,707	0.03	1,707	0.03
HUMAN RESOURCES MGR B1	6,809	0.15	5,306	0.12	5,306	0.12	5,306	0.12
STATE DEPARTMENT DIRECTOR	24,000	0.20	10,498	0.09	10,498	0.09	10,498	0.09
DEPUTY STATE DEPT DIRECTOR	16,664	0.15	9,868	0.09	9,868	0.09	9,868	0.09
DESIGNATED PRINCIPAL ASST DEPT	21,034	0.37	3,614	0.09	3,614	0.09	3,614	0.09
DIVISION DIRECTOR	7,775	0.09	8,191	0.09	8,191	0.09	8,191	0.09
DESIGNATED PRINCIPAL ASST DIV	4,391	0.04	0	0.00	4,238	0.09	4,238	0.09
LEGAL COUNSEL	0	0.00	23,508	0.58	23,508	0.58	23,508	0.58
CHIEF COUNSEL	650	0.01	0	0.00	0	0.00	0	0.00
DEPUTY CHIEF OF STAFF	544	0.01	0	0.00	0	0.00	0	0.00
REGIONAL OFFICE DIRECTOR	2,341	0.02	0	0.00	0	0.00	0	0.00
DIRECTOR OF PERFORMANCE REVWS	1,637	0.02	0	0.00	0	0.00	0	0.00
MISCELLANEOUS PROFESSIONAL	0	0.00	27,935	2.52	29,130	2.56	29,130	2.56
<b>TOTAL - PS</b>	<b>152,950</b>	<b>2.55</b>	<b>153,121</b>	<b>5.00</b>	<b>153,121</b>	<b>5.00</b>	<b>153,121</b>	<b>5.00</b>
TRAVEL, IN-STATE	1,719	0.00	3,157	0.00	3,157	0.00	3,157	0.00
TRAVEL, OUT-OF-STATE	187	0.00	1,000	0.00	1,000	0.00	1,000	0.00
FUEL & UTILITIES	0	0.00	1	0.00	1	0.00	1	0.00
SUPPLIES	6,610	0.00	19,000	0.00	19,000	0.00	19,000	0.00
PROFESSIONAL DEVELOPMENT	750	0.00	6,500	0.00	6,500	0.00	6,500	0.00
COMMUNICATION SERV & SUPP	1,930	0.00	6,000	0.00	6,000	0.00	6,000	0.00
PROFESSIONAL SERVICES	569	0.00	2,498	0.00	2,498	0.00	2,498	0.00

**DIFP**

**DECISION ITEM DETAIL**

Budget Unit	FY 2010	FY 2010	FY 2011	FY 2011	FY 2012	FY 2012	FY 2012	FY 2012
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
<b>DEPT ADMINISTRATION</b>								
<b>CORE</b>								
M&R SERVICES	39	0.00	1	0.00	1	0.00	1	0.00
OFFICE EQUIPMENT	612	0.00	2,500	0.00	2,500	0.00	2,500	0.00
MISCELLANEOUS EXPENSES	1,463	0.00	1,500	0.00	1,500	0.00	1,500	0.00
<b>TOTAL - EE</b>	<b>13,879</b>	<b>0.00</b>	<b>42,157</b>	<b>0.00</b>	<b>42,157</b>	<b>0.00</b>	<b>42,157</b>	<b>0.00</b>
<b>GRAND TOTAL</b>	<b>\$166,829</b>	<b>2.55</b>	<b>\$195,278</b>	<b>5.00</b>	<b>\$195,278</b>	<b>5.00</b>	<b>\$195,278</b>	<b>5.00</b>
<b>GENERAL REVENUE</b>	<b>\$0</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>
<b>FEDERAL FUNDS</b>	<b>\$0</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>
<b>OTHER FUNDS</b>	<b>\$166,829</b>	<b>2.55</b>	<b>\$195,278</b>	<b>5.00</b>	<b>\$195,278</b>	<b>5.00</b>	<b>\$195,278</b>	<b>5.00</b>

## PROGRAM DESCRIPTION

**Department of Insurance, Financial Institutions & Professional Registration**

**Department Administration**

**Program is found in the following core budget(s): Department Administration**

### 1. What does this program do?

Department Administration staff provide direction and assistance to all divisions within the Department of Insurance, Financial Institutions and Professional Registration through legislative coordination, human resources, accounting, budget and planning. Department Administration staff also provide coordination and administration of department wide issues, such as policy and procedure development and setting departmental objectives.

### 2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

Not applicable.

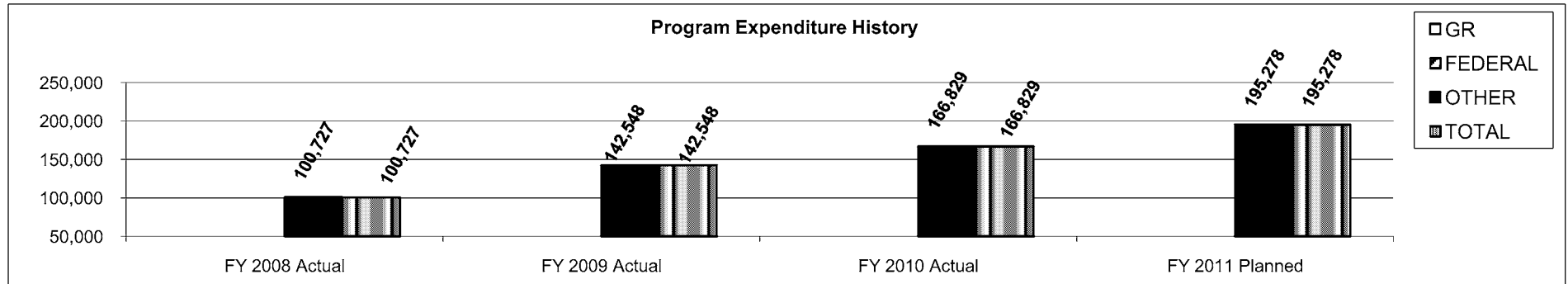
### 3. Are there federal matching requirements? If yes, please explain.

No.

### 4. Is this a federally mandated program? If yes, please explain.

No.

### 5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



### 6. What are the sources of the "Other " funds?

DIFP Administrative Fund (0503)

## PROGRAM DESCRIPTION

**Department of Insurance, Financial Institutions & Professional Registration**

**Department Administration**

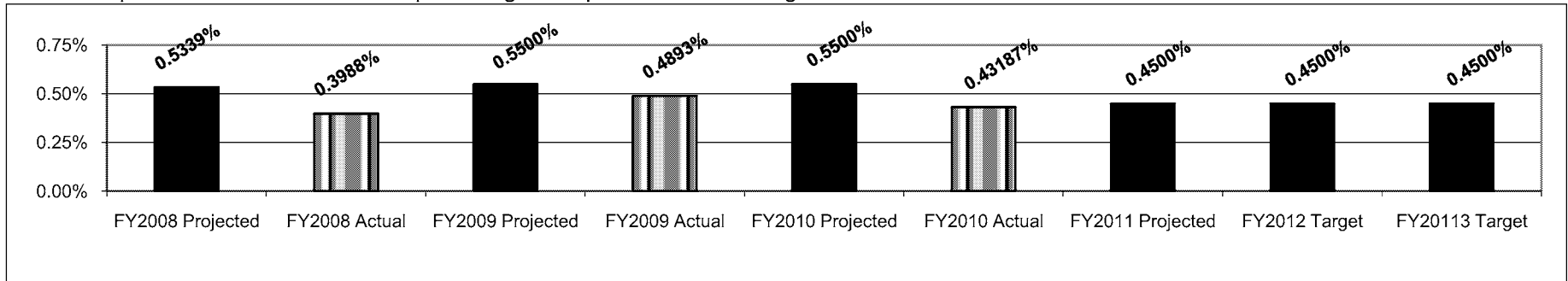
**Program is found in the following core budget(s): Department Administration**

**7a. Provide an effectiveness measure.**

Not available

**7b. Provide an efficiency measure.**

Cost of Department Administration as a percentage of department's total budget



**7c. Provide the number of clients/individuals served, if applicable.**

Insurance	200.50 FTE
Finance	106.15 FTE
Credit Unions	15.50 FTE
Professional Registration	<u>223.00</u> FTE
<b>TOTAL</b>	<b>545.15 FTE</b>

**7d. Provide a customer satisfaction measure, if available.**

Not available





**DIFP****DECISION ITEM SUMMARY**

Budget Unit								
Decision Item	FY 2010	FY 2010	FY 2011	FY 2011	FY 2012	FY 2012	FY 2012	FY 2012
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
<b>DEPT ADMINISTRATION TRANSFER</b>								
<b>CORE</b>								
FUND TRANSFERS								
DIVISION OF CREDIT UNIONS	32,501	0.00	11,829	0.00	11,829	0.00	11,829	0.00
DIVISION OF FINANCE	103,336	0.00	73,314	0.00	73,314	0.00	73,314	0.00
INSURANCE DEDICATED FUND	6,222	0.00	1	0.00	1	0.00	1	0.00
PROFESSIONAL REGISTRATION FEES	162,062	0.00	172,007	0.00	172,007	0.00	172,007	0.00
TOTAL - TRF	304,121	0.00	257,151	0.00	257,151	0.00	257,151	0.00
<b>TOTAL</b>	<b>304,121</b>	<b>0.00</b>	<b>257,151</b>	<b>0.00</b>	<b>257,151</b>	<b>0.00</b>	<b>257,151</b>	<b>0.00</b>
<b>GRAND TOTAL</b>	<b>\$304,121</b>	<b>0.00</b>	<b>\$257,151</b>	<b>0.00</b>	<b>\$257,151</b>	<b>0.00</b>	<b>\$257,151</b>	<b>0.00</b>

# CORE DECISION ITEM

Department of Insurance, Financial Institutions & Professional Registration					Budget Unit 37503C				
Core - Transfers to Department Administration									
1. CORE FINANCIAL SUMMARY									
FY 2012 Budget Request					FY 2012 Governor's Recommendation				
	GR	Federal	Other	Total		GR	Fed	Other	Total
PS	0	0	0	0	PS	0	0	0	0
EE	0	0	0	0	EE	0	0	0	0
PSD	0	0	0	0	PSD	0	0	0	0
TRF	0	0	257,151	257,151	TRF	0	0	257,151	257,151
Total	0	0	257,151	257,151	Total	0	0	257,151	257,151
FTE	0.00	0.00	0.00	0.00	FTE	0.00	0.00	0.00	0.00
Est. Fringe	0	0	0	0	Est. Fringe	0	0	0	0
Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.					Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.				
Other Funds: Finance Fund (0550), Credit Unions Fund (0548), Professional Registration Fee Fund (0689), Insurance Dedicated Fund (0566)					Other Funds: Finance Fund (0550), Credit Unions Fund (0548), Professional Registration Fee Fund (0689), Insurance Dedicated Fund (0566)				
Notes: An "E" is requested to allow for the transfer of funds for actual costs of administration.					Notes: An "E" is requested to allow for the transfer of funds for actual costs of administration.				
2. CORE DESCRIPTION									
This transfer provides funds to the DIFP Administrative Fund from division funds to meet the salaries, fringe benefits and expenses of Department Administration staff.									
3. PROGRAM LISTING (list programs included in this core funding)									
Department Administration Transfer									

# **CORE DECISION ITEM**

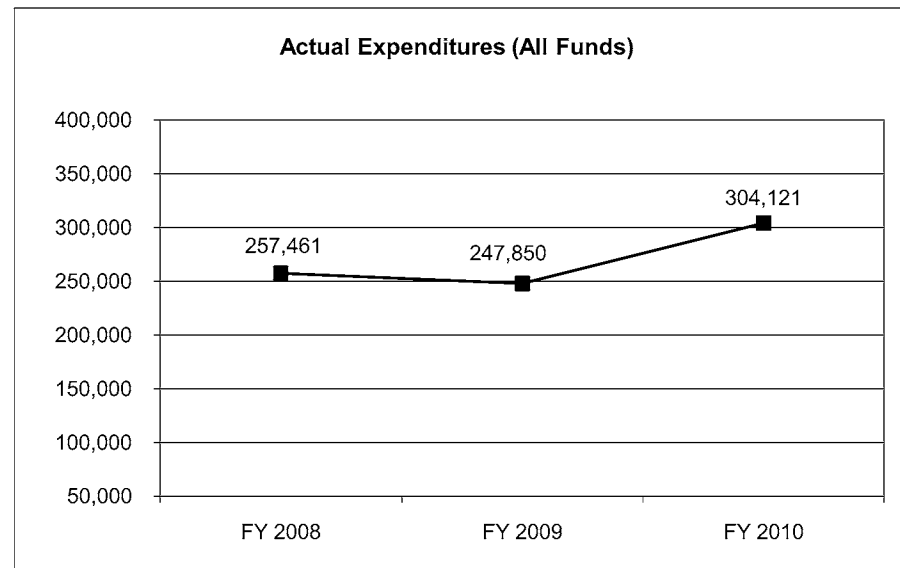
**Department of Insurance, Financial Institutions & Professional Registration**

**Budget Unit** 37503C

**Core - Transfers to Department Administration**

## **4. FINANCIAL HISTORY**

	<b>FY 2008 Actual</b>	<b>FY 2009 Actual</b>	<b>FY 2010 Actual</b>	<b>FY 2011 Current Yr.</b>	
Appropriation (All Funds)	315,651	257,151	364,970	257,151	E
Less Reverted (All Funds)	0	0	0	N/A	
Budget Authority (All Funds)	315,651	257,151	364,970	N/A	
Actual Expenditures (All Funds)	257,461	247,850	304,121	N/A	
Unexpended (All Funds)	58,190	9,301	60,849	N/A	
Unexpended, by Fund:					
General Revenue	0	0	0	N/A	
Federal	0	0	0	N/A	
Other	58,190	9,301	60,849	N/A	
	(1)		(2)		



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

### **NOTES:**

(1) Original appropriation of \$257,151 E was increased by \$58,500.

(2) Original appropriation of \$257,151 E was increased by \$107,819.

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**CORE RECONCILIATION DETAIL**

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DIFP  
DEPT ADMINISTRATION TRANSFER

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**5. CORE RECONCILIATION DETAIL**

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	Budget Class	FTE	GR	Federal	Other	Total	Explanation
<hr/>							
TAFP AFTER VETOES	TRF	0.00	0	0	257,151	257,151	
	<b>Total</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>257,151</b>	<b>257,151</b>	
<hr/>							
DEPARTMENT CORE REQUEST	TRF	0.00	0	0	257,151	257,151	
	<b>Total</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>257,151</b>	<b>257,151</b>	
<hr/>							
GOVERNOR'S RECOMMENDED CORE	TRF	0.00	0	0	257,151	257,151	
	<b>Total</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>257,151</b>	<b>257,151</b>	
<hr/>							

# DIFP

# DECISION ITEM DETAIL

Budget Unit	FY 2010	FY 2010	FY 2011	FY 2011	FY 2012	FY 2012	FY 2012	FY 2012
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
DEPT ADMINISTRATION TRANSFER								
CORE								
TRANSFERS OUT	304,121	0.00	257,151	0.00	257,151	0.00	257,151	0.00
TOTAL - TRF	304,121	0.00	257,151	0.00	257,151	0.00	257,151	0.00
GRAND TOTAL	\$304,121	0.00	\$257,151	0.00	\$257,151	0.00	\$257,151	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
OTHER FUNDS	\$304,121	0.00	\$257,151	0.00	\$257,151	0.00	\$257,151	0.00

## PROGRAM DESCRIPTION

**Department of Insurance, Financial Institutions & Professional Registration**

**Department Administration Transfer**

**Program is found in the following core budget(s): Transfers to Department Administration**

**1. What does this program do?**

This transfer provides funds to the DIFP Administrative Fund from division funds to meet the salaries, fringe benefits and expenses of Department Administration staff.

**2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)**

Not applicable.

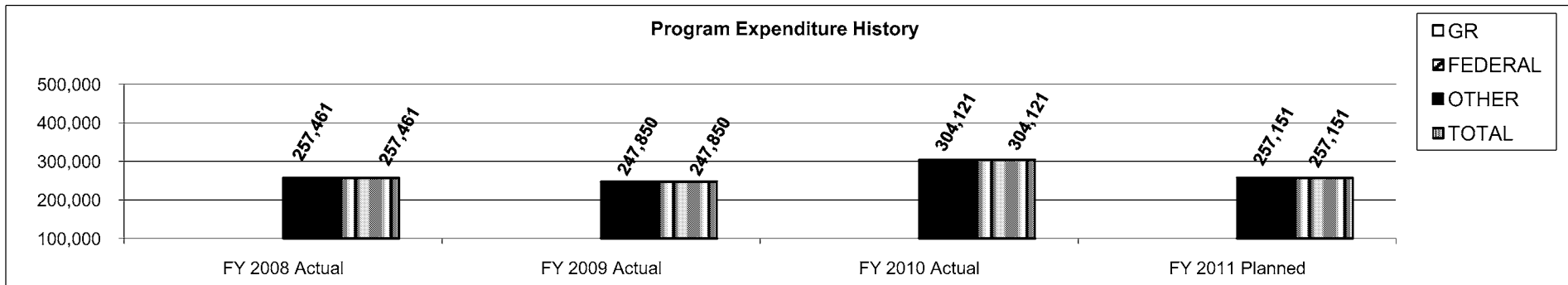
**3. Are there federal matching requirements? If yes, please explain.**

No.

**4. Is this a federally mandated program? If yes, please explain.**

No.

**5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.**



\*Expenditures began in FY2008.

**6. What are the sources of the "Other " funds?**

Division of Credit Unions Fund (0548), Division of Finance Fund (0550), Professional Registration Fees Fund (0689) and the Insurance Dedicated Fund (0566)

**7a. Provide an effectiveness measure.**

Not Applicable.

**7b.**

**Provide an efficiency measure.**

Not Applicable.

**7c. Provide the number of clients/individuals served, if applicable.**

Not Applicable.

**7d.**

**Provide a customer satisfaction measure, if available.**

Not Applicable.





**DIFP****DECISION ITEM SUMMARY**

Budget Unit								
Decision Item	FY 2010	FY 2010	FY 2011	FY 2011	FY 2012	FY 2012	FY 2012	FY 2012
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
<b>FEDERAL GRANTS</b>								
<b>CORE</b>								
PROGRAM-SPECIFIC								
FEDERAL - MDI	0	0.00	1,000,000	0.00	1,000,000	0.00	0	0.00
TOTAL - PD	0	0.00	1,000,000	0.00	1,000,000	0.00	0	0.00
<b>TOTAL</b>	<b>0</b>	<b>0.00</b>	<b>1,000,000</b>	<b>0.00</b>	<b>1,000,000</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>
<b>GRAND TOTAL</b>	<b>\$0</b>	<b>0.00</b>	<b>\$1,000,000</b>	<b>0.00</b>	<b>\$1,000,000</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>

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## CORE DECISION ITEM

Department of Insurance, Financial Institutions & Professional Registration					Budget Unit <u>37505C</u>				
Core - Federal Grants (Patient Safety)									
<b>1. CORE FINANCIAL SUMMARY</b>									
FY 2012 Budget Request					FY 2012 Governor's Recommendation				
	GR	Federal	Other	Total		GR	Fed	Other	Total
PS	0	0	0	0	PS	0	0	0	0
EE	0	0	0	0	EE	0	0	0	0
PSD	0	1,000,000	0	1,000,000	PSD	0	0	0	0
TRF	0	0	0	0	TRF	0	0	0	0
<b>Total</b>	<b>0</b>	<b>1,000,000</b>	<b>0</b>	<b>1,000,000</b>	<b>Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
FTE	0.00	0.00	0.00	0.00	FTE	0.00	0.00	0.00	0.00
<b>Est. Fringe</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>Est. Fringe</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>					<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>				
Other Funds:					Other Funds:				
<b>2. CORE DESCRIPTION</b>									
The Department of Insurance, Financial Institutions and Professional Registration applied for a Medical Liability Reform and Patient Safety grant through the federal Department of Health and Human Services. This grant was to be a demonstration project to implement and evaluate medical liability models that put patient safety first and work to reduce preventable injuries; foster better communication between doctors and their patients; and reduce the incidence of frivolous lawsuits and liability premiums. <b><u>The department did not receive this grant.</u></b>									
<b>3. PROGRAM LISTING (list programs included in this core funding)</b>									
No program description for this core; grant not awarded in FY2011.									

# CORE DECISION ITEM

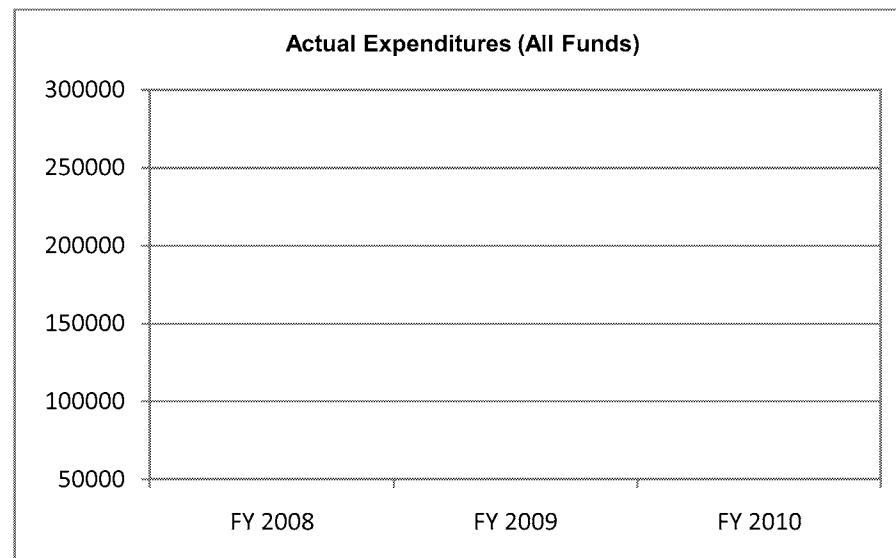
Department of Insurance, Financial Institutions & Professional Registration

Budget Unit 37505C

Core - Federal Grants (Patient Safety)

## 4. FINANCIAL HISTORY

	FY 2008 Actual	FY 2009 Actual	FY 2010 Actual	FY 2011 Current Yr.
Appropriation (All Funds)	0	0	0	1,000,000
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	0	0	0	N/A
Actual Expenditures (All Funds)	0	0	0	N/A
Unexpended (All Funds)	0	0	0	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	0	0	0	N/A



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

### NOTES:

## CORE RECONCILIATION DETAIL

DIFP  
FEDERAL GRANTS

### 5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
<b>TAFP AFTER VETOES</b>							
	PD	0.00	0	1,000,000	0	1,000,000	
	<b>Total</b>	<b>0.00</b>	<b>0</b>	<b>1,000,000</b>	<b>0</b>	<b>1,000,000</b>	
<b>DEPARTMENT CORE REQUEST</b>							
	PD	0.00	0	1,000,000	0	1,000,000	
	<b>Total</b>	<b>0.00</b>	<b>0</b>	<b>1,000,000</b>	<b>0</b>	<b>1,000,000</b>	
<b>GOVERNOR'S ADDITIONAL CORE ADJUSTMENTS</b>							
Core Reduction	1926 7644	PD	0.00	0	(1,000,000)	0	(1,000,000) Cut empty federal authority.
<b>NET GOVERNOR CHANGES</b>		<b>0.00</b>	<b>0</b>	<b>(1,000,000)</b>	<b>0</b>	<b>(1,000,000)</b>	
<b>GOVERNOR'S RECOMMENDED CORE</b>							
	PD	0.00	0	0	0	0	
	<b>Total</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	

# DIFP

# DECISION ITEM DETAIL

Budget Unit	FY 2010	FY 2010	FY 2011	FY 2011	FY 2012	FY 2012	FY 2012	FY 2012
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
<b>FEDERAL GRANTS</b>								
<b>CORE</b>								
PROGRAM DISTRIBUTIONS	0	0.00	1,000,000	0.00	1,000,000	0.00	0	0.00
TOTAL - PD	0	0.00	1,000,000	0.00	1,000,000	0.00	0	0.00
<b>GRAND TOTAL</b>	<b>\$0</b>	<b>0.00</b>	<b>\$1,000,000</b>	<b>0.00</b>	<b>\$1,000,000</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00		0.00
FEDERAL FUNDS	\$0	0.00	\$1,000,000	0.00	\$1,000,000	0.00		0.00
OTHER FUNDS	\$0	0.00	\$0	0.00	\$0	0.00		0.00

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NEW DECISION ITEM  
RANK: 4 OF 6

Department of Insurance, Financial Institutions & Professional Registration	Budget Unit <u>37506C</u>
Missouri Insurance Consumer Protection and Assistance Grants	DI# <u>1375003</u>

**1. AMOUNT OF REQUEST**

	FY 2012 Budget Request			
	GR	Federal	Other	Total
PS	0	969,459	0	969,459
EE	0	1,443,344	0	1,443,344
PSD	0	0	0	0
TRF	0	0	0	0
<b>Total</b>	<b>0</b>	<b>2,412,803</b>	<b>0</b>	<b>2,412,803</b>
 FTE	 0.00	 21.00	 0.00	 21.00

<b>Est. Fringe</b>	<u>0</u>	<u>539,504</u>	<u>0</u>	<u>539,504</u>
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*Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.*

Other Funds:

	FY 2012 Governor's Recommendation			
	GR	Fed	Other	Total
PS	0	969,459	0	969,459
EE	0	1,443,344	0	1,443,344
PSD	0	0	0	0
TRF	0	0	0	0
<b>Total</b>	<b>0</b>	<b>2,412,803</b>	<b>0</b>	<b>2,412,803</b>
 FTE	 0.00	 21.00	 0.00	 21.00

<b>Est. Fringe</b>	<u>0</u>	<u>539,504</u>	<u>0</u>	<u>539,504</u>
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*Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.*

Other Funds:

**2. THIS REQUEST CAN BE CATEGORIZED AS:**

<input type="checkbox"/> New Legislation	<input checked="" type="checkbox"/> New Program	<input type="checkbox"/> Fund Switch
<input type="checkbox"/> Federal Mandate	<input type="checkbox"/> Program Expansion	<input type="checkbox"/> Cost to Continue
<input type="checkbox"/> GR Pick-Up	<input type="checkbox"/> Space Request	<input type="checkbox"/> Equipment Replacement
<input type="checkbox"/> Pay Plan	<input type="checkbox"/> Other: _____	

**3. WHY IS THIS FUNDING NEEDED? PROVIDE AN EXPLANATION FOR ITEMS CHECKED IN #2. INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM.**

The department has applied for three grants through the federal Department of Health and Human Services. The grants are for 1) determining if Missouri should operate its own Health Insurance Exchange rather than electing to have the federal government run the exchange; 2) developing and implementing a system to collect information regarding health insurance rates so that those rates could be reviewed and; 3) adding additional resources to the department's current consumer assistance program. The goals of Missouri's grant applications are to ensure Missouri's insurance industry remains viable and solvent and continues to be regulated by those who know the business the best, state regulators and to assist more consumers with their health insurance questions.



**NEW DECISION ITEM**

RANK: 4 OF 6

Department of Insurance, Financial Institutions & Professional Registration	Budget Unit <u>37506C</u>
Missouri Insurance Consumer Protection and Assistance Grants	DI# <u>1375003</u>
<p><b>4. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why. Detail which portions of the request are one-times and how those amounts were calculated.)</b></p> <p>For the <u>exchange grant</u>, the department will conduct necessary research required to determine how a health insurance exchange would be operated and governed in Missouri. As part of this process, Missouri will determine if it should operate its own exchange rather than electing to have the federal government run the exchange. The grant will allow the department to develop partnerships with relevant industry, consumer and government stakeholders to gain input into the exchange assessment process.</p> <p>For the <u>rate review grant</u>, the department will develop and implement a health insurance rate review system by collecting from insurance carriers necessary information related to rate increases and analyzing that information. The purpose of this review is two-fold: 1) to ensure Missouri's insurance companies remain solvent and can pay consumer claims by charging appropriate rates and 2) to provide rate transparency, so consumers will have the opportunity to review carrier justifications for rates in an easy-to-understand manner. The department will seek input from all interested parties on the implementation of rate review. The department will hold public forums across the state to allow consumers and insurance carriers to discuss ways to enhance transparency and consumer protection in the rate review process. The department will enhance its staffing by hiring an additional health insurance rate analyst and actuary. The department, in conjunction with OA-ITSD, will develop a rate review system for use in the rate review process and for reporting. The department will enhance transparency in the rate filing process by posting to the department's website information pertaining to health insurance rates. The rate review system will provide information to this portion of the website, ensuring that Missouri insurance consumers have access to the most up-to-date information detailing rate filings in Missouri.</p> <p>For the <u>consumer assistance grant</u>, the department proposes to create a new health section to deliver additional assistance to consumers. An insurance regulatory manager will oversee this new section. Section staff will assist Missouri consumers with health coverage questions and with filing their first and second level appeals, regardless if the consumer is covered under a fully insured or self-insured health plan. Staff will be empowered to refer files with adverse determinations for an independent medical review. Also, staff will provide consumer education and outreach activities, in addition to assisting consumers with finding and enrolling in health care plans best suited for their needs. Three benefit counselors will answer general inquiries about health coverage options, educate consumers about the different types of coverage options available to them, provide information from the web portal regarding cost and provide information regarding insurance company complaint indices. It is more efficient and beneficial to both consumers and Missouri's insurance industry for the department to implement the requirements of this grant rather than another grantee (such as an out-of-state or non-profit entity). Department staff has the requisite expertise and knowledge of the insurance industry and proven track record of operating a successful consumer assistance program. By having the grant awarded to and implemented by DIFP and the Division of Consumer Affairs, the program and its operations are accountable to the Governor, the Legislature, the insurance industry and ultimately, the citizens of this State.</p>	

**NEW DECISION ITEM**

RANK: 4 OF 6

Department of Insurance, Financial Institutions & Professional Registration					Budget Unit 37506C				
Missouri Insurance Consumer Protection and Assistance Grants					DI# 1375003				
5. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE. IDENTIFY ONE-TIME COSTS.									
Budget Object Class/Job Class	Dept Req GR DOLLARS	Dept Req GR FTE	Dept Req FED DOLLARS	Dept Req FED FTE	Dept Req OTHER DOLLARS	Dept Req OTHER FTE	Dept Req TOTAL DOLLARS	Dept Req TOTAL FTE	Dept Req One-Time DOLLARS
100/008129/Insurance Regulatory Mgr.			53,500	1.0			53,500	1.0	
100/009811/Ins Cons. Complaint Spec. III			38,900	1.0			38,900	1.0	
100/009811/Ins Cons. Complaint Spec. II			107,940	3.0			107,940	3.0	
100/009811/Health Benefit Counselor III			38,900	1.0			38,900	1.0	
100/009811/Health Benefit Counselor II			143,920	4.0			143,920	4.0	
100/000023/Senior Office Support Asst.			26,576	1.0			26,576	1.0	
100/005298/Investigator III			42,625	1.0			42,625	1.0	
100/005297/Investigator II			71,904	2.0			71,904	2.0	
100/009779/Actuary			114,000	1.0			114,000	1.0	
100/007577/Insurance Product Analyst III			37,968	1.0			37,968	1.0	
100/00022/Office Support Assistant			24,576	1.0			24,576	1.0	
100/000198/IT Project Manager			58,650	1.0			58,650	1.0	
100/009811/Project Coordinator			175,000	2.0			175,000	2.0	
100/000501/Executive I			35,000	1.0			35,000	1.0	
							0	0.0	
Total PS	0	0.0	969,459	21.0	0	0.0	969,459	21.0	0
140/Travel, In-State			57,569				57,569		
190/Supplies			14,880				14,880		
320/Professional Development			6,880				6,880		
340/Communication Serv & Supp			21,780				21,780		
400/Professional Services			376,827				376,827		
400/Professional Services/OA-ITSD			918,183				918,183		
580/Office Equipment			12,400				12,400		12,400
680/Building Lease Payments			34,825				34,825		
							0		
Total EE	0		1,443,344		0		1,443,344		12,400
Total PSD	0		0		0		0		0

**NEW DECISION ITEM**

RANK: 4 OF 6

Department of Insurance, Financial Institutions & Professional Registration				Budget Unit		37506C			
Missouri Insurance Consumer Protection and Assistance Grants				DI#		1375003			
Transfers			0				0		
<b>Total TRF</b>	<b>0</b>		<b>0</b>		<b>0</b>		<b>0</b>		<b>0</b>
<b>Grand Total</b>	<b>0</b>	<b>0.0</b>	<b>2,412,803</b>	<b>21.0</b>	<b>0</b>	<b>0.0</b>	<b>2,412,803</b>	<b>21.0</b>	<b>12,400</b>
<b>Budget Object Class/Job Class</b>	<b>Gov Rec GR DOLLARS</b>	<b>Gov Rec GR FTE</b>	<b>Gov Rec FED DOLLARS</b>	<b>Gov Rec FED FTE</b>	<b>Gov Rec OTHER DOLLARS</b>	<b>Gov Rec OTHER FTE</b>	<b>Gov Rec TOTAL DOLLARS</b>	<b>Gov Rec TOTAL FTE</b>	<b>Gov Rec One-Time DOLLARS</b>
100/008129/Insurance Regulatory Mgr.			53,500	1.0			53,500	1.0	
100/009811/Ins Cons. Complaint Spec. III			38,900	1.0			38,900	1.0	
100/009811/Ins Cons. Complaint Spec. II			107,940	3.0			107,940	3.0	
100/009811/Health Benefit Counselor III			38,900	1.0			38,900	1.0	
100/009811/Health Benefit Counselor II			143,920	4.0			143,920	4.0	
100/000023/Senior Office Support Asst.			26,576	1.0			26,576	1.0	
100/005298/Investigator III			42,625	1.0			42,625	1.0	
100/005297/Investigator II			71,904	2.0			71,904	2.0	
100/009779/Actuary			114,000	1.0			114,000	1.0	
100/007577/Insurance Product Analyst III			37,968	1.0			37,968	1.0	
100/00022/Office Support Assistant			24,576	1.0			24,576	1.0	
100/000198/IT Project Manager			58,650	1.0			58,650	1.0	
100/009811/Project Coordinator			175,000	2.0			175,000	2.0	
100/000501/Executive I			35,000	1.0			35,000	1.0	
							0	0.0	
<b>Total PS</b>	<b>0</b>	<b>0.0</b>	<b>969,459</b>	<b>21.0</b>	<b>0</b>	<b>0.0</b>	<b>969,459</b>	<b>21.0</b>	<b>0</b>
140/Travel, In-State			57,569				57,569		
190/Supplies			14,880				14,880		
320/Professional Development			6,880				6,880		
340/Communication Serv & Supp			21,780				21,780		
400/Professional Services			376,827				376,827		

NEW DECISION ITEM  
RANK: 4 OF 6

Department of Insurance, Financial Institutions & Professional Registration				Budget Unit <u>37506C</u>	
Missouri Insurance Consumer Protection and Assistance Grants				DI# <u>1375003</u>	
400/Professional Services/OA-ITSD		918,183		918,183	
580/Office Equipment		12,400		12,400	12,400
680/Building Lease Payments		34,825		34,825	
				0	
Total EE	<u>0</u>	<u>1,443,344</u>	<u>0</u>	<u>1,443,344</u>	<u>12,400</u>
Program Distributions				0	
Total PSD	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Transfers					
Total TRF	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Grand Total	<u>0</u>	<u>0.0 2,412,803</u>	<u>21.0 0</u>	<u>0.0 2,412,803</u>	<u>21.0 12,400</u>

NEW DECISION ITEM  
RANK: 4 OF 6

Department of Insurance, Financial Institutions & Professional Registration	Budget Unit <u>37506C</u>
Missouri Insurance Consumer Protection and Assistance Grants	DI# <u>1375003</u>
<b>6. PERFORMANCE MEASURES (If new decision item has an associated core, separately identify projected performance with &amp; without additional funding.)</b>	

**6a. Provide an effectiveness measure.**

Number of rate increases analyzed and posted to the department website.

**6b. Provide an efficiency measure.**

Turnaround time required to conduct rate analysis and web posting so that consumers have access to the most up to date information and notice regarding rate increases.

**6c. Provide the number of clients/individuals served, if applicable.**

Exchange Grant: 2,308,259

Consumer Assistance Grant: 72,259

**6d. Provide a customer satisfaction measure, if available.**

Not yet available.

**7. STRATEGIES TO ACHIEVE THE PERFORMANCE MEASUREMENT TARGETS:**

The department will work to develop partnerships with relevant industry, consumer and government stakeholders to gain input into each of the grant processes.

DIFP

DECISION ITEM DETAIL

Budget Unit	FY 2010	FY 2010	FY 2011	FY 2011	FY 2012	FY 2012	FY 2012	FY 2012
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
<b>IMPLEMENT FEDERAL GRANTS</b>								
<b>Federal Grant Authority - 1375003</b>								
OFFICE SUPPORT ASST (KEYBRD)	0	0.00	0	0.00	24,576	1.00	24,576	1.00
SR OFC SUPPORT ASST (KEYBRD)	0	0.00	0	0.00	26,576	1.00	26,576	1.00
COMP INFO TECHNOLOGY MGR I	0	0.00	0	0.00	58,650	1.00	58,650	1.00
EXECUTIVE I	0	0.00	0	0.00	35,000	1.00	35,000	1.00
INVESTIGATOR II	0	0.00	0	0.00	71,904	2.00	71,904	2.00
INVESTIGATOR III	0	0.00	0	0.00	42,625	1.00	42,625	1.00
INSURANCE PRODUCT ANALYST III	0	0.00	0	0.00	37,968	1.00	37,968	1.00
INSURANCE REGULATORY MGR B2	0	0.00	0	0.00	53,500	1.00	53,500	1.00
ACTUARY	0	0.00	0	0.00	114,000	1.00	114,000	1.00
MISCELLANEOUS PROFESSIONAL	0	0.00	0	0.00	504,660	11.00	504,660	11.00
<b>TOTAL - PS</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>969,459</b>	<b>21.00</b>	<b>969,459</b>	<b>21.00</b>
TRAVEL, IN-STATE	0	0.00	0	0.00	57,569	0.00	57,569	0.00
SUPPLIES	0	0.00	0	0.00	14,880	0.00	14,880	0.00
PROFESSIONAL DEVELOPMENT	0	0.00	0	0.00	6,880	0.00	6,880	0.00
COMMUNICATION SERV & SUPP	0	0.00	0	0.00	21,780	0.00	21,780	0.00
PROFESSIONAL SERVICES	0	0.00	0	0.00	1,295,010	0.00	1,295,010	0.00
OFFICE EQUIPMENT	0	0.00	0	0.00	12,400	0.00	12,400	0.00
BUILDING LEASE PAYMENTS	0	0.00	0	0.00	34,825	0.00	34,825	0.00
<b>TOTAL - EE</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>1,443,344</b>	<b>0.00</b>	<b>1,443,344</b>	<b>0.00</b>
<b>GRAND TOTAL</b>	<b>\$0</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>	<b>\$2,412,803</b>	<b>21.00</b>	<b>\$2,412,803</b>	<b>21.00</b>
<b>GENERAL REVENUE</b>	<b>\$0</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>
<b>FEDERAL FUNDS</b>	<b>\$0</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>	<b>\$2,412,803</b>	<b>21.00</b>	<b>\$2,412,803</b>	<b>21.00</b>
<b>OTHER FUNDS</b>	<b>\$0</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>

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NEW DECISION ITEM  
RANK: 3 OF 6

Department of Insurance, Financial Institutions & Professional Registration	Budget Unit <u>37507C</u>
Federal Grant Transfer	DI# <u>1375004</u>

### 1. AMOUNT OF REQUEST

	FY 2012 Budget Request			
	GR	Federal	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	0	0
TRF	0	137,077	0	137,077
Total	0	137,077	0	137,077
FTE	0.00	0.00	0.00	0.00

<b>Est. Fringe</b>	0	0	0	0
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*Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.*

Note: An "E" is requested to allow for the transfer of funds for actual costs of administering the grants.

	FY 2012 Governor's Recommendation			
	GR	Federal	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	0	0
TRF	0	137,077	0	137,077
Total	0	137,077	0	137,077
FTE	0.00	0.00	0.00	0.00

<b>Est. Fringe</b>	0	0	0	0
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*Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.*

Note: An "E" is requested to allow for the transfer of funds for actual costs of administering the grants.

### 2. THIS REQUEST CAN BE CATEGORIZED AS:

<input checked="" type="checkbox"/> New Legislation	<input checked="" type="checkbox"/> New Program	<input type="checkbox"/> Fund Switch
<input type="checkbox"/> Federal Mandate	<input type="checkbox"/> Program Expansion	<input type="checkbox"/> Cost to Continue
<input type="checkbox"/> GR Pick-Up	<input type="checkbox"/> Space Request	<input type="checkbox"/> Equipment Replacement
<input type="checkbox"/> Pay Plan	<input type="checkbox"/> Other: _____	

### 3. WHY IS THIS FUNDING NEEDED? PROVIDE AN EXPLANATION FOR ITEMS CHECKED IN #2. INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM.

The department has applied for three grants through the federal Department of Health and Human Services. The grants are for 1) determining if Missouri should operate its own Health Insurance Exchange rather than electing to have the federal government run the exchange; 2) developing and implementing a system to collect information regarding health insurance rates so that those rates could be reviewed and; 3) adding additional resources to the department's current consumer assistance program. The goals of Missouri's grant applications are to ensure Missouri's insurance industry remains viable and solvent and continues to be regulated by those who know the business the best, state regulators and to assist more consumers with their health insurance questions. This transfer appropriation provides funds from federal grant funds to the Insurance Dedicated Fund to reimburse the department's dedicated fund for the cost of salaries, fringe benefits and expenses of existing staff working on these grants.

**NEW DECISION ITEM**

RANK: 3 OF 6

Department of Insurance, Financial Institutions & Professional Registration	Budget Unit <u>37507C</u>
Federal Grant Transfer	DI# <u>1375004</u>

**4. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why. Detail which portions of the request are one-times and how those amounts were calculated.)**

The department used the grant applications to determine an estimate of the amount of transfer. However, an "E" is requested as the exact amount may change as the grants are implemented and with future awards.

**5. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE. IDENTIFY ONE-TIME COSTS.**

Budget Object Class/Job Class	Dept Req GR DOLLARS	Dept Req GR FTE	Dept Req FED DOLLARS	Dept Req FED FTE	Dept Req OTHER DOLLARS	Dept Req OTHER FTE	Dept Req TOTAL DOLLARS	Dept Req TOTAL FTE	Dept Req One-Time DOLLARS
							0	0.0	
							0	0.0	
<b>Total PS</b>	<u>0</u>	<u>0.0</u>	<u>0</u>	<u>0.0</u>	<u>0</u>	<u>0.0</u>	<b>0</b>	<b>0.0</b>	<b>0</b>
							0		
							0		
							0		
<b>Total EE</b>	<u>0</u>		<u>0</u>		<u>0</u>		<b>0</b>		<b>0</b>
Program Distributions							0		
<b>Total PSD</b>	<u>0</u>		<u>0</u>		<u>0</u>		<b>0</b>		<b>0</b>
Transfers			137,077 E				137,077 E		
<b>Total TRF</b>	<u>0</u>		<u>137,077</u>		<u>0</u>		<b>137,077</b>		<b>0</b>
<b>Grand Total</b>	<u>0</u>	<u>0.0</u>	<u>137,077</u>	<u>0.0</u>	<u>0</u>	<u>0.0</u>	<b>137,077</b>	<b>0.0</b>	<b>0</b>

NEW DECISION ITEM  
RANK: 3 OF 6

Department of Insurance, Financial Institutions & Professional Registration					Budget Unit <u>37507C</u>				
Federal Grant Transfer					DI# 1375004				
Budget Object Class/Job Class	Gov Rec GR DOLLARS	Gov Rec GR FTE	Gov Rec FED DOLLARS	Gov Rec FED FTE	Gov Rec OTHER DOLLARS	Gov Rec OTHER FTE	Gov Rec TOTAL DOLLARS	Gov Rec TOTAL FTE	Gov Rec One-Time DOLLARS
							0	0.0	
							0	0.0	
<b>Total PS</b>	<u>0</u>	<u>0.0</u>	<u>0</u>	<u>0.0</u>	<u>0</u>	<u>0.0</u>	<u>0</u>	<u>0.0</u>	<u>0</u>
							0		
							0		
							0		
<b>Total EE</b>	<u>0</u>		<u>0</u>		<u>0</u>		<u>0</u>		<u>0</u>
Program Distributions							0		
<b>Total PSD</b>	<u>0</u>		<u>0</u>		<u>0</u>		<u>0</u>		<u>0</u>
Transfers			137,077 E				137,077 E		
<b>Total TRF</b>	<u>0</u>		<u>137,077</u>		<u>0</u>		<u>137,077</u>		<u>0</u>
<b>Grand Total</b>	<u>0</u>	<u>0.0</u>	<u>137,077</u>	<u>0.0</u>	<u>0</u>	<u>0.0</u>	<u>137,077</u>	<u>0.0</u>	<u>0</u>

NEW DECISION ITEM  
RANK: 3 OF 6

Department of Insurance, Financial Institutions & Professional Registration	Budget Unit <u>37507C</u>
Federal Grant Transfer	DI# <u>1375004</u>

**6. PERFORMANCE MEASURES (If new decision item has an associated core, separately identify projected performance with & without additional funding.)**

**6a. Provide an effectiveness measure.**  
N/A.

**6b. Provide an efficiency measure.**  
N/A.

**6c. Provide the number of clients/individuals served, if applicable.**  
  
Exchange Grant: 2,308,259  
Consumer Assistance Grant: 72,259

**6d. Provide a customer satisfaction measure, if available.**  
  
Not available.

**7. STRATEGIES TO ACHIEVE THE PERFORMANCE MEASUREMENT TARGETS:**

N/A.

# DIFP

# DECISION ITEM DETAIL

Budget Unit	FY 2010	FY 2010	FY 2011	FY 2011	FY 2012	FY 2012	FY 2012	FY 2012
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
<b>FEDERAL GRANT TRANSFER</b>								
DIFP Admin Fund Transfer - 1375004								
TRANSFERS OUT	0	0.00	0	0.00	137,077	0.00	137,077	0.00
TOTAL - TRF	0	0.00	0	0.00	137,077	0.00	137,077	0.00
<b>GRAND TOTAL</b>	<b>\$0</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>	<b>\$137,077</b>	<b>0.00</b>	<b>\$137,077</b>	<b>0.00</b>
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$137,077	0.00	\$137,077	0.00
OTHER FUNDS	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00

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**DIFP****DECISION ITEM SUMMARY**

Budget Unit								
Decision Item	FY 2010	FY 2010	FY 2011	FY 2011	FY 2012	FY 2012	FY 2012	FY 2012
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
<b>INSURANCE OPERATIONS</b>								
<b>CORE</b>								
PERSONAL SERVICES								
INSURANCE DEDICATED FUND	5,978,066	133.67	6,964,725	156.00	7,142,935	158.00	7,142,935	158.00
TOTAL - PS	5,978,066	133.67	6,964,725	156.00	7,142,935	158.00	7,142,935	158.00
EXPENSE & EQUIPMENT								
INSURANCE DEDICATED FUND	970,106	0.00	1,955,711	0.00	1,955,711	0.00	1,955,711	0.00
TOTAL - EE	970,106	0.00	1,955,711	0.00	1,955,711	0.00	1,955,711	0.00
PROGRAM-SPECIFIC								
CONSUMER RESTITUTION FUND	0	0.00	1	0.00	1	0.00	1	0.00
TOTAL - PD	0	0.00	1	0.00	1	0.00	1	0.00
<b>TOTAL</b>	<b>6,948,172</b>	<b>133.67</b>	<b>8,920,437</b>	<b>156.00</b>	<b>9,098,647</b>	<b>158.00</b>	<b>9,098,647</b>	<b>158.00</b>
<b>GRAND TOTAL</b>	<b>\$6,948,172</b>	<b>133.67</b>	<b>\$8,920,437</b>	<b>156.00</b>	<b>\$9,098,647</b>	<b>158.00</b>	<b>\$9,098,647</b>	<b>158.00</b>



## CORE DECISION ITEM

Department of Insurance, Financial Institutions & Professional Registration					Budget Unit 37501C				
Insurance									
Core - Insurance Operations									
1. CORE FINANCIAL SUMMARY									
FY 2012 Budget Request					FY 2012 Governor's Recommendation				
	GR	Federal	Other	Total		GR	Fed	Other	Total
PS	0	0	7,142,935	7,142,935	PS	0	0	7,142,935	7,142,935
EE	0	0	1,955,711	1,955,711	EE	0	0	1,955,711	1,955,711
PSD	0	0	1	1 E	PSD	0	0	1	1 E
TRF	0	0	0	0	TRF	0	0	0	0
Total	0	0	9,098,647	9,098,647	Total	0	0	9,098,647	9,098,647
FTE	0.00	0.00	158.00	158.00	FTE	0.00	0.00	158.00	158.00
Est. Fringe	0	0	3,975,043	3,975,043	Est. Fringe	0	0	3,975,043	3,975,043
Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.					Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.				
Other Funds: Insurance Dedicated Fund (0566)					Other Funds: Insurance Dedicated Fund (0566)				
Consumer Restitution Fund (0792)					Consumer Restitution Fund (0792)				
Notes: "E" on PSD is for consumer restitution payments					Notes: "E" on PSD is for consumer restitution payments				
2. CORE DESCRIPTION									
<p>Core request for Insurance Operations. The department is responsible for overseeing the insurance industry's compliance with all Missouri insurance laws and regulations and protecting the interests of the Missouri insurance-buying consumer. The department licenses over 100,000 insurance producers (agents, brokers and agencies). The department, acting on complaints from consumers, investigates companies and producers accused of insurance law and regulation violations. The department certifies for collection over \$200 million in premium taxes paid by insurance companies for deposit into general revenue and school funds. The department provides information to over 28,000 consumers each year through a statewide toll-free hotline and continues to increase the amount of information and services available to both consumers and industry through the department's website. Core includes the Consumer Restitution Fund which is for preserving and distributing to aggrieved consumers funds obtained through enforcement proceedings by the director. An estimated appropriation is requested as the number of cases and amounts of funds recovered are not known.</p>									
<p><b>Core Reallocation:</b> The Insurance Operations Core has increased due to continued implementation of SB 66 (TAFP 2007). The department has continued to increase upfront analysis since implementation began. SB 66 restricted assessments for insurance examinations to staff directly contributing to the examination, their benefits and related travel and expenses. The department cannot charge insurance companies for upfront analysis unless an examination is called. Staff (and supervisory) time not directly attributable to an examination must be charged to the Insurance Dedicated Fund. The department has determined that 2.00 FTE and \$178,210 PS will need to be moved to the Insurance Operations core to pay for supervision, additional analysis and investigation hours. These activities are not attributable to an exam.</p>									

## CORE DECISION ITEM

**Department of Insurance, Financial Institutions & Professional Registration**      **Budget Unit** 37501C

**Insurance**

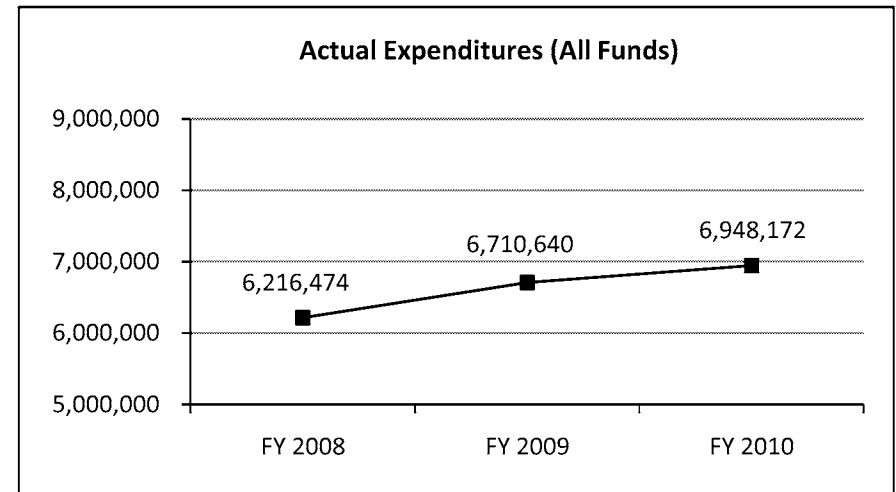
**Core - Insurance Operations**

### 3. PROGRAM LISTING (list programs included in this core funding)

Director's Office Consumer Affairs Division Insurance Company Regulation Division	Insurance Market Regulation Division Resource Administration Division Consumer Restitution Fund
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### 4. FINANCIAL HISTORY

	<b>FY 2008 Actual</b>	<b>FY 2009 Actual</b>	<b>FY 2010 Actual</b>	<b>FY 2011 Current Yr.</b>
Appropriation (All Funds)	6,911,405	8,205,961	8,922,792	8,920,437
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	6,911,405	8,205,961	8,922,792	N/A
Actual Expenditures (All Funds)	6,216,474	6,710,640	6,948,172	N/A
Unexpended (All Funds)	694,931	1,495,321	1,974,620	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	694,931	1,495,321	1,974,620	N/A
	(1)	(2)	(2)	(3)



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

#### NOTES:

- (1) Unexpended amount due to higher than average vacancies during administration transition.
- (2) Unexpended amount is due to staff vacancies and less than anticipated expense and equipment spending.
- (3) Appropriation includes a \$1 E in PSD for consumer restitution payments.

**CORE RECONCILIATION DETAIL**

**DIFP  
INSURANCE OPERATIONS**

**5. CORE RECONCILIATION DETAIL**

				<b>Budget Class</b>	<b>FTE</b>	<b>GR</b>	<b>Federal</b>	<b>Other</b>	<b>Total</b>	<b>Explanation</b>
<b>TAFP AFTER VETOES</b>										
				PS	156.00	0	0	6,964,725	6,964,725	
				EE	0.00	0	0	1,955,711	1,955,711	
				PD	0.00	0	0	1	1	
				<b>Total</b>	<b>156.00</b>	<b>0</b>	<b>0</b>	<b>8,920,437</b>	<b>8,920,437</b>	
<b>DEPARTMENT CORE ADJUSTMENTS</b>										
Core Reallocation	480	9907		PS	2.00	0	0	178,210	178,210	Reallocate 2.00 FTE for continued implementation of SB 66 (2007)
<b>NET DEPARTMENT CHANGES</b>					<b>2.00</b>	<b>0</b>	<b>0</b>	<b>178,210</b>	<b>178,210</b>	
<b>DEPARTMENT CORE REQUEST</b>										
				PS	158.00	0	0	7,142,935	7,142,935	
				EE	0.00	0	0	1,955,711	1,955,711	
				PD	0.00	0	0	1	1	
				<b>Total</b>	<b>158.00</b>	<b>0</b>	<b>0</b>	<b>9,098,647</b>	<b>9,098,647</b>	
<b>GOVERNOR'S RECOMMENDED CORE</b>										
				PS	158.00	0	0	7,142,935	7,142,935	
				EE	0.00	0	0	1,955,711	1,955,711	
				PD	0.00	0	0	1	1	
				<b>Total</b>	<b>158.00</b>	<b>0</b>	<b>0</b>	<b>9,098,647</b>	<b>9,098,647</b>	

DIFP

DECISION ITEM DETAIL

Budget Unit	FY 2010	FY 2010	FY 2011	FY 2011	FY 2012	FY 2012	FY 2012	FY 2012
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
<b>INSURANCE OPERATIONS</b>								
<b>CORE</b>								
OFFICE SUPPORT ASST (CLERICAL)	21,708	1.00	0	0.00	0	0.00	0	0.00
ADMIN OFFICE SUPPORT ASSISTANT	31,716	1.00	31,713	1.00	31,713	1.00	31,713	1.00
OFFICE SUPPORT ASST (KEYBRD)	71,339	3.23	115,706	5.50	105,606	5.50	105,606	5.50
SR OFC SUPPORT ASST (KEYBRD)	218,767	8.52	261,335	11.00	249,506	11.00	249,506	11.00
OFFICE SERVICES ASST	27,660	1.00	27,659	1.00	27,659	1.00	27,659	1.00
ACCOUNT CLERK II	64,747	2.50	103,997	4.00	72,797	3.05	72,797	3.05
ACCOUNTANT I	97,307	3.13	68,647	1.94	68,647	1.94	68,647	1.94
ACCOUNTING SPECIALIST I	22,068	0.63	38,200	0.97	38,200	0.97	38,200	0.97
ACCOUNTING ANAL I	23,259	0.71	0	0.00	0	0.00	0	0.00
ACCOUNTING ANAL II	0	0.00	0	0.00	31,200	0.95	31,200	0.95
BUDGET ANAL III	17,338	0.40	17,338	0.40	17,338	0.40	17,338	0.40
HUMAN RELATIONS OFCR I	0	0.00	37,544	0.90	37,544	0.90	37,544	0.90
PERSONNEL ANAL I	19,875	0.64	0	0.00	0	0.00	0	0.00
PERSONNEL ANAL II	9,094	0.26	0	0.00	0	0.00	0	0.00
RESEARCH ANAL II	51,886	1.50	72,447	2.00	72,447	2.00	72,447	2.00
RESEARCH ANAL III	117,771	2.96	121,057	3.00	121,057	3.00	121,057	3.00
RESEARCH ANAL IV	131,037	2.39	154,543	3.00	154,543	3.00	154,543	3.00
PUBLIC INFORMATION SPEC I	13,003	0.44	0	0.00	0	0.00	0	0.00
PUBLIC INFORMATION SPEC II	0	0.00	26,848	0.91	0	0.00	0	0.00
PUBLIC INFORMATION ADMSTR	34,260	0.71	46,678	0.91	46,678	0.91	46,678	0.91
PLANNER I	19,052	0.46	34,636	1.00	34,636	1.00	34,636	1.00
PLANNER II	38,700	1.00	77,402	2.00	77,402	2.00	77,402	2.00
LEGISLATIVE COORDINATOR	35,053	0.70	41,744	0.91	0	0.00	0	0.00
INVESTIGATOR II	247,854	6.80	255,737	7.00	245,737	7.00	245,737	7.00
INVESTIGATOR III	53,292	1.00	43,349	1.00	53,349	1.00	53,349	1.00
INSURANCE PRODUCT ANALYST I	2,532	0.08	0	0.00	0	0.00	0	0.00
INSURANCE PRODUCT ANALYST II	190,353	5.92	307,694	10.00	307,694	10.00	307,694	10.00
INSURANCE PRODUCT ANALYST III	104,924	2.83	115,647	3.00	115,647	3.00	115,647	3.00
INSURANCE FINANCIAL ANAL SPEC	148,929	3.48	254,111	6.00	254,111	6.00	254,111	6.00
WORKERS COMPENSATION SPEC	57,738	1.55	74,568	2.00	74,568	2.00	74,568	2.00
INSURANCE FINANCIAL ANALYST II	132,788	3.50	115,652	3.00	115,652	3.00	115,652	3.00
CONSUMER SERVICES SPEC I	32,274	1.09	0	0.00	0	0.00	0	0.00

DIFP

DECISION ITEM DETAIL

Budget Unit	FY 2010	FY 2010	FY 2011	FY 2011	FY 2012	FY 2012	FY 2012	FY 2012
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
<b>INSURANCE OPERATIONS</b>								
<b>CORE</b>								
CONSUMER SERVICES SPEC II	311,450	9.03	349,756	10.00	349,756	10.00	349,756	10.00
CONSUMER SERVICES COORDINATOR	45,508	1.00	44,221	1.00	44,221	1.00	44,221	1.00
INSURANCE LICENSING TECH I	200,978	8.51	215,153	9.00	203,153	9.00	203,153	9.00
INSURANCE LICENSING TECH II	128,886	4.50	144,214	6.00	102,674	5.00	102,674	5.00
TAX AUDITOR I	39,164	1.16	68,137	2.00	68,137	2.00	68,137	2.00
TAX AUDITOR II	148,842	3.88	142,837	4.00	142,837	4.00	142,837	4.00
PROF REG LICENSING/CERT SUPV	16,127	0.48	36,675	1.00	36,675	1.00	36,675	1.00
FISCAL & ADMINISTRATIVE MGR B1	37,906	0.70	37,515	0.69	37,515	0.69	37,515	0.69
FISCAL & ADMINISTRATIVE MGR B2	55,403	0.95	56,575	0.97	56,575	0.97	56,575	0.97
HUMAN RESOURCES MGR B1	38,584	0.85	38,908	0.88	38,908	0.88	38,908	0.88
INVESTIGATION MGR B1	42,848	0.83	51,418	1.00	51,418	1.00	51,418	1.00
INSURANCE REGULATORY MGR B1	97,303	2.11	146,456	3.00	146,456	3.00	146,456	3.00
INSURANCE REGULATORY MGR B2	132,840	2.58	151,844	3.00	151,844	3.00	151,844	3.00
STATE DEPARTMENT DIRECTOR	96,000	0.80	102,504	0.91	102,504	0.91	102,504	0.91
DEPUTY STATE DEPT DIRECTOR	94,430	0.86	97,201	0.91	97,201	0.91	97,201	0.91
DESIGNATED PRINCIPAL ASST DEPT	130,128	2.25	35,905	0.91	134,665	2.73	134,665	2.73
DIVISION DIRECTOR	243,070	2.91	352,741	3.91	352,741	3.91	352,741	3.91
DESIGNATED PRINCIPAL ASST DIV	177,581	3.92	125,564	3.00	170,865	4.00	170,865	4.00
PARALEGAL	29,870	1.00	32,099	1.00	32,099	1.00	32,099	1.00
LEGAL COUNSEL	91,410	2.00	188,062	4.00	188,062	4.00	188,062	4.00
CHIEF COUNSEL	3,683	0.04	91,006	0.88	91,006	0.88	91,006	0.88
SENIOR COUNSEL	437,757	7.20	422,601	7.00	422,601	7.00	422,601	7.00
ACTUARY	241,885	1.80	242,413	2.00	242,413	2.00	242,413	2.00
MISCELLANEOUS PROFESSIONAL	18,512	0.84	0	0.00	0	0.00	0	0.00
AUDIT MANAGER-FINANCIAL EXAM	165,793	1.75	189,686	2.00	189,686	2.00	189,686	2.00
CHIEF FINANCIAL EXAMINER	99,784	1.00	106,459	1.00	106,459	1.00	106,459	1.00
CHIEF MARKET CONDUCT EXAM	93,327	0.99	94,693	1.00	94,693	1.00	94,693	1.00
M C EXAMINER II	33,279	0.68	21,846	0.32	21,846	0.32	21,846	0.32
M C EXAMINER III	11,446	0.16	223,366	3.23	223,366	3.23	223,366	3.23
EXAMINER-IN-CHARGE MC	13,938	0.16	38,811	0.45	217,021	2.45	217,021	2.45
AUDIT MANAGER-MARKET CONDUCT	130,035	1.42	183,374	2.00	183,374	2.00	183,374	2.00
FINANCIAL EXAMINER I	2,568	0.05	0	0.00	0	0.00	0	0.00

DIFP

DECISION ITEM DETAIL

Budget Unit	FY 2010	FY 2010	FY 2011	FY 2011	FY 2012	FY 2012	FY 2012	FY 2012
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
<b>INSURANCE OPERATIONS</b>								
<b>CORE</b>								
FINANCIAL EXAMINER II	163,067	3.06	0	0.00	0	0.00	0	0.00
FINANCIAL EXAMINER III	211,272	2.89	374,119	5.10	374,119	5.10	374,119	5.10
EXAMINER-IN-CHARGE FINANCIAL	78,396	0.88	35,642	0.40	35,642	0.40	35,642	0.40
REINSURANCE EXAMINER	78,672	1.00	78,672	1.00	78,672	1.00	78,672	1.00
<b>TOTAL - PS</b>	<b>5,978,066</b>	<b>133.67</b>	<b>6,964,725</b>	<b>156.00</b>	<b>7,142,935</b>	<b>158.00</b>	<b>7,142,935</b>	<b>158.00</b>
TRAVEL, IN-STATE	108,858	0.00	154,831	0.00	154,831	0.00	154,831	0.00
TRAVEL, OUT-OF-STATE	67,062	0.00	372,115	0.00	372,115	0.00	372,115	0.00
FUEL & UTILITIES	0	0.00	1	0.00	1	0.00	1	0.00
SUPPLIES	221,140	0.00	238,883	0.00	238,883	0.00	238,883	0.00
PROFESSIONAL DEVELOPMENT	118,904	0.00	219,801	0.00	219,801	0.00	219,801	0.00
COMMUNICATION SERV & SUPP	113,386	0.00	168,450	0.00	168,450	0.00	168,450	0.00
PROFESSIONAL SERVICES	188,447	0.00	475,082	0.00	475,082	0.00	475,082	0.00
HOUSEKEEPING & JANITORIAL SERV	142	0.00	1	0.00	1	0.00	1	0.00
M&R SERVICES	8,164	0.00	73,545	0.00	73,545	0.00	73,545	0.00
MOTORIZED EQUIPMENT	46,492	0.00	0	0.00	0	0.00	0	0.00
OFFICE EQUIPMENT	80,667	0.00	55,498	0.00	55,498	0.00	55,498	0.00
OTHER EQUIPMENT	45	0.00	15,000	0.00	15,000	0.00	15,000	0.00
PROPERTY & IMPROVEMENTS	7,322	0.00	135,001	0.00	135,001	0.00	135,001	0.00
BUILDING LEASE PAYMENTS	1,390	0.00	25,001	0.00	25,001	0.00	25,001	0.00
EQUIPMENT RENTALS & LEASES	1,485	0.00	17,501	0.00	17,501	0.00	17,501	0.00
MISCELLANEOUS EXPENSES	6,602	0.00	5,000	0.00	5,000	0.00	5,000	0.00
REBILLABLE EXPENSES	0	0.00	1	0.00	1	0.00	1	0.00
<b>TOTAL - EE</b>	<b>970,106</b>	<b>0.00</b>	<b>1,955,711</b>	<b>0.00</b>	<b>1,955,711</b>	<b>0.00</b>	<b>1,955,711</b>	<b>0.00</b>
PROGRAM DISTRIBUTIONS	0	0.00	1	0.00	1	0.00	1	0.00
<b>TOTAL - PD</b>	<b>0</b>	<b>0.00</b>	<b>1</b>	<b>0.00</b>	<b>1</b>	<b>0.00</b>	<b>1</b>	<b>0.00</b>
<b>GRAND TOTAL</b>	<b>\$6,948,172</b>	<b>133.67</b>	<b>\$8,920,437</b>	<b>156.00</b>	<b>\$9,098,647</b>	<b>158.00</b>	<b>\$9,098,647</b>	<b>158.00</b>
<b>GENERAL REVENUE</b>	<b>\$0</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>
<b>FEDERAL FUNDS</b>	<b>\$0</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>
<b>OTHER FUNDS</b>	<b>\$6,948,172</b>	<b>133.67</b>	<b>\$8,920,437</b>	<b>156.00</b>	<b>\$9,098,647</b>	<b>158.00</b>	<b>\$9,098,647</b>	<b>158.00</b>

## PROGRAM DESCRIPTION

**Department of Insurance, Financial Institutions & Professional Registration**

**Director's Office**

**Program is found in the following core budget(s): Insurance Operations**

**1. What does this program do?**

The Director's Office provides oversight and management of all department activities. The Director's Office is responsible for policy decisions, regulation, legislation and communications. The Director's Office also includes legal and insurance receivership activities. The department's legal section provides counsel to the Director's Office and each division and section and represents the department directly before courts and the Administrative Hearing Commission. When a judge orders an insurance company into receivership, the director is responsible for either rehabilitating the company or liquidating it as provided by statute and court order.

**2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)**

Chapters 325, 354 and 374-385 RSMo.

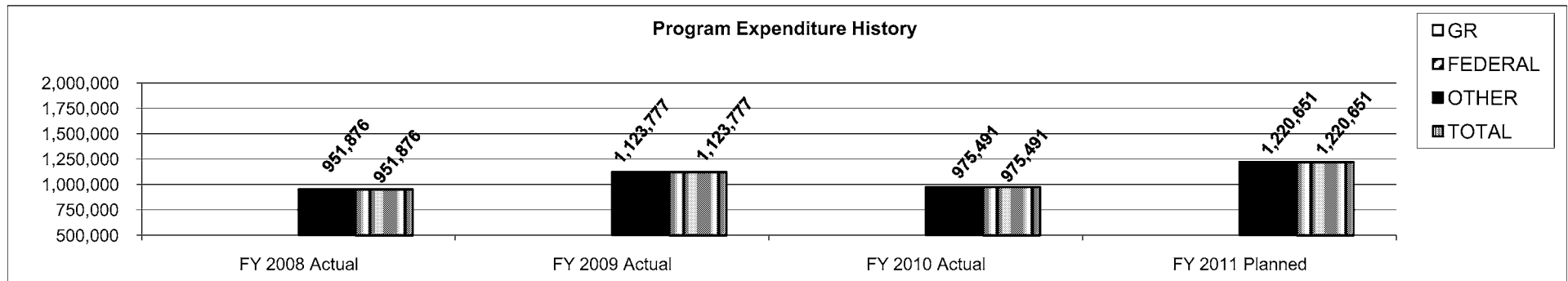
**3. Are there federal matching requirements? If yes, please explain.**

No.

**4. Is this a federally mandated program? If yes, please explain.**

No.

**5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.**



**6. What are the sources of the "Other " funds?**

Insurance Dedicated Fund (0566)

## PROGRAM DESCRIPTION

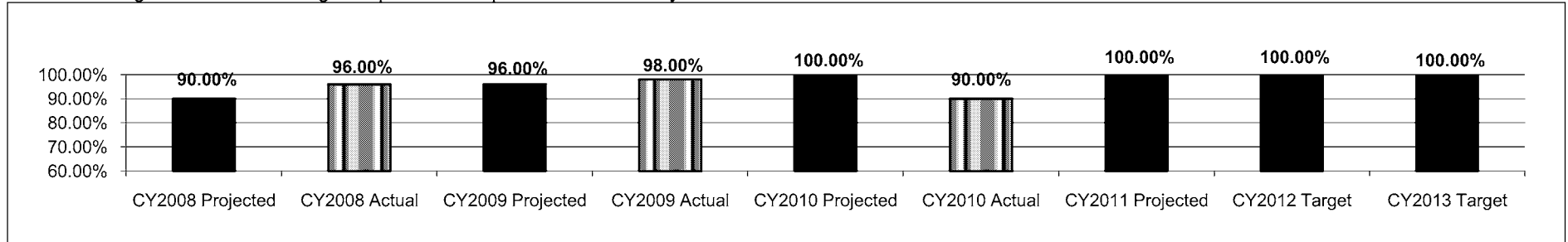
**Department of Insurance, Financial Institutions & Professional Registration**

**Director's Office**

**Program is found in the following core budget(s): Insurance Operations**

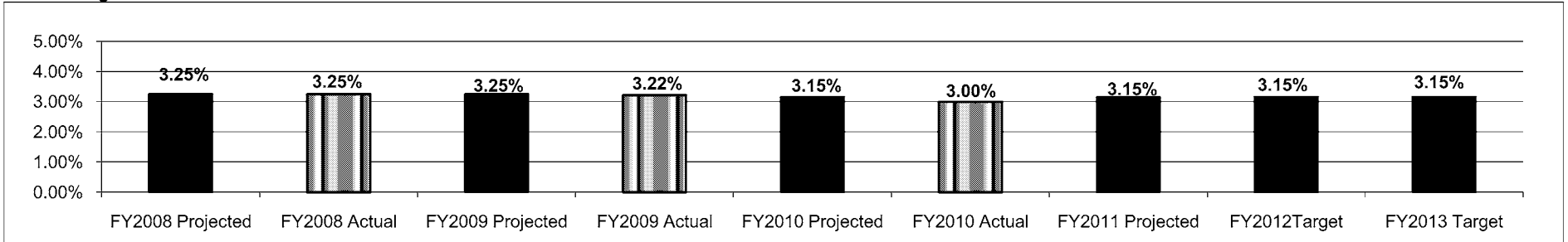
**7a. Provide an effectiveness measure.**

Percent of legal actions involving companies completed with 180 days of referral.



**7b. Provide an efficiency measure.**

Percentage of administrative staff in the director's office.



**7c. Provide the number of clients/individuals served, if applicable.**

Not applicable

**7d. Provide a customer satisfaction measure, if available.**

Not available



## PROGRAM DESCRIPTION

**Department of Insurance, Financial Institutions & Professional Registration**

**Consumer Affairs Division**

**Program is found in the following core budget(s): Insurance Operations**

### 1. What does this program do?

The Consumer Affairs Division answers questions from the public about insurance companies, contracts and citizen concerns through the department's consumer hotline (1-800-726-7390). The division also provides insurance education and outreach activities to Missouri citizens. In disputed insurance claims, the division acts as a liaison between the consumer and the insurance company. The division investigates complaints submitted by the public alleging unfair or unlawful acts committed by insurance companies and agencies, licensed insurance agents and brokers, bail bond agents and public adjusters. The division investigates unlicensed insurance activities, reviews license applications and reviews reports of insurance fraud submitted by insurance companies and consumers.

### 2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

374.085 RSMo.

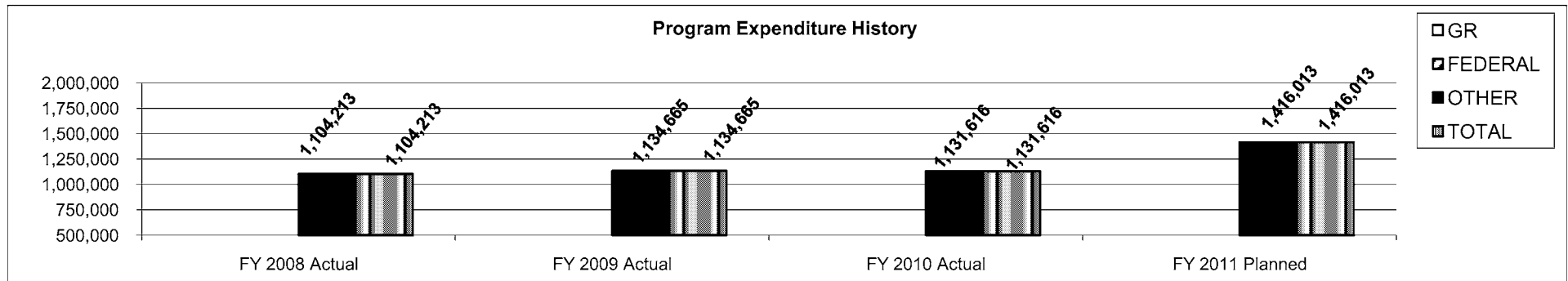
### 3. Are there federal matching requirements? If yes, please explain.

No.

### 4. Is this a federally mandated program? If yes, please explain.

No.

### 5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



### 6. What are the sources of the "Other " funds?

Insurance Dedicated Fund (0566)

## PROGRAM DESCRIPTION

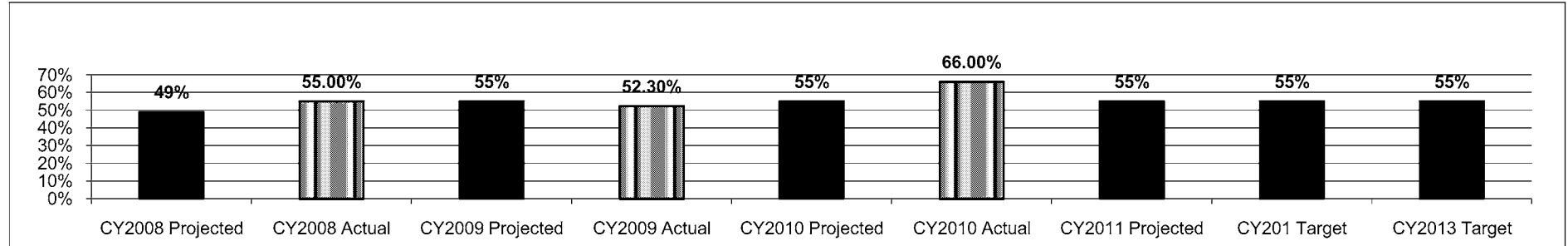
**Department of Insurance, Financial Institutions & Professional Registration**

**Consumer Affairs Division**

**Program is found in the following core budget(s): Insurance Operations**

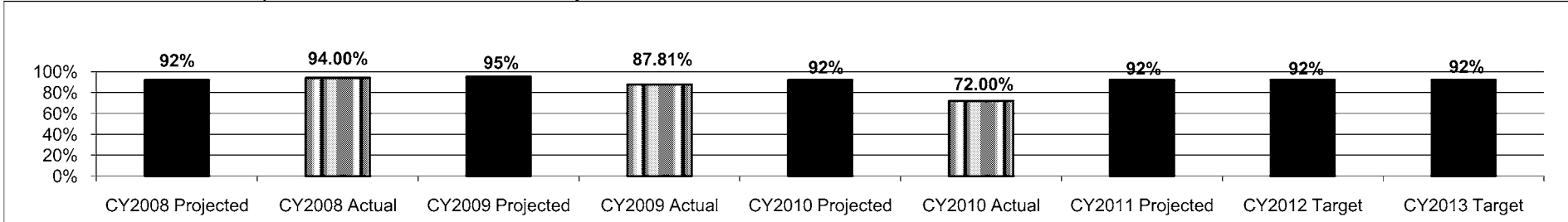
### 7a. Provide an effectiveness measure.

Percent of consumer complaints resulting in a positive outcome (required insurance companies and their agents to follow state statutes and regulations)

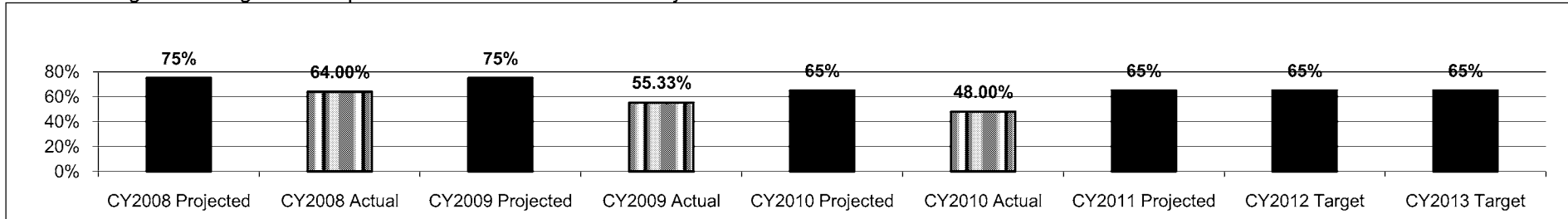


### 7b. Provide an efficiency measure.

Percent of consumer complaints closed in less than 60 days



Percent of agent investigation complaints closed in less than 120 days



## PROGRAM DESCRIPTION

**Department of Insurance, Financial Institutions & Professional Registration**

**Consumer Affairs Division**

**Program is found in the following core budget(s): Insurance Operations**

**7c. Provide the number of clients/individuals served, if applicable.**

	CY2008		CY2009		CY2010		CY2011	CY2012	CY2013
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Proj.	Target	Target
Consumer Complaints	3,800	3,807	3,800	3,818	4,000	3,025	4,000	4,000	4,000
Agent Investigations	600	1,048	800	964	1,000	1,016	1,100	1,100	1,200
Consumer Phone Calls**	30,000	26,004	27,000	27,131	28,000	20,634	16,000	17,000	17,500
Written Inquiries	3,500	3,938	3,500	3,845	3,800	3,459	3,800	3,800	3,800
Walk-ins	150	90	100	72	100	53	100	100	100

\*\* Decrease estimates for CY2011, CY2012 and CY2013 due to change in computer systems used to track call volume. Historically, every call including those related to a case was logged; now this figure reflects calls for new issues or complaints only.

**7d. Provide a customer satisfaction measure, if available.**

Not available.

## PROGRAM DESCRIPTION

**Department of Insurance, Financial Institutions & Professional Registration**

**Insurance Company Regulation Division**

**Program is found in the following core budget(s): Insurance Operations; Insurance Examinations**

	Insurance Operations	Insurance Examinations	Total
GR	0	0	0
FEDERAL	0	0	0
OTHER	2,554,256	2,290,780	4,845,036
<b>TOTAL</b>	<b>2,554,256</b>	<b>2,290,780</b>	<b>4,845,036</b>

**1. What does this program do?**

The Insurance Company Regulation Division monitors the financial condition of all domestic (Missouri based) and foreign (non-Missouri based) insurance companies operating in Missouri. The division conducts financial examinations of domestic insurance companies and analyzes the financial condition of all companies licensed to sell insurance in Missouri. The division seeks to prevent insolvencies of domestic companies and takes appropriate action against any financially unsound company to protect policyholders and taxpayers. The division accounts for premium taxes and admission fees due Missouri and for insurance companies' deposits held to benefit policyholders.

**2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)**

Chapters 148, 287, 374, 375, 376 and 384 RSMo.

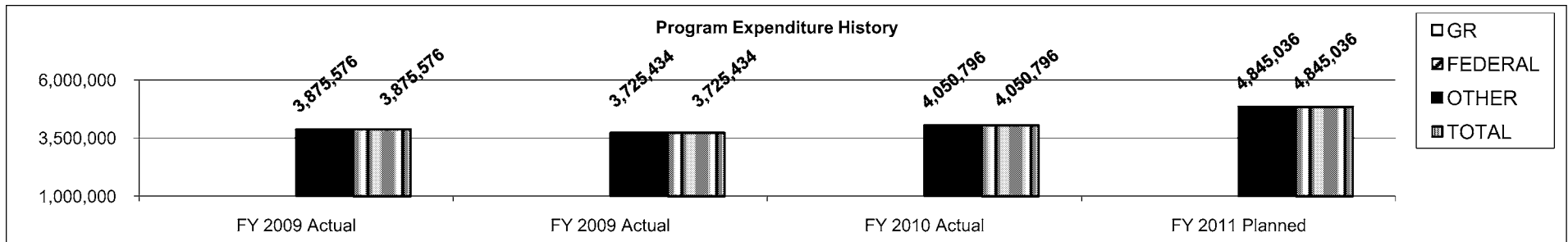
**3. Are there federal matching requirements? If yes, please explain.**

No.

**4. Is this a federally mandated program? If yes, please explain.**

No.

**5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.**



**6. What are the sources of the "Other " funds?**

Insurance Examiners Fund (0552); Insurance Dedicated Fund (0566)

## PROGRAM DESCRIPTION

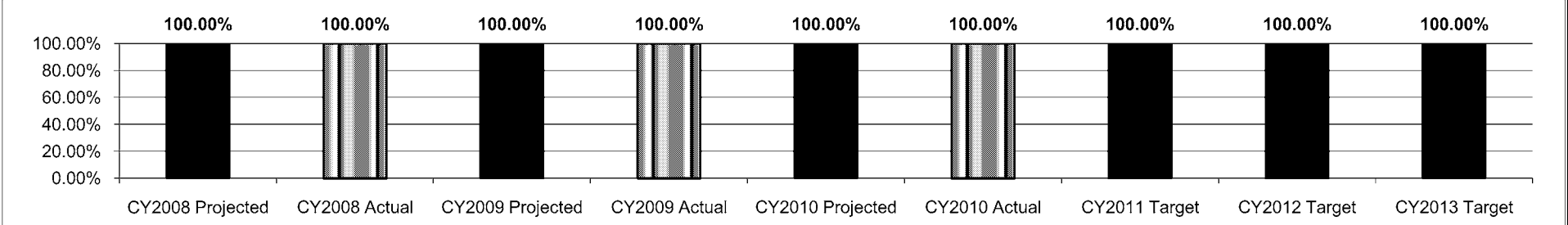
**Department of Insurance, Financial Institutions & Professional Registration**

**Insurance Company Regulation Division**

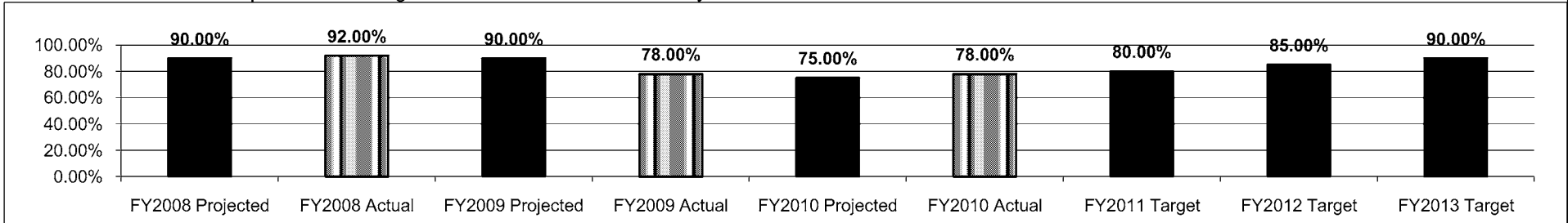
**Program is found in the following core budget(s): Insurance Operations; Insurance Examinations**

### 7a. Provide an effectiveness measure.

Percent of annual multi-state domestic company financial filings reviewed by June 30th each year

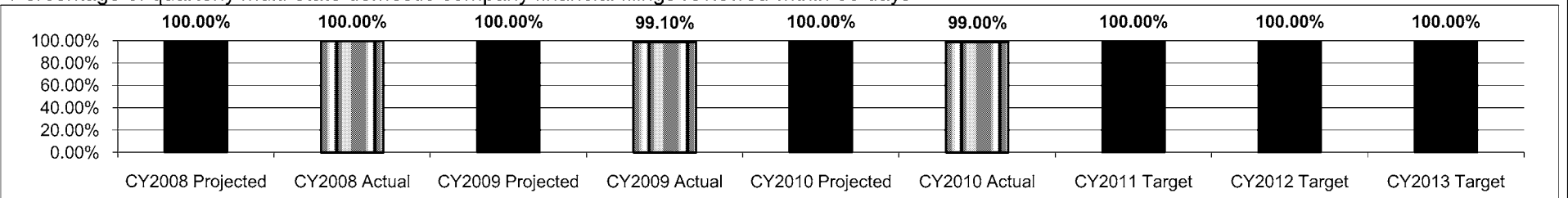


Percent of domestic companies receiving a financial exam within a five-year timeframe



### 7b. Provide an efficiency measure.

Percentage of quarterly multi-state domestic company financial filings reviewed within 60 days



## PROGRAM DESCRIPTION

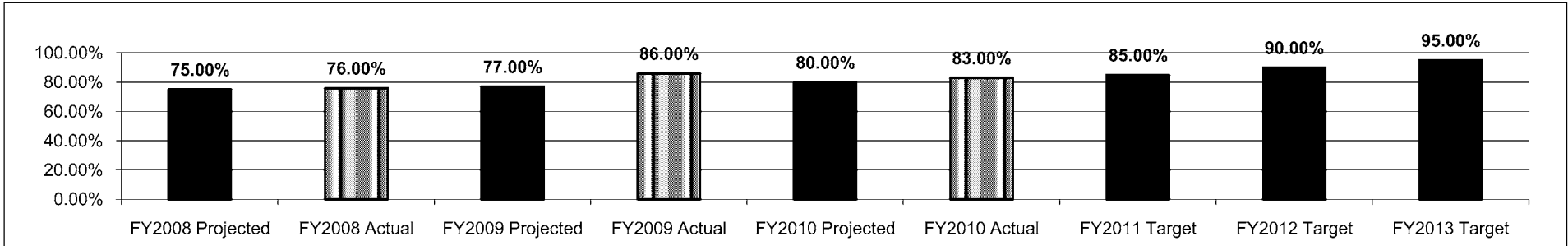
**Department of Insurance, Financial Institutions & Professional Registration**

**Insurance Company Regulation Division**

**Program is found in the following core budget(s): Insurance Operations; Insurance Examinations**

**7b. Provide an efficiency measure. (cont.)**

Percentage of new complete admission applications processed within 90 days



**7c. Provide the number of clients/individuals served, if applicable.**

	CY2008		CY2009		CY2010		CY2011	CY2012	CY2013
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Projected	Target	Target
Number of Domestic Companies	236	221	236	214	220	210	210	210	210
Number of Licensed Companies	1,662	1,824	1,824	1,833	1,850	1,837	1,830	1,830	1,830
Number of Surplus Lines Brokers	816	1,267	1,200	1,371	1,300	1,458	1,300	1,300	1,300
Surplus Lines Tax Collected	23 mil	23.3 mil	23 mil	22 mil	23 mil	23 mil	23 mil	23 mil	23 mil
Premium Tax Collected	175 mil	204 mil	175 mil	194 mil	200 mil	235 mil	200 mil	210 mil	210 mil

**7d. Provide a customer satisfaction measure, if available.**

Not available

## PROGRAM DESCRIPTION

### Department of Insurance, Financial Institutions & Professional Registration

### Insurance Market Regulation Division

Program is found in the following core budget(s): Insurance Operations; Insurance Examinations

	Insurance Operations	Insurance Exam	Total
GR	0	0	0
FEDERAL	0	0	0
OTHER	2,202,059	1,929,086	4,131,145
TOTAL	2,202,059	1,929,086	4,131,145

#### 1. What does this program do?

The Insurance Market Regulation Division protects the interests of Missouri's insurance buying consumers by ensuring companies are conducting business according to all applicable state statutes and regulations. The division performs market conduct examinations of insurance companies operating in the state to ensure equitable treatment of policyholders. The division prepares reports on insurance markets in the state for use by consumers, insurance companies and department staff. In addition, the division oversees activities of Missouri HMOs and reviews company applications for new certificates of authority or service area changes and analyzes trends in HMO activities. The division approves policy forms that an insurer wants to sell in the state and reviews forms, endorsements, illustrations and some rate filings.

#### 2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State: Chapters 354, 374, 375, 379, 381, 385 and 447 RSMo.

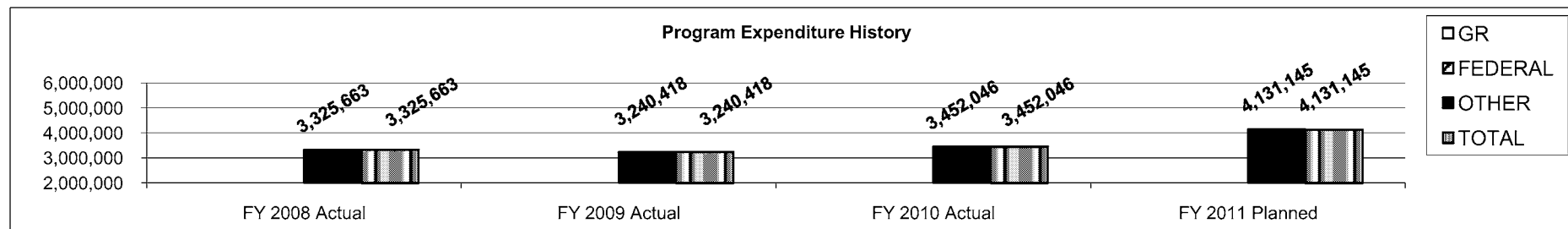
#### 3. Are there federal matching requirements? If yes, please explain.

No.

#### 4. Is this a federally mandated program? If yes, please explain.

No.

#### 5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



#### 6. What are the sources of the "Other " funds?

Insurance Examiners Fund (0552); Insurance Dedicated Fund (0566)

## PROGRAM DESCRIPTION

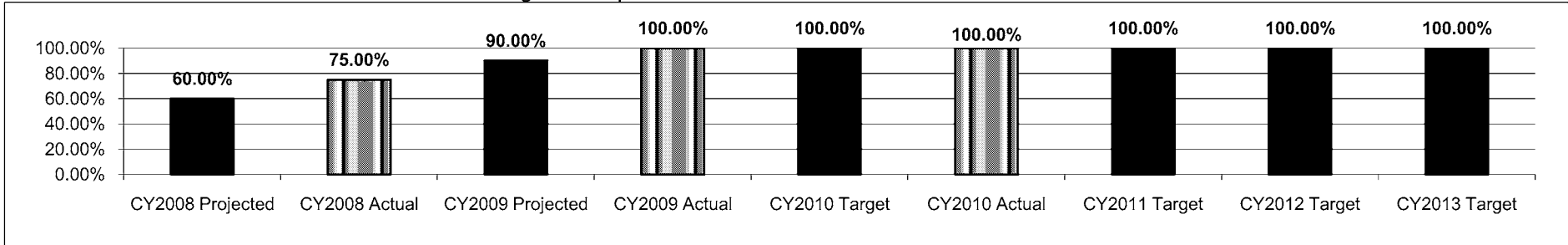
**Department of Insurance, Financial Institutions & Professional Registration**

**Insurance Market Regulation Division**

**Program is found in the following core budget(s): Insurance Operations; Insurance Examinations**

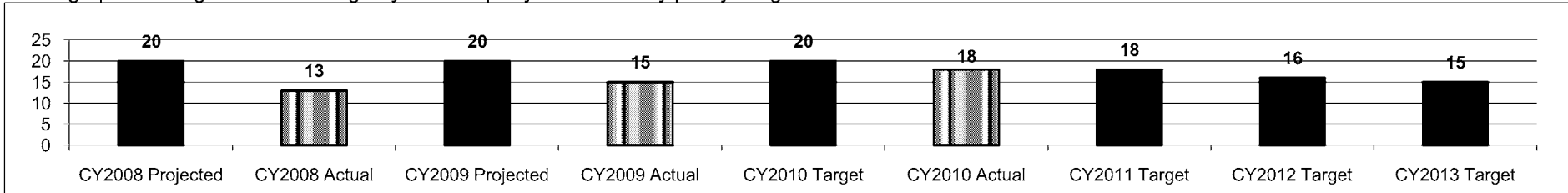
### 7a. Provide an effectiveness measure.

Percent of market conduct examinations that are targeted to specific issues

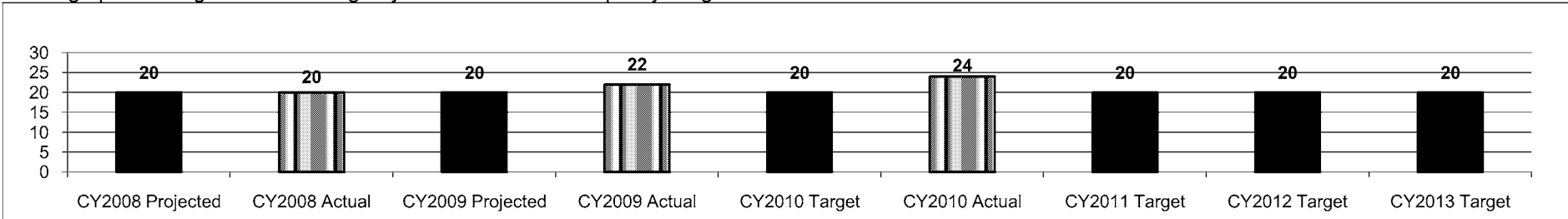


### 7b. Provide an efficiency measure.

Average processing time in working days for Property and Casualty policy filings



Average processing time in working days for Life and Health policy filings





## PROGRAM DESCRIPTION

**Department of Insurance, Financial Institutions & Professional Registration**

**Insurance Market Regulation Division**

**Program is found in the following core budget(s): Insurance Operations; Insurance Examinations**

**7c. Provide the number of clients/individuals served, if applicable.**

	CY2008		CY2009		CY2010		CY2011	CY2012	CY2013
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Projected	Target	Target
P&C filings received	9,000	6,398	7,000	5,470	6,000	5,339	5,400	6,100	5,700
L&H filings received	3,500	3,067	3,000	3,331	3,500	3,443	3,500	3,500	3,500

**7d. Provide a customer satisfaction measure, if available.**

Not available

## PROGRAM DESCRIPTION

**Department of Insurance, Financial Institutions & Professional Registration**

**Resource Administration Division**

**Program is found in the following core budget(s): Insurance Operations**

### 1. What does this program do?

The Resource Administration Division is responsible for the general operation and support within the department. The division prepares the department's annual budget request, monitors department revenues and expenditures, handles personnel and training for department employees and is responsible for monitoring all accounting, procurement and grant management for the department. The division is also responsible for the coordination of department information technology needs with the Information Technology Services Division within the Office of Administration. In addition to support activities, the division is responsible for the licensing and renewals of all insurance producers operating within the state.

### 2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

Chapter 325, 374, 375, 384 RSMo and Article IX section 7 (state school fund deposits).

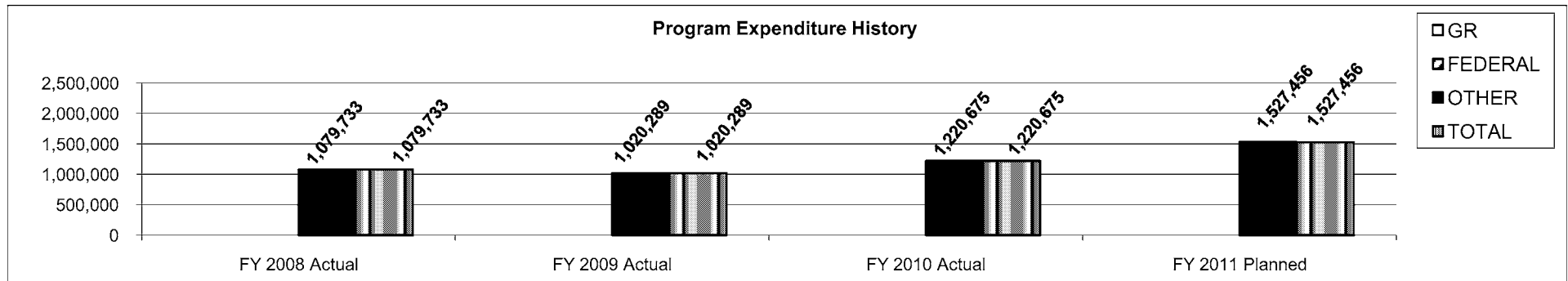
### 3. Are there federal matching requirements? If yes, please explain.

No.

### 4. Is this a federally mandated program? If yes, please explain.

No.

### 5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



### 6. What are the sources of the "Other " funds?

Insurance Dedicated Fund (0566)

## PROGRAM DESCRIPTION

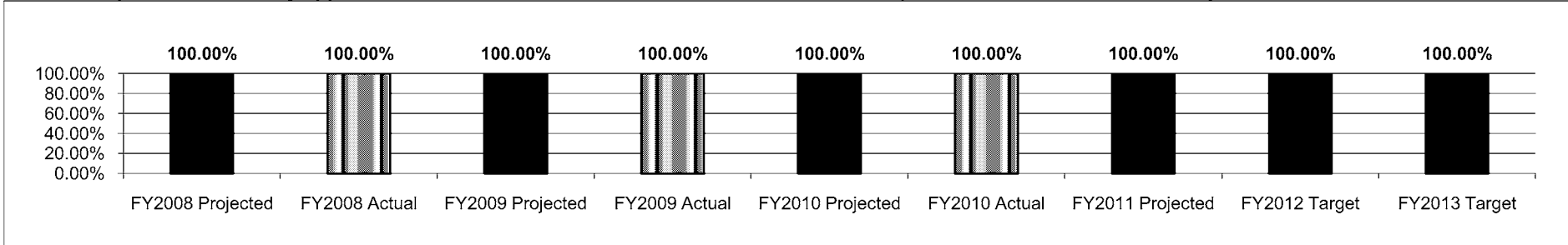
**Department of Insurance, Financial Institutions & Professional Registration**

**Resource Administration Division**

**Program is found in the following core budget(s): Insurance Operations**

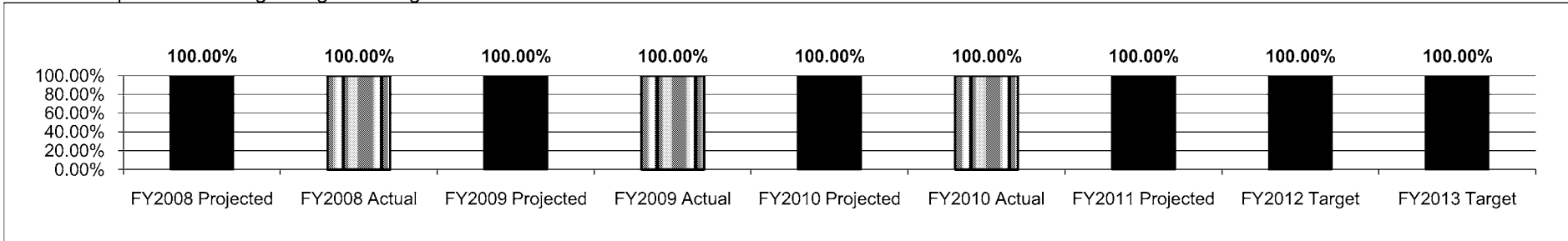
### 7a. Provide an effectiveness measure.

Percent of producer licensing applications, renewal and certifications and clearance letters processed within 5 business days

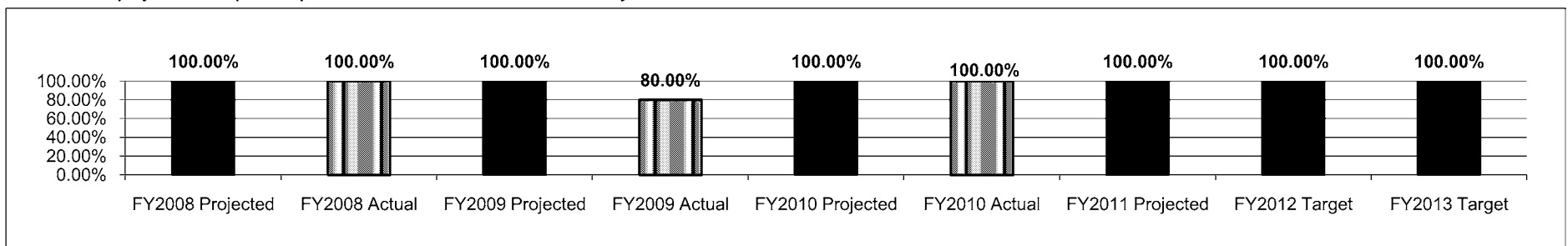


### 7b. Provide an efficiency measure.

Percent of phone calls regarding licensing issues returned within 24 hours



Percent of payment requests processed within 10 business days



\* FY2009 decrease in processing was caused by excessive turnover in personnel.

## PROGRAM DESCRIPTION

**Department of Insurance, Financial Institutions & Professional Registration**

**Resource Administration Division**

**Program is found in the following core budget(s): Insurance Operations**

**7c. Provide the number of clients/individuals served, if applicable.**

	FY2008		FY2009		FY2010		FY2011	FY2012	FY2013
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Target	Target	Target
New licensing applications	25,000	26,838	26,000	23,857	25,000	19,860	22,000	22,000	22,000
Renewal licensing applications	40,000	43,452	40,000	38,572	40,000	43,440	40,000	40,000	40,000
Certification/clearance letters*	2,000	855*	900	445*	300	250	200	200	200
Inquiries to licensing	50,000	52,540	50,000	53,804	53,000	73,975	65,000	65,000	65,000
Number of checks processed**	100,000	93,665	95,000	55,059	55,000	45,977	45,500	45,000	44,500
Number of EFTs processed**						44,476	45,000	45,500	46,000
Number of payments processed	3,000	2,744	3,000	2,424	2,500	2,006	2,000	2,000	2,000

\*Reduction in certification and clearance letters from FY2006 to FY2010 due to increased utilization of NAIC's Producer Database (PDB) to verify licensure in other jurisdictions.

\*\*Reduction in checks processed in FY2009 is a result of SERFF EFT being implemented. EFTs were not estimated or accepted prior to FY2010.

**7d. Provide a customer satisfaction measure, if available.**

Not available

## PROGRAM DESCRIPTION

**Department of Insurance, Financial Institutions & Professional Registration**

**Consumer Restitution Fund**

**Program is found in the following core budget(s): Insurance Operations**

**1. What does this program do?**

The Consumer Restitution Fund was established for the purpose of preserving and distributing to aggrieved consumers funds obtained through enforcement proceedings by the director.

**2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)**

374.048 RSMo.

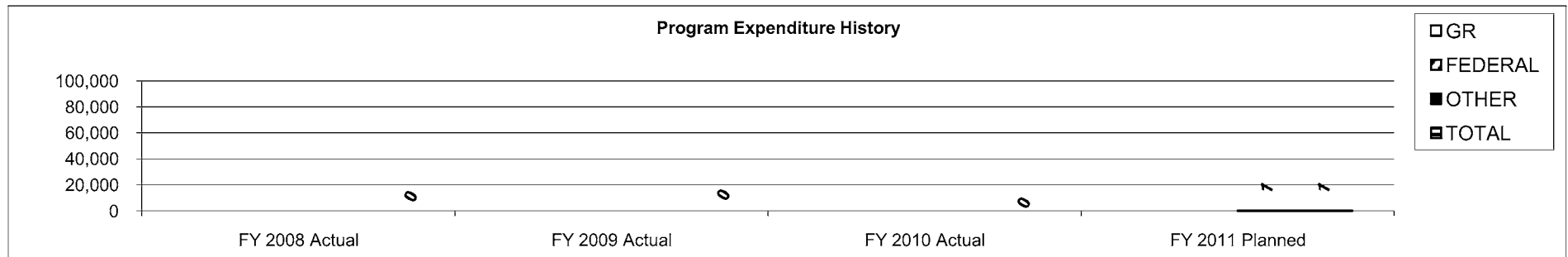
**3. Are there federal matching requirements? If yes, please explain.**

No.

**4. Is this a federally mandated program? If yes, please explain.**

No.

**5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.**



**6. What are the sources of the "Other " funds?**

Consumer Restitution Fund (0792)

**7a. Provide an effectiveness measure.**

Not available

**7b. Provide an efficiency measure.**

Not available

**7c.**

**Provide the number of clients/individuals served, if applicable.**

Not available

**7d.**

**Provide a customer satisfaction measure, if available.**

Not available



**DIFP****DECISION ITEM SUMMARY**

Budget Unit								
Decision Item	FY 2010	FY 2010	FY 2011	FY 2011	FY 2012	FY 2012	FY 2012	FY 2012
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
<b>INSURANCE EXAMINATIONS</b>								
<b>CORE</b>								
PERSONAL SERVICES								
INSURANCE EXAMINERS FUND	3,216,462	44.75	3,418,090	44.50	3,239,880	42.50	3,239,880	42.50
TOTAL - PS	3,216,462	44.75	3,418,090	44.50	3,239,880	42.50	3,239,880	42.50
EXPENSE & EQUIPMENT								
INSURANCE EXAMINERS FUND	485,341	0.00	801,776	0.00	801,776	0.00	801,776	0.00
TOTAL - EE	485,341	0.00	801,776	0.00	801,776	0.00	801,776	0.00
<b>TOTAL</b>	<b>3,701,803</b>	<b>44.75</b>	<b>4,219,866</b>	<b>44.50</b>	<b>4,041,656</b>	<b>42.50</b>	<b>4,041,656</b>	<b>42.50</b>
<b>GRAND TOTAL</b>	<b>\$3,701,803</b>	<b>44.75</b>	<b>\$4,219,866</b>	<b>44.50</b>	<b>\$4,041,656</b>	<b>42.50</b>	<b>\$4,041,656</b>	<b>42.50</b>

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## CORE DECISION ITEM

Department of Insurance, Financial Institutions & Professional Registration					Budget Unit 37510C				
Insurance									
Core - Insurance Examinations									
1. CORE FINANCIAL SUMMARY									
FY 2012 Budget Request					FY 2012 Governor's Recommendation				
	GR	Federal	Other	Total		GR	Fed	Other	Total
PS	0	0	3,239,880	3,239,880	PS	0	0	3,239,880	3,239,880
EE	0	0	801,776	801,776	EE	0	0	801,776	801,776
PSD	0	0	0	0	PSD	0	0	0	0
TRF	0	0	0	0	TRF	0	0	0	0
Total	0	0	4,041,656	4,041,656	Total	0	0	4,041,656	4,041,656
FTE	0.00	0.00	42.50	42.50	FTE	0.00	0.00	42.50	42.50
Est. Fringe	0	0	1,802,993	1,802,993	Est. Fringe	0	0	1,802,993	1,802,993
Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.					Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.				
Other Funds: Insurance Examiners Fund (0552)					Other Funds: Insurance Examiners Fund (0552)				
2. CORE DESCRIPTION									
<p>Core request for the Insurance Examiners Fund. This fund serves as a revolving fund to collect fees assessed and pay expenses of insurance company examinations. The department conducts both financial and market conduct examinations. Financial examinations ensure insurance companies have sufficient reserves to pay consumer claims and consumer access to affordable insurance coverage. Market conduct examinations of insurance companies serve to verify that policyholders and beneficiaries receive the full benefits from the contracts they and the insurer have agreed to. Since many of the insurance companies examined have physical locations outside of the state, department examiners must go to these locations to examine company records. The expenses of these examinations are billed to the companies examined. The costs assessed to the companies for examinations can be taken as credits against the company's premium tax payment to the state.</p> <p><b>Core Reallocation:</b> The Insurance Examinations Core has decreased due to continued implementation of SB 66 (TAFP 2007). The department has continued to increase upfront analysis since implementation began. SB 66 restricted assessments for insurance examinations to staff directly contributing to the examination, their benefits and related travel and expenses. The department cannot charge insurance companies for upfront analysis unless an examination is called. Staff (and supervisory) time not directly attributable to an examination must be charged to the Insurance Dedicated Fund. The department has determined that 2.00 FTE and \$178,210 PS will need to be moved to the Insurance Operations core to pay for supervision, additional analysis and investigation hours. These activities are not attributable to an exam.</p>									



# CORE DECISION ITEM

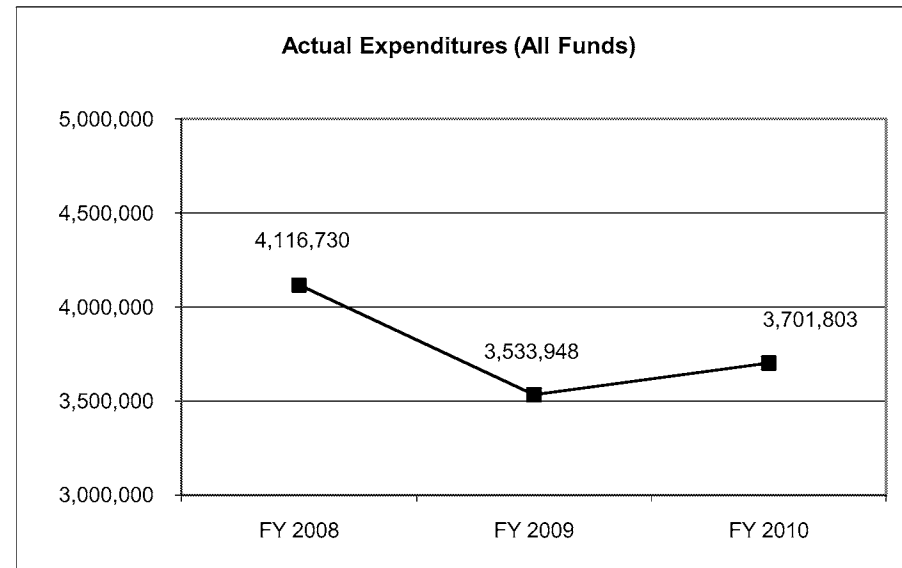
Department of Insurance, Financial Institutions & Professional Registration	Budget Unit	37510C
Insurance		
Core - Insurance Examinations		

## 3. PROGRAM LISTING (list programs included in this core funding)

Insurance Company Regulation Division  
Insurance Market Regulation Division

## 4. FINANCIAL HISTORY

	FY 2008 Actual	FY 2009 Actual	FY 2010 Actual	FY 2011 Current Yr.
Appropriation (All Funds)	7,441,258	5,282,098	4,219,886	4,219,866
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	7,441,258	5,282,098	4,219,886	N/A
Actual Expenditures (All Funds)	4,116,730	3,533,948	3,701,803	N/A
Unexpended (All Funds)	3,324,528	1,748,150	518,083	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	3,324,528	1,748,150	518,083	N/A
	(1)	(1)	(1)	



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

## NOTES:

(1) Changes in expenditures due to fund switch of staff and expenses to the Insurance Operations Core to implement SB66 (2007).

**CORE RECONCILIATION DETAIL**

DIFP  
INSURANCE EXAMINATIONS

**5. CORE RECONCILIATION DETAIL**

				Budget Class	FTE	GR	Federal	Other	Total	Explanation
<b>TAFP AFTER VETOES</b>										
				PS	44.50	0	0	3,418,090	3,418,090	
				EE	0.00	0	0	801,776	801,776	
				<b>Total</b>	<b>44.50</b>	<b>0</b>	<b>0</b>	<b>4,219,866</b>	<b>4,219,866</b>	
<b>DEPARTMENT CORE ADJUSTMENTS</b>										
Core Reallocation	481	0793		PS	(2.00)	0	0	(178,210)	(178,210)	Reallocate 2.00 FTE for continued implementation of SB 66 (2007)
<b>NET DEPARTMENT CHANGES</b>					<b>(2.00)</b>	<b>0</b>	<b>0</b>	<b>(178,210)</b>	<b>(178,210)</b>	
<b>DEPARTMENT CORE REQUEST</b>										
				PS	42.50	0	0	3,239,880	3,239,880	
				EE	0.00	0	0	801,776	801,776	
				<b>Total</b>	<b>42.50</b>	<b>0</b>	<b>0</b>	<b>4,041,656</b>	<b>4,041,656</b>	
<b>GOVERNOR'S RECOMMENDED CORE</b>										
				PS	42.50	0	0	3,239,880	3,239,880	
				EE	0.00	0	0	801,776	801,776	
				<b>Total</b>	<b>42.50</b>	<b>0</b>	<b>0</b>	<b>4,041,656</b>	<b>4,041,656</b>	

DIFP

DECISION ITEM DETAIL

Budget Unit	FY 2010	FY 2010	FY 2011	FY 2011	FY 2012	FY 2012	FY 2012	FY 2012
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
<b>INSURANCE EXAMINATIONS</b>								
<b>CORE</b>								
RESEARCH ANAL IV	1,335	0.02	0	0.00	0	0.00	0	0.00
INSURANCE FINANCIAL ANAL SPEC	7,872	0.19	0	0.00	0	0.00	0	0.00
INSURANCE REGULATORY MGR B2	874	0.02	0	0.00	0	0.00	0	0.00
SENIOR COUNSEL	9,249	0.17	0	0.00	0	0.00	0	0.00
AUDIT MANAGER-FINANCIAL EXAM	23,893	0.25	0	0.00	0	0.00	0	0.00
CHIEF MARKET CONDUCT EXAM	1,366	0.01	0	0.00	0	0.00	0	0.00
M C EXAMINER II	347,378	7.04	456,042	6.68	456,042	6.68	456,042	6.68
M C EXAMINER III	590,776	8.16	438,026	5.77	513,940	6.77	513,940	6.77
EXAMINER-IN-CHARGE MC	457,772	5.38	737,399	8.55	483,275	5.55	483,275	5.55
AUDIT MANAGER-MARKET CONDUCT	3,675	0.04	0	0.00	0	0.00	0	0.00
FINANCIAL EXAMINER I	66,617	1.38	0	0.00	0	0.00	0	0.00
FINANCIAL EXAMINER II	127,599	2.25	0	0.00	0	0.00	0	0.00
FINANCIAL EXAMINER III	943,608	12.72	1,109,422	15.90	1,109,422	15.90	1,109,422	15.90
EXAMINER-IN-CHARGE FINANCIAL	634,448	7.12	677,201	7.60	677,201	7.60	677,201	7.60
<b>TOTAL - PS</b>	<b>3,216,462</b>	<b>44.75</b>	<b>3,418,090</b>	<b>44.50</b>	<b>3,239,880</b>	<b>42.50</b>	<b>3,239,880</b>	<b>42.50</b>
TRAVEL, IN-STATE	181,671	0.00	206,064	0.00	206,064	0.00	206,064	0.00
TRAVEL, OUT-OF-STATE	259,555	0.00	497,135	0.00	497,135	0.00	497,135	0.00
SUPPLIES	5,100	0.00	18,844	0.00	18,844	0.00	18,844	0.00
PROFESSIONAL DEVELOPMENT	0	0.00	1	0.00	1	0.00	1	0.00
COMMUNICATION SERV & SUPP	34,462	0.00	51,545	0.00	51,545	0.00	51,545	0.00
PROFESSIONAL SERVICES	2,254	0.00	19,987	0.00	19,987	0.00	19,987	0.00
M&R SERVICES	0	0.00	5,000	0.00	5,000	0.00	5,000	0.00
OFFICE EQUIPMENT	2,299	0.00	1,197	0.00	1,197	0.00	1,197	0.00
OTHER EQUIPMENT	0	0.00	1	0.00	1	0.00	1	0.00
PROPERTY & IMPROVEMENTS	0	0.00	1	0.00	1	0.00	1	0.00
EQUIPMENT RENTALS & LEASES	0	0.00	1,000	0.00	1,000	0.00	1,000	0.00
MISCELLANEOUS EXPENSES	0	0.00	1,000	0.00	1,000	0.00	1,000	0.00

**DIFP**

**DECISION ITEM DETAIL**

Budget Unit	FY 2010	FY 2010	FY 2011	FY 2011	FY 2012	FY 2012	FY 2012	FY 2012
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
<b>INSURANCE EXAMINATIONS</b>								
<b>CORE</b>								
REBILLABLE EXPENSES	0	0.00	1	0.00	1	0.00	1	0.00
TOTAL - EE	485,341	0.00	801,776	0.00	801,776	0.00	801,776	0.00
<b>GRAND TOTAL</b>	<b>\$3,701,803</b>	<b>44.75</b>	<b>\$4,219,866</b>	<b>44.50</b>	<b>\$4,041,656</b>	<b>42.50</b>	<b>\$4,041,656</b>	<b>42.50</b>
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
OTHER FUNDS	\$3,701,803	44.75	\$4,219,866	44.50	\$4,041,656	42.50	\$4,041,656	42.50

## PROGRAM DESCRIPTION

**Department of Insurance, Financial Institutions & Professional Registration**

**Insurance Company Regulation Division**

**Program is found in the following core budget(s): Insurance Operations; Insurance Examinations**

	Insurance Operations	Insurance Examinations	Total
<b>GR</b>	0	0	0
<b>FEDERAL</b>	0	0	0
<b>OTHER</b>	2,554,256	2,290,780	4,845,036
<b>TOTAL</b>	2,554,256	2,290,780	4,845,036

**1. What does this program do?**

The Insurance Company Regulation Division monitors the financial condition of all domestic (Missouri based) and foreign (non-Missouri based) insurance companies operating in Missouri. The division conducts financial examinations of domestic insurance companies and analyzes the financial condition of all companies licensed to sell insurance in Missouri. The division seeks to prevent insolvencies of domestic companies and takes appropriate action against any financially unsound company to protect policyholders and taxpayers. The division accounts for premium taxes and admission fees due Missouri and for insurance companies' deposits held to benefit policyholders.

**2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)**

Chapters 148, 287, 374, 375, 376 and 384 RSMo.

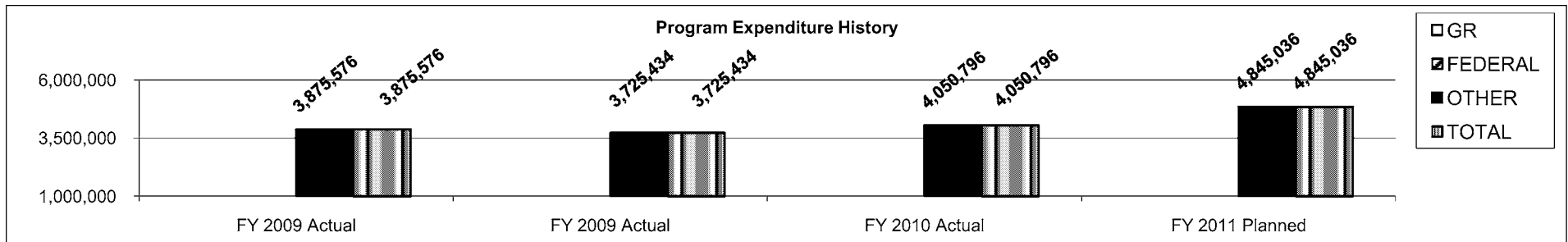
**3. Are there federal matching requirements? If yes, please explain.**

No.

**4. Is this a federally mandated program? If yes, please explain.**

No.

**5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.**



**6. What are the sources of the "Other " funds?**

Insurance Examiners Fund (0552); Insurance Dedicated Fund (0566)

## PROGRAM DESCRIPTION

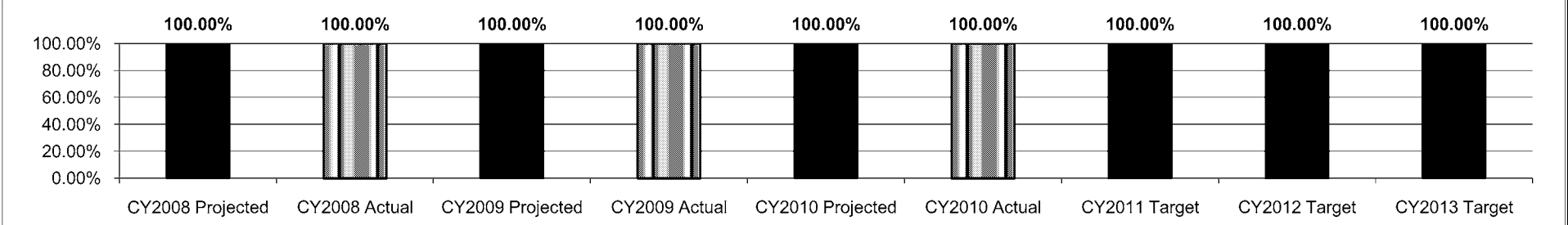
**Department of Insurance, Financial Institutions & Professional Registration**

**Insurance Company Regulation Division**

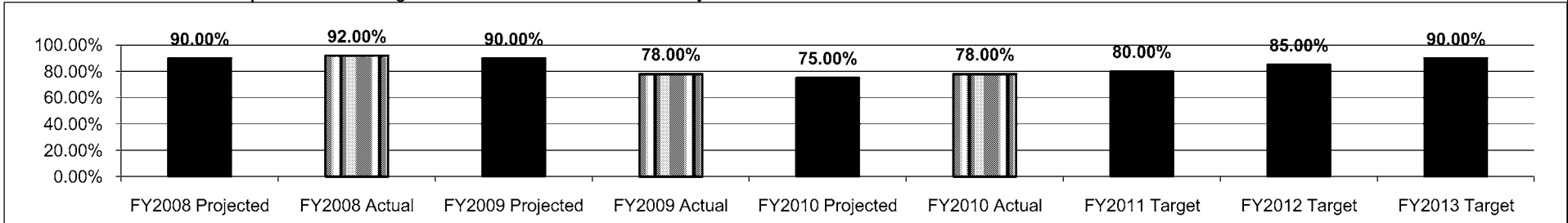
**Program is found in the following core budget(s): Insurance Operations; Insurance Examinations**

### 7a. Provide an effectiveness measure.

Percent of annual multi-state domestic company financial filings reviewed by June 30th each year

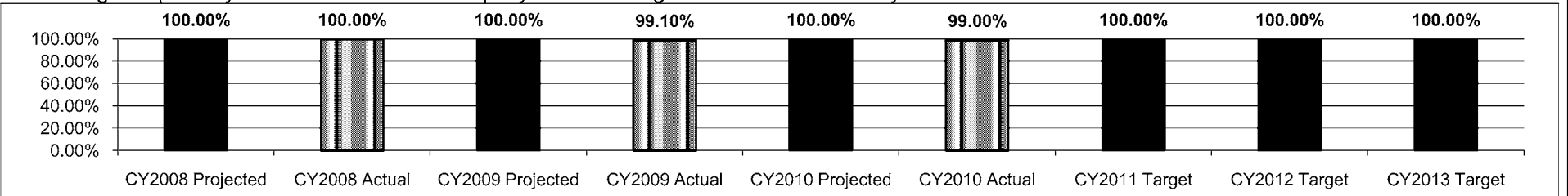


Percent of domestic companies receiving a financial exam within a five-year timeframe



### 7b. Provide an efficiency measure.

Percentage of quarterly multi-state domestic company financial filings reviewed within 60 days



## PROGRAM DESCRIPTION

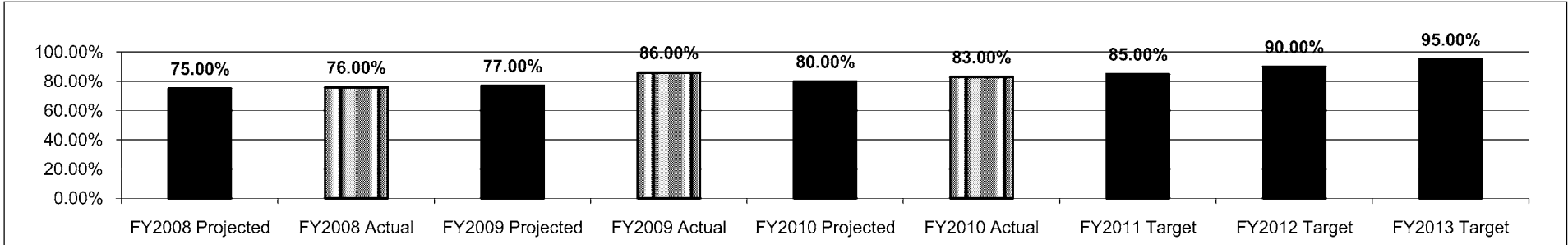
**Department of Insurance, Financial Institutions & Professional Registration**

**Insurance Company Regulation Division**

**Program is found in the following core budget(s): Insurance Operations; Insurance Examinations**

**7b. Provide an efficiency measure. (cont.)**

Percentage of new complete admission applications processed within 90 days



**7c. Provide the number of clients/individuals served, if applicable.**

	CY2008		CY2009		CY2010		CY2011	CY2012	CY2013
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Projected	Target	Target
Number of Domestic Companies	236	221	236	214	220	210	210	210	210
Number of Licensed Companies	1,662	1,824	1,824	1,833	1,850	1,837	1,830	1,830	1,830
Number of Surplus Lines Brokers	816	1,267	1,200	1,371	1,300	1,458	1,300	1,300	1,300
Surplus Lines Tax Collected	23 mil	23.3 mil	23 mil	22 mil	23 mil	23 mil	23 mil	23 mil	23 mil
Premium Tax Collected	175 mil	204 mil	175 mil	194 mil	200 mil	235 mil	200 mil	210 mil	210 mil

**7d. Provide a customer satisfaction measure, if available.**

Not available

## PROGRAM DESCRIPTION

**Department of Insurance, Financial Institutions & Professional Registration**  
**Insurance Market Regulation Division**  
**Program is found in the following core budget(s): Insurance Operations; Insurance Examinations**

	Insurance Operations	Insurance Exam	Total
<b>GR</b>	0	0	0
<b>FEDERAL</b>	0	0	0
<b>OTHER</b>	2,202,059	1,929,086	4,131,145
<b>TOTAL</b>	2,202,059	1,929,086	4,131,145

### 1. What does this program do?

The Insurance Market Regulation Division protects the interests of Missouri's insurance buying consumers by ensuring companies are conducting business according to all applicable state statutes and regulations. The division performs market conduct examinations of insurance companies operating in the state to ensure equitable treatment of policyholders. The division prepares reports on insurance markets in the state for use by consumers, insurance companies and department staff. In addition, the division oversees activities of Missouri HMOs and reviews company applications for new certificates of authority or service area changes and analyzes trends in HMO activities. The division approves policy forms that an insurer wants to sell in the state and reviews forms, endorsements, illustrations and some rate filings.

### 2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State: Chapters 354, 374, 375, 379, 381, 385 and 447 RSMo.

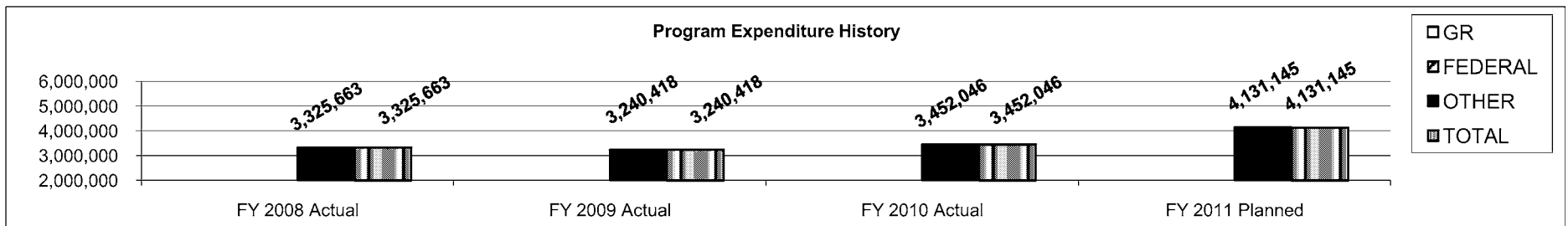
### 3. Are there federal matching requirements? If yes, please explain.

No.

### 4. Is this a federally mandated program? If yes, please explain.

No.

### 5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



### 6. What are the sources of the "Other " funds?

Insurance Examiners Fund (0552); Insurance Dedicated Fund (0566)



## PROGRAM DESCRIPTION

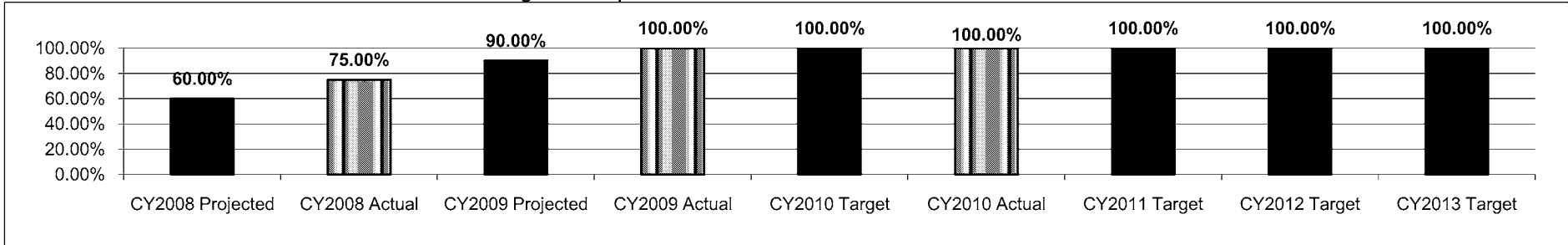
**Department of Insurance, Financial Institutions & Professional Registration**

**Insurance Market Regulation Division**

**Program is found in the following core budget(s): Insurance Operations; Insurance Examinations**

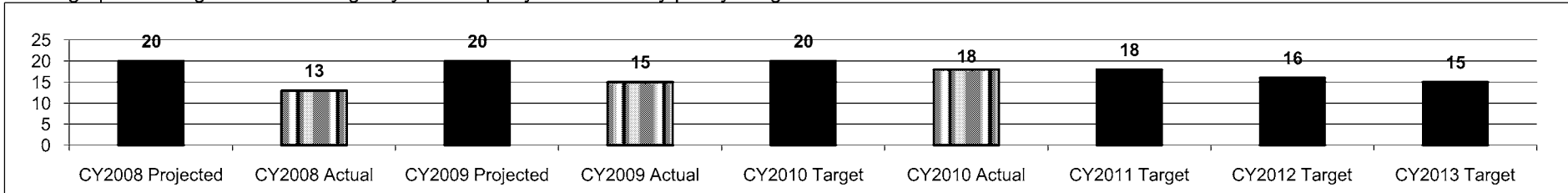
### 7a. Provide an effectiveness measure.

Percent of market conduct examinations that are targeted to specific issues

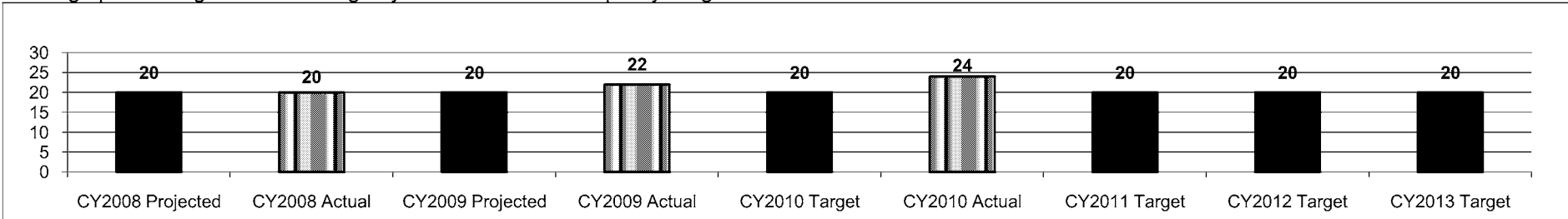


### 7b. Provide an efficiency measure.

Average processing time in working days for Property and Casualty policy filings



Average processing time in working days for Life and Health policy filings



## PROGRAM DESCRIPTION

**Department of Insurance, Financial Institutions & Professional Registration**

**Insurance Market Regulation Division**

**Program is found in the following core budget(s): Insurance Operations; Insurance Examinations**

**7c. Provide the number of clients/individuals served, if applicable.**

	CY2008		CY2009		CY2010		CY2011	CY2012	CY2013
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Projected	Target	Target
P&C filings received	9,000	6,398	7,000	5,470	6,000	5,339	5,400	6,100	5,700
L&H filings received	3,500	3,067	3,000	3,331	3,500	3,443	3,500	3,500	3,500

**7d. Provide a customer satisfaction measure, if available.**

Not available



# DIFP

# DECISION ITEM SUMMARY

Budget Unit									
Decision Item	FY 2010	FY 2010	FY 2011	FY 2011	FY 2012	FY 2012	FY 2012	FY 2012	
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC	
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	
<b>INSURANCE REFUNDS</b>									
<b>CORE</b>									
PROGRAM-SPECIFIC									
INSURANCE EXAMINERS FUND	0	0.00	1	0.00	1	0.00	1	0.00	
INSURANCE DEDICATED FUND	14,427	0.00	75,000	0.00	75,000	0.00	75,000	0.00	
TOTAL - PD	14,427	0.00	75,001	0.00	75,001	0.00	75,001	0.00	
<b>TOTAL</b>	<b>14,427</b>	<b>0.00</b>	<b>75,001</b>	<b>0.00</b>	<b>75,001</b>	<b>0.00</b>	<b>75,001</b>	<b>0.00</b>	
<b>GRAND TOTAL</b>	<b>\$14,427</b>	<b>0.00</b>	<b>\$75,001</b>	<b>0.00</b>	<b>\$75,001</b>	<b>0.00</b>	<b>\$75,001</b>	<b>0.00</b>	

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# CORE DECISION ITEM

Department of Insurance, Financial Institutions & Professional Registration					Budget Unit <u>37520C</u>				
Insurance									
Core - Insurance Refunds									
<b>1. CORE FINANCIAL SUMMARY</b>									
FY 2012 Budget Request					FY 2012 Governor's Recommendation				
	GR	Federal	Other	Total		GR	Fed	Other	Total
PS	0	0	0	0	PS	0	0	0	0
EE	0	0	0	0	EE	0	0	0	0
PSD	0	0	75,001	75,001 E	PSD	0	0	75,001	75,001 E
TRF	0	0	0	0	TRF	0	0	0	0
<b>Total</b>	<b>0</b>	<b>0</b>	<b>75,001</b>	<b>75,001 E</b>	<b>Total</b>	<b>0</b>	<b>0</b>	<b>75,001</b>	<b>75,001 E</b>
FTE	0.00	0.00	0.00	0.00	FTE	0.00	0.00	0.00	0.00
<b>Est. Fringe</b>	0	0	0	0	<b>Est. Fringe</b>	0	0	0	0
<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>					<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>				
Other Funds:	Insurance Examiners Fund (0552) Insurance Dedicated Fund (0566)				Other Funds:	Insurance Examiners Fund (0552) Insurance Dedicated Fund (0566)			
Notes:	An "E" is requested for the \$75,001 Other Funds				Notes:	An "E" is requested for the \$75,001 Other Funds			
<b>2. CORE DESCRIPTION</b>									
<p>This core request is needed to refund incorrect or overpayment of insurance fees received from individuals and insurance companies. When any incorrect or overpayment of fees is received, a refund is issued from the appropriate insurance fund using this appropriation. This appropriation is an estimated appropriation because the department cannot project the amount or number of refunds that will occur during a fiscal year.</p>									
<b>3. PROGRAM LISTING (list programs included in this core funding)</b>									
Insurance Refunds									

# **CORE DECISION ITEM**

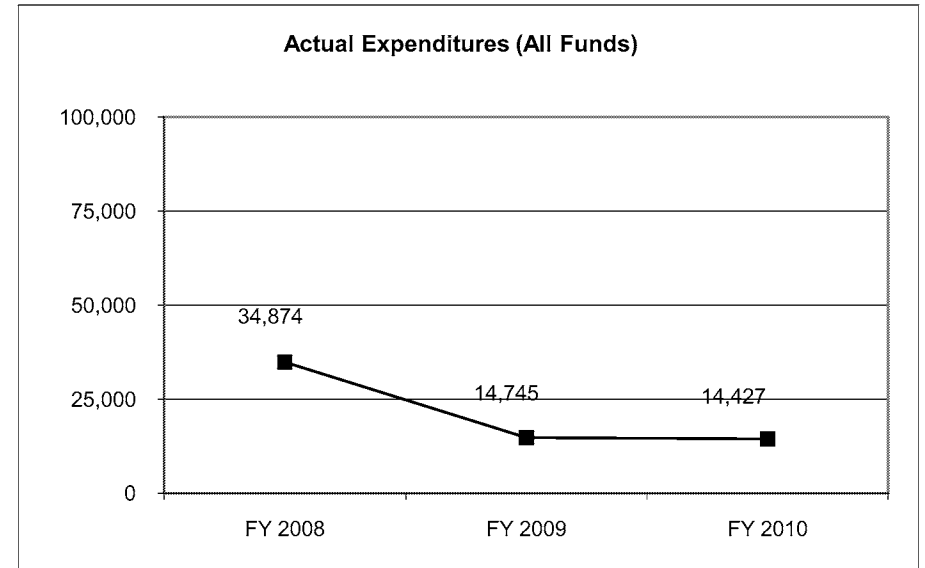
**Department of Insurance, Financial Institutions & Professional Registration**      **Budget Unit** 37520C

**Insurance**

**Core - Insurance Refunds**

## **4. FINANCIAL HISTORY**

	<b>FY 2008 Actual</b>	<b>FY 2009 Actual</b>	<b>FY 2010 Actual</b>	<b>FY 2011 Current Yr.</b>	
Appropriation (All Funds)	75,001	75,001	75,001	75,001	E
Less Reverted (All Funds)	0	0	0	N/A	
Budget Authority (All Funds)	75,001	75,001	75,001	N/A	
Actual Expenditures (All Funds)	34,874	14,745	14,427	N/A	
Unexpended (All Funds)	40,127	60,256	60,574	N/A	
Unexpended, by Fund:					
General Revenue	0	0	0	N/A	
Federal	0	0	0	N/A	
Other	40,127	60,256	60,574	N/A	



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

## **NOTES:**

**CORE RECONCILIATION DETAIL**

**DIFP  
INSURANCE REFUNDS**

**5. CORE RECONCILIATION DETAIL**

	<b>Budget Class</b>	<b>FTE</b>	<b>GR</b>	<b>Federal</b>	<b>Other</b>	<b>Total</b>	<b>Explanation</b>
<b>TAFP AFTER VETOES</b>							
	PD	0.00	0	0	75,001	75,001	
	<b>Total</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>75,001</b>	<b>75,001</b>	
<b>DEPARTMENT CORE REQUEST</b>							
	PD	0.00	0	0	75,001	75,001	
	<b>Total</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>75,001</b>	<b>75,001</b>	
<b>GOVERNOR'S RECOMMENDED CORE</b>							
	PD	0.00	0	0	75,001	75,001	
	<b>Total</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>75,001</b>	<b>75,001</b>	

# DIFP

# DECISION ITEM DETAIL

Budget Unit	FY 2010	FY 2010	FY 2011	FY 2011	FY 2012	FY 2012	FY 2012	FY 2012
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
<b>INSURANCE REFUNDS</b>								
<b>CORE</b>								
REFUNDS	14,427	0.00	75,001	0.00	75,001	0.00	75,001	0.00
TOTAL - PD	14,427	0.00	75,001	0.00	75,001	0.00	75,001	0.00
<b>GRAND TOTAL</b>	<b>\$14,427</b>	<b>0.00</b>	<b>\$75,001</b>	<b>0.00</b>	<b>\$75,001</b>	<b>0.00</b>	<b>\$75,001</b>	<b>0.00</b>
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
OTHER FUNDS	\$14,427	0.00	\$75,001	0.00	\$75,001	0.00	\$75,001	0.00



## PROGRAM DESCRIPTION

**Department of Insurance, Financial Institutions & Professional Registration**

**Insurance Refunds**

**Program is found in the following core budget(s): Insurance Refunds**

### 1. What does this program do?

Individuals and companies sometimes submit incorrect insurance fee amounts to the department. According to Section 374.150 RSMo., all fees due the state under the provisions of the insurance laws shall be deposited to the credit of department funds unless otherwise provided. The department deposits fees upon receipt, and if it is determined that an incorrect or overpayment has occurred then a refund is generated.

An estimated appropriation is needed as the amount of refunds that will occur during a fiscal year is unknown.

### 2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

374.150 RSMo.

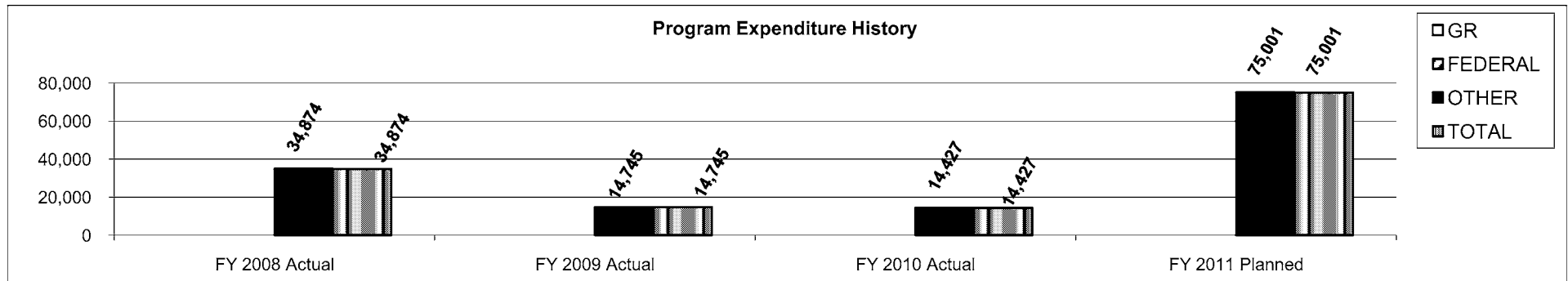
### 3. Are there federal matching requirements? If yes, please explain.

No.

### 4. Is this a federally mandated program? If yes, please explain.

No.

### 5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



### 6. What are the sources of the "Other " funds?

Insurance Dedicated Fund (0566) and Insurance Examiners Fund (0552)

## PROGRAM DESCRIPTION

**Department of Insurance, Financial Institutions & Professional Registration**

**Insurance Refunds**

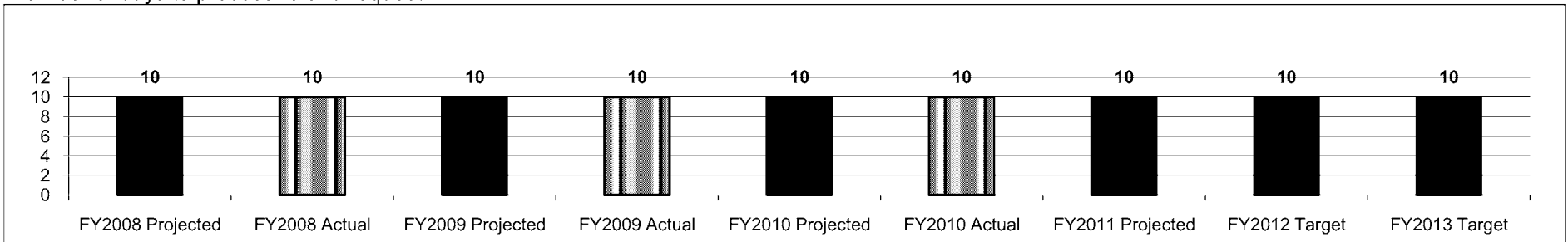
**Program is found in the following core budget(s): Insurance Refunds**

**7a. Provide an effectiveness measure.**

None available

**7b. Provide an efficiency measure.**

Number of days to process refund request



**7c. Provide the number of clients/individuals served, if applicable.**

	FY2008		FY2009		FY2010		FY2011	FY2012	FY2013
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Proj.	Target	Target
Refunds processed	500	426	500	451	450	297	300	300	300

**7d. Provide a customer satisfaction measure, if available.**

Not available

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# DIFP

# DECISION ITEM SUMMARY

Budget Unit									
Decision Item	FY 2010	FY 2010	FY 2011	FY 2011	FY 2012	FY 2012	FY 2012	FY 2012	
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC	
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	
<b>HEALTH INSURANCE COUNSELING</b>									
<b>CORE</b>									
PROGRAM-SPECIFIC									
FEDERAL - MDI	996,159	0.00	700,000	0.00	700,000	0.00	700,000	0.00	
INSURANCE DEDICATED FUND	159,999	0.00	200,000	0.00	200,000	0.00	200,000	0.00	
TOTAL - PD	1,156,158	0.00	900,000	0.00	900,000	0.00	900,000	0.00	
<b>TOTAL</b>	<b>1,156,158</b>	<b>0.00</b>	<b>900,000</b>	<b>0.00</b>	<b>900,000</b>	<b>0.00</b>	<b>900,000</b>	<b>0.00</b>	
<b>GRAND TOTAL</b>	<b>\$1,156,158</b>	<b>0.00</b>	<b>\$900,000</b>	<b>0.00</b>	<b>\$900,000</b>	<b>0.00</b>	<b>\$900,000</b>	<b>0.00</b>	

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## CORE DECISION ITEM

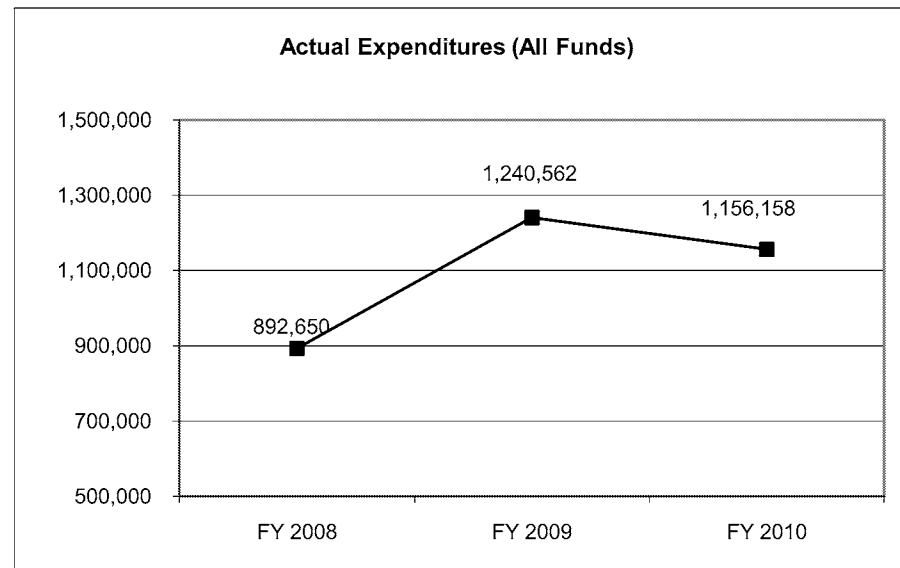
Department of Insurance, Financial Institutions & Professional Registration					Budget Unit <u>37540C</u>				
Insurance									
Core - Health Insurance Counseling									
<b>1. CORE FINANCIAL SUMMARY</b>									
FY 2012 Budget Request					FY 2012 Governor's Recommendation				
	GR	Federal	Other	Total		GR	Fed	Other	Total
PS	0	0	0	0	PS	0	0	0	0
EE	0	0	0	0	EE	0	0	0	0
PSD	0	700,000	200,000	900,000 E	PSD	0	700,000	200,000	900,000 E
TRF	0	0	0	0	TRF	0	0	0	0
<b>Total</b>	<b>0</b>	<b>700,000</b>	<b>200,000</b>	<b>900,000 E</b>	<b>Total</b>	<b>0</b>	<b>700,000</b>	<b>200,000</b>	<b>900,000 E</b>
FTE	0.00	0.00	0.00	0.00	FTE	0.00	0.00	0.00	0.00
<b>Est. Fringe</b>	0	0	0	0	<b>Est. Fringe</b>	0	0	0	0
<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>					<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>				
Other Funds:	Insurance Dedicated Fund (0566) An "E" is included on the Federal budget line as funding may fluctuate from year to year.				Other Funds:	Insurance Dedicated Fund (0566) An "E" is included on the Federal budget line as funding may fluctuate from year to year.			
<b>2. CORE DESCRIPTION</b>									
<p>The CLAIM program provides counseling and educational activities to people on Medicare on health insurance coverage and Medicare benefits. CLAIM has been the official State Health Insurance Assistance Program (SHIP) for Missouri since 1993. Funding is provided by the Centers for Medicare &amp; Medicaid Services and the state with oversight by the department. The department contracts with Primaris to administer the CLAIM program. All of CLAIM's services are free, unbiased and confidential. CLAIM recruits sponsors and volunteers, develops and conducts volunteer training, publicizes the program and maintains a toll-free number, 1-800-390-3330, and website, <a href="http://www.missouricclaim.org">www.missouricclaim.org</a>. Volunteers are recruited locally and community organizations such as hospitals, community centers, public housing, faith based organizations and senior centers serve as cosponsors and provide space, supplies and local publicity for the program. CLAIM has more than 240 volunteer counselors and has approximately 146 counseling locations throughout the state where counseling is provided.</p>									
<b>3. PROGRAM LISTING (list programs included in this core funding)</b>									
Health Insurance Counseling									

# **CORE DECISION ITEM**

<b>Department of Insurance, Financial Institutions &amp; Professional Registration</b>	<b>Budget Unit</b> <u>37540C</u>
<b>Insurance</b>	
<b>Core - Health Insurance Counseling</b>	

## **4. FINANCIAL HISTORY**

	<b>FY 2008 Actual</b>	<b>FY 2009 Actual</b>	<b>FY 2010 Actual</b>	<b>FY 2011 Current Yr.</b>	
Appropriation (All Funds)	900,000	1,290,562	1,196,160	900,000	E
Less Reverted (All Funds)	0	0	0	N/A	
Budget Authority (All Funds)	900,000	1,290,562	1,196,160	N/A	
Actual Expenditures (All Funds)	892,650	1,240,562	1,156,158	N/A	
Unexpended (All Funds)	7,350	50,000	40,002	N/A	
Unexpended, by Fund:					
General Revenue	0	0	0	N/A	
Federal	7,350	0	1	N/A	
Other	0	50,000	40,001	N/A	
		(1)	(2)	(3)	



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

### **NOTES:**

- (1) Original Federal appropriation of \$700,000 E was increased by \$390,562.
- (2) Original Federal appropriation of \$700,000 E was increased by \$296,160.
- (3) An "E" is included on the Federal budget line as funding may fluctuate from year to year.

**CORE RECONCILIATION DETAIL**

**DIFP  
HEALTH INSURANCE COUNSELING**

**5. CORE RECONCILIATION DETAIL**

	<b>Budget Class</b>	<b>FTE</b>	<b>GR</b>	<b>Federal</b>	<b>Other</b>	<b>Total</b>	<b>Explanation</b>
<b>TAFP AFTER VETOES</b>							
	PD	0.00	0	700,000	200,000	900,000	
	<b>Total</b>	<b>0.00</b>	<b>0</b>	<b>700,000</b>	<b>200,000</b>	<b>900,000</b>	
<b>DEPARTMENT CORE REQUEST</b>							
	PD	0.00	0	700,000	200,000	900,000	
	<b>Total</b>	<b>0.00</b>	<b>0</b>	<b>700,000</b>	<b>200,000</b>	<b>900,000</b>	
<b>GOVERNOR'S RECOMMENDED CORE</b>							
	PD	0.00	0	700,000	200,000	900,000	
	<b>Total</b>	<b>0.00</b>	<b>0</b>	<b>700,000</b>	<b>200,000</b>	<b>900,000</b>	



# DIFP

# DECISION ITEM DETAIL

Budget Unit	FY 2010	FY 2010	FY 2011	FY 2011	FY 2012	FY 2012	FY 2012	FY 2012
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
<b>HEALTH INSURANCE COUNSELING</b>								
<b>CORE</b>								
PROGRAM DISTRIBUTIONS	1,156,158	0.00	900,000	0.00	900,000	0.00	900,000	0.00
TOTAL - PD	1,156,158	0.00	900,000	0.00	900,000	0.00	900,000	0.00
<b>GRAND TOTAL</b>	<b>\$1,156,158</b>	<b>0.00</b>	<b>\$900,000</b>	<b>0.00</b>	<b>\$900,000</b>	<b>0.00</b>	<b>\$900,000</b>	<b>0.00</b>
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
FEDERAL FUNDS	\$996,159	0.00	\$700,000	0.00	\$700,000	0.00	\$700,000	0.00
OTHER FUNDS	\$159,999	0.00	\$200,000	0.00	\$200,000	0.00	\$200,000	0.00

## PROGRAM DESCRIPTION

**Department of Insurance, Financial Institutions & Professional Registration**

**Health Insurance Counseling**

**Program is found in the following core budget(s): Health Insurance Counseling**

### 1. What does this program do?

The CLAIM program provides counseling and educational activities to people on Medicare on health insurance coverage and Medicare benefits. CLAIM has been the official State Health Insurance Assistance Program (SHIP) for Missouri since 1993. Funding is provided by the Centers for Medicare & Medicaid Services and the state with oversight by the department. The department contracts with Primaris to administer the CLAIM program. All of CLAIM's services are free, unbiased and confidential. CLAIM recruits sponsors and volunteers, develops and conducts volunteer training, publicizes the program and maintains a toll-free number, 1-800-390-3330, and website, [www.missouricclaim.org](http://www.missouricclaim.org). Volunteers are recruited locally and community organizations such as hospitals, community centers, public housing, faith based organizations and senior centers serve as cosponsors and provide space, supplies and local publicity for the program. CLAIM has more than 240 volunteer counselors and has approximately 146 counseling locations throughout the state where counseling is provided.

### 2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

Federal: State Health Insurance Assistance Program; Federal CFDA - 93.779

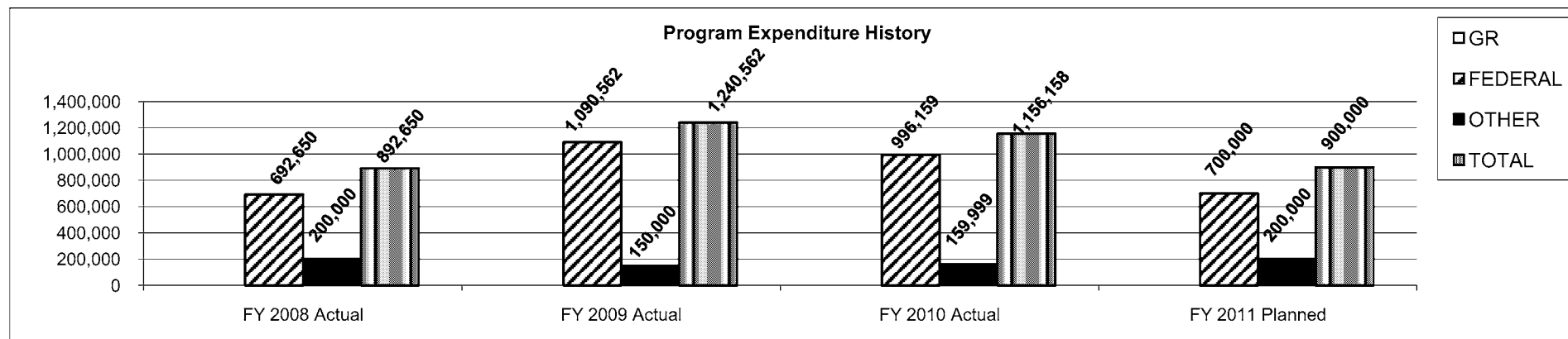
### 3. Are there federal matching requirements? If yes, please explain.

No.

### 4. Is this a federally mandated program? If yes, please explain.

No.

### 5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



### 6. What are the sources of the "Other " funds?

Insurance Dedicated Fund (0566)

## PROGRAM DESCRIPTION

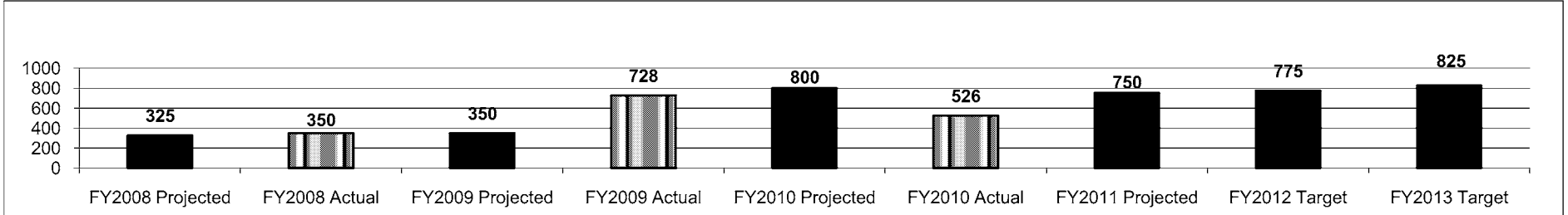
**Department of Insurance, Financial Institutions & Professional Registration**

**Health Insurance Counseling**

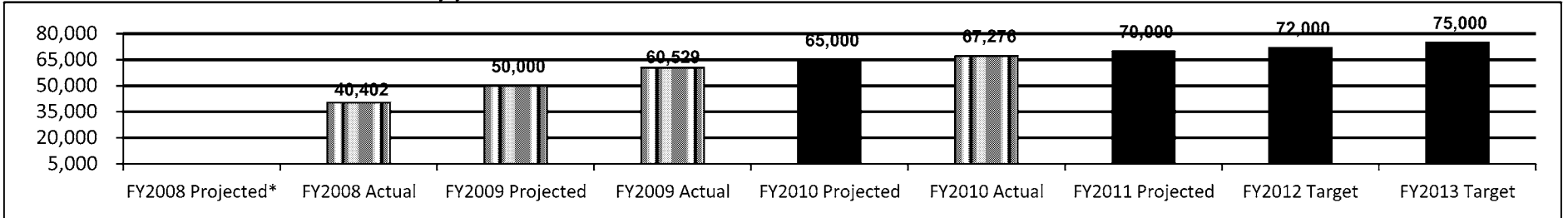
**Program is found in the following core budget(s): Health Insurance Counseling**

### 7a. Provide an effectiveness measure.

Number of educational outreach events held



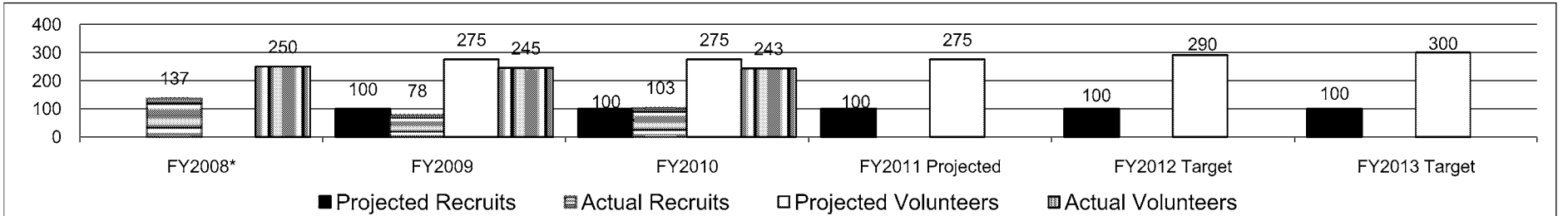
Total number of attendees for events held by year.



\*FY2008 was not projected for this measure.

### 7b. Provide an efficiency measure.

Number of Recruited Volunteers and Trained Active Volunteers



\*FY2008 was not projected for this measure.

## PROGRAM DESCRIPTION

**Department of Insurance, Financial Institutions & Professional Registration**

**Health Insurance Counseling**

**Program is found in the following core budget(s): Health Insurance Counseling**

**7c. Provide the number of clients/individuals served, if applicable.**

	FY2008		FY2009		FY2010		FY2011	FY2012	FY2013
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Proj.	Target	Target
People counseled	15,000	16,250	16,250	19,652	20,500	18,065	20,500	22,000	23,500

**7d. Provide a customer satisfaction measure, if available.**

CLAIM conducts random surveys to measure customer satisfaction with the counseling process.

	FY2008		FY2009		FY2010		FY2011	FY2012	FY2013
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Proj.	Target	Target
Excellent or above average rating	80%	87%	87%	87%	87%	91%	95%	95%	100%



**DIFP****DECISION ITEM SUMMARY**

Budget Unit								
Decision Item	FY 2010	FY 2010	FY 2011	FY 2011	FY 2012	FY 2012	FY 2012	FY 2012
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
<b>CREDIT UNIONS</b>								
<b>CORE</b>								
PERSONAL SERVICES								
DIVISION OF CREDIT UNIONS	824,582	14.52	1,126,071	15.50	1,126,071	15.50	1,126,071	15.50
TOTAL - PS	824,582	14.52	1,126,071	15.50	1,126,071	15.50	1,126,071	15.50
EXPENSE & EQUIPMENT								
DIVISION OF CREDIT UNIONS	120,100	0.00	123,775	0.00	123,775	0.00	123,775	0.00
TOTAL - EE	120,100	0.00	123,775	0.00	123,775	0.00	123,775	0.00
<b>TOTAL</b>	<b>944,682</b>	<b>14.52</b>	<b>1,249,846</b>	<b>15.50</b>	<b>1,249,846</b>	<b>15.50</b>	<b>1,249,846</b>	<b>15.50</b>
<b>GRAND TOTAL</b>	<b>\$944,682</b>	<b>14.52</b>	<b>\$1,249,846</b>	<b>15.50</b>	<b>\$1,249,846</b>	<b>15.50</b>	<b>\$1,249,846</b>	<b>15.50</b>

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## CORE DECISION ITEM

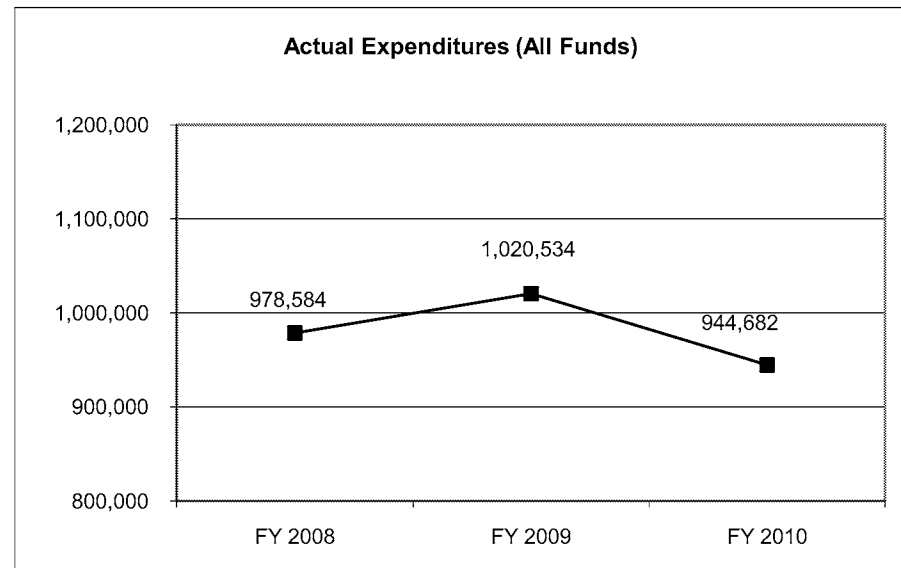
Department of Insurance, Financial Institutions & Professional Registration					Budget Unit 42490C				
Division of Credit Unions									
Core - Credit Unions									
1. CORE FINANCIAL SUMMARY									
FY 2012 Budget Request					FY 2012 Governor's Recommendation				
	GR	Federal	Other	Total		GR	Fed	Other	Total
PS	0	0	1,126,071	1,126,071	PS	0	0	1,126,071	1,126,071
EE	0	0	123,775	123,775	EE	0	0	123,775	123,775
PSD	0	0	0	0	PSD	0	0	0	0
TRF	0	0	0	0	TRF	0	0	0	0
Total	0	0	1,249,846	1,249,846	Total	0	0	1,249,846	1,249,846
FTE	0.00	0.00	15.50	15.50	FTE	0.00	0.00	15.50	15.50
Est. Fringe	0	0	626,659	626,659	Est. Fringe	0	0	626,659	626,659
Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.					Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.				
Other Funds: Division of Credit Unions Fund (0548)					Other Funds: Division of Credit Unions Fund (0548)				
2. CORE DESCRIPTION									
<p>The Division of Credit Unions is the regulatory agency responsible for the examination, supervision, chartering, merger and liquidation of all state-chartered credit unions. The division also responds to consumer requests or complaints about credit union services or operations. The division is an accredited agency through the National Association of State Credit Union Supervisors (NASCUS), and all member deposits are insured by the National Credit Union Administration (NCUA), an agency of the federal government. The division is statutorily required to conduct examinations at least once every 18 months on qualifying state-chartered credit unions. Examinations are conducted to ensure the safety and soundness of credit unions and to ensure compliance with applicable rules, regulations and statutes. The division proactively performs off-site monitoring on an ongoing basis to assist in identifying increasing risk. Credit unions incurring problems, whether financial, operational or in compliance areas, receive increased attention which may come in the form of enforcement actions. The Division of Credit Unions currently regulates 129 credit unions with assets of \$9.9 billion. Missouri is ranked seventh in the nation in the number of state-chartered credit unions. There are approximately 1.2 million members of Missouri credit unions.</p>									
3. PROGRAM LISTING (list programs included in this core funding)									
Division of Credit Unions									

# CORE DECISION ITEM

Department of Insurance, Financial Institutions & Professional Registration	Budget Unit	42490C
Division of Credit Unions		
Core - Credit Unions		

## 4. FINANCIAL HISTORY

	FY 2008 Actual	FY 2009 Actual	FY 2010 Actual	FY 2011 Current Yr.
Appropriation (All Funds)	1,235,154	1,268,496	1,249,846	1,249,846
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	1,235,154	1,268,496	1,249,846	N/A
Actual Expenditures (All Funds)	978,584	1,020,534	944,682	N/A
Unexpended (All Funds)	256,570	247,962	305,164	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	256,570	247,962	305,164	N/A
	(1)	(2)	(3)	



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

### NOTES:

- (1) Unexpended amount is primarily personal service appropriation due to staff turnover and gradual implementation of HB 379/SB 318 (2005 Session)
- (2) Unexpended amount is primarily personal service appropriation due to staff turnover and gradual implementation of HB 379/SB 318 (2005 Session)
- (3) Unexpended amount is primarily personal service appropriation due to staff turnover



**CORE RECONCILIATION DETAIL**

DIFP  
CREDIT UNIONS

**5. CORE RECONCILIATION DETAIL**

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
<b>TAFP AFTER VETOES</b>							
	PS	15.50	0	0	1,126,071	1,126,071	
	EE	0.00	0	0	123,775	123,775	
	<b>Total</b>	<b>15.50</b>	<b>0</b>	<b>0</b>	<b>1,249,846</b>	<b>1,249,846</b>	
<b>DEPARTMENT CORE REQUEST</b>							
	PS	15.50	0	0	1,126,071	1,126,071	
	EE	0.00	0	0	123,775	123,775	
	<b>Total</b>	<b>15.50</b>	<b>0</b>	<b>0</b>	<b>1,249,846</b>	<b>1,249,846</b>	
<b>GOVERNOR'S RECOMMENDED CORE</b>							
	PS	15.50	0	0	1,126,071	1,126,071	
	EE	0.00	0	0	123,775	123,775	
	<b>Total</b>	<b>15.50</b>	<b>0</b>	<b>0</b>	<b>1,249,846</b>	<b>1,249,846</b>	

DIFP

DECISION ITEM DETAIL

Budget Unit	FY 2010	FY 2010	FY 2011	FY 2011	FY 2012	FY 2012	FY 2012	FY 2012
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
<b>CREDIT UNIONS</b>								
<b>CORE</b>								
COMMISSION MEMBER	0	0.00	18,536	0.00	18,536	0.00	18,536	0.00
ADMINISTRATIVE SECRETARY	18,706	0.59	0	0.00	0	0.00	0	0.00
OFFICE SUPPORT ASST (KEYBRD)	18,011	0.66	17,781	0.50	17,781	0.50	17,781	0.50
SR OFC SUPPORT ASST (KEYBRD)	1,133	0.05	0	0.00	0	0.00	0	0.00
EXECUTIVE II	41,047	1.00	49,534	1.00	49,534	1.00	49,534	1.00
FINANCIAL EXAM ASST II	94,831	2.25	202,836	4.00	202,836	4.00	202,836	4.00
FINANCIAL EXAMINER	240,507	4.67	64,654	1.00	64,654	1.00	64,654	1.00
SENIOR FINANCIAL EXAMINER	5,053	0.08	74,147	1.00	74,147	1.00	74,147	1.00
FINANCIAL EXAMINER SPEC	219,730	3.00	356,764	4.00	356,764	4.00	356,764	4.00
CHIEF FINANCIAL EXAMINER	65,060	0.78	0	0.00	0	0.00	0	0.00
DIVISION DIRECTOR	0	0.00	95,168	1.00	95,168	1.00	95,168	1.00
DEPUTY DIVISION DIRECTOR	79,992	0.83	0	0.00	0	0.00	0	0.00
DESIGNATED PRINCIPAL ASST DIV	39,912	0.61	246,651	3.00	246,651	3.00	246,651	3.00
COMMISSION MEMBER	600	0.00	0	0.00	0	0.00	0	0.00
<b>TOTAL - PS</b>	<b>824,582</b>	<b>14.52</b>	<b>1,126,071</b>	<b>15.50</b>	<b>1,126,071</b>	<b>15.50</b>	<b>1,126,071</b>	<b>15.50</b>
TRAVEL, IN-STATE	64,459	0.00	56,782	0.00	56,782	0.00	56,782	0.00
TRAVEL, OUT-OF-STATE	2,116	0.00	9,252	0.00	9,252	0.00	9,252	0.00
SUPPLIES	18,220	0.00	17,594	0.00	17,594	0.00	17,594	0.00
PROFESSIONAL DEVELOPMENT	7,979	0.00	10,188	0.00	10,188	0.00	10,188	0.00
COMMUNICATION SERV & SUPP	0	0.00	10,794	0.00	10,794	0.00	10,794	0.00
PROFESSIONAL SERVICES	64	0.00	5,557	0.00	5,557	0.00	5,557	0.00
M&R SERVICES	116	0.00	498	0.00	498	0.00	498	0.00
OFFICE EQUIPMENT	2,197	0.00	3,198	0.00	3,198	0.00	3,198	0.00
OTHER EQUIPMENT	0	0.00	1,407	0.00	1,407	0.00	1,407	0.00
BUILDING LEASE PAYMENTS	0	0.00	1	0.00	1	0.00	1	0.00
EQUIPMENT RENTALS & LEASES	0	0.00	100	0.00	100	0.00	100	0.00
MISCELLANEOUS EXPENSES	0	0.00	1,900	0.00	1,900	0.00	1,900	0.00

# DIFP

# DECISION ITEM DETAIL

Budget Unit	FY 2010	FY 2010	FY 2011	FY 2011	FY 2012	FY 2012	FY 2012	FY 2012
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
<b>CREDIT UNIONS</b>								
<b>CORE</b>								
REBILLABLE EXPENSES	24,949	0.00	6,504	0.00	6,504	0.00	6,504	0.00
TOTAL - EE	120,100	0.00	123,775	0.00	123,775	0.00	123,775	0.00
<b>GRAND TOTAL</b>	<b>\$944,682</b>	<b>14.52</b>	<b>\$1,249,846</b>	<b>15.50</b>	<b>\$1,249,846</b>	<b>15.50</b>	<b>\$1,249,846</b>	<b>15.50</b>
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
OTHER FUNDS	\$944,682	14.52	\$1,249,846	15.50	\$1,249,846	15.50	\$1,249,846	15.50

## PROGRAM DESCRIPTION

**Department of Insurance, Financial Institutions & Professional Registration**

**Division of Credit Unions**

**Program is found in the following core budget(s): Credit Unions**

### 1. What does this program do?

The Division of Credit Unions is responsible for the regulation of state-chartered credit unions in Missouri to effectively safeguard the interests of depositors and shareholders of these organizations. The division performs examinations of credit unions to ensure compliance with applicable laws and regulations. The division also responds to consumer requests or complaints about credit union services and operations. The entire cost of the division is reimbursed to the state through fees and assessments paid by the credit unions. The division is accredited through the National Association of State Credit Union Supervisors (NASCUS). All deposits in Missouri credit unions are insured up to \$250,000 by the National Credit Union Administration (NCUA), an agency of the federal government. The division currently regulates 129 credit unions with assets of 9.9 billion. Missouri is currently ranked seventh in the nation in the number of state-chartered credit unions. There are approximately 1.2 million members of Missouri credit unions.

### 2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

Chapter 370 RSMo.

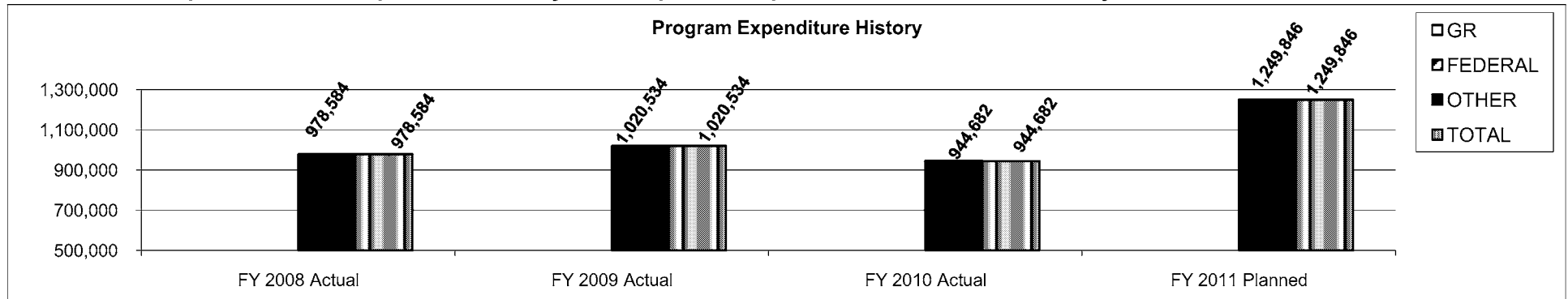
### 3. Are there federal matching requirements? If yes, please explain.

No.

### 4. Is this a federally mandated program? If yes, please explain.

No.

### 5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



### 6. What are the sources of the "Other " funds?

Division of Credit Unions Fund (0548)

## PROGRAM DESCRIPTION

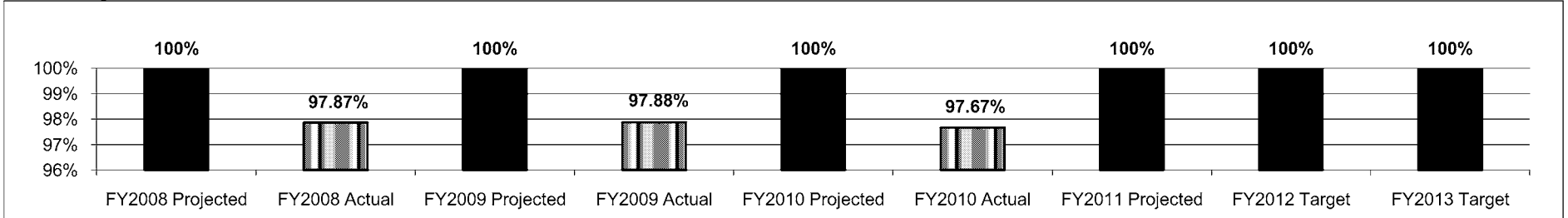
**Department of Insurance, Financial Institutions & Professional Registration**

**Division of Credit Unions**

**Program is found in the following core budget(s): Credit Unions**

**7a. Provide an effectiveness measure.**

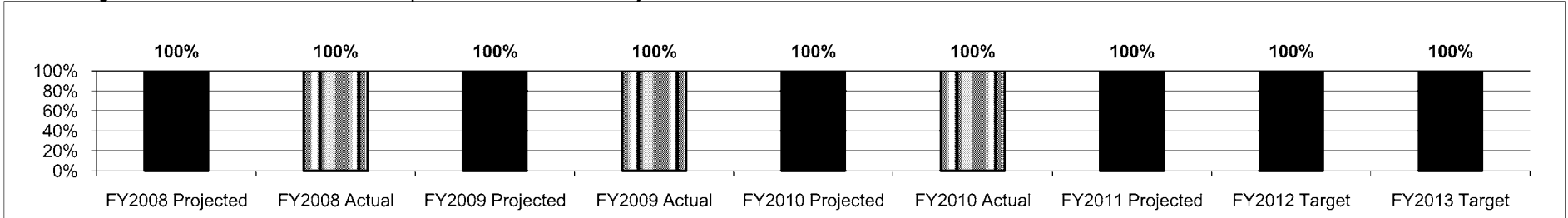
Percentage of Missouri credit unions rated with a 1, 2, or 3\*



\*A credit union's performance is measured by the CAMEL ratings. The CAMEL rating system (1 - 5) is based upon evaluation of critical elements of a credit union's operations. Credit unions rated at a CAMEL rate of 4 or 5 are considered to be performing poorly.

**7b. Provide an efficiency measure.**

Percentage of credit union examinations processed within 30 days



**7c. Provide the number of clients/individuals served, if applicable.**

	FY2008		FY2009		FY2010		FY2011	FY2012	FY2013
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Proj.	Target	Target
Missouri Credit Union Members	1,200,000	1,186,371	1,200,000	1,192,374	1,200,000	1,245,164	1,200,000	1,210,000	1,220,000

**7d. Provide a customer satisfaction measure, if available.**

The Division of Credit Unions surveys each credit union after completion of an examination to determine their overall satisfaction with the division.

	FY2008		FY2009		FY2010		FY2011	FY2012	FY2013
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Proj.	Target	Target
% reporting satisfaction	90%	90.2%	92%	93%	92%	93%	93%	93%	93%



**DIFP****DECISION ITEM SUMMARY**

Budget Unit								
Decision Item	FY 2010	FY 2010	FY 2011	FY 2011	FY 2012	FY 2012	FY 2012	FY 2012
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
<b>FINANCE</b>								
<b>CORE</b>								
PERSONAL SERVICES								
DIVISION OF FINANCE	5,738,664	102.36	6,216,626	106.15	6,216,626	106.15	6,216,626	106.15
TOTAL - PS	5,738,664	102.36	6,216,626	106.15	6,216,626	106.15	6,216,626	106.15
EXPENSE & EQUIPMENT								
DIVISION OF FINANCE	870,821	0.00	868,918	0.00	868,918	0.00	868,918	0.00
TOTAL - EE	870,821	0.00	868,918	0.00	868,918	0.00	868,918	0.00
PROGRAM-SPECIFIC								
DIVISION OF FINANCE	1,800	0.00	1,000	0.00	1,000	0.00	1,000	0.00
TOTAL - PD	1,800	0.00	1,000	0.00	1,000	0.00	1,000	0.00
<b>TOTAL</b>	<b>6,611,285</b>	<b>102.36</b>	<b>7,086,544</b>	<b>106.15</b>	<b>7,086,544</b>	<b>106.15</b>	<b>7,086,544</b>	<b>106.15</b>
<b>GRAND TOTAL</b>	<b>\$6,611,285</b>	<b>102.36</b>	<b>\$7,086,544</b>	<b>106.15</b>	<b>\$7,086,544</b>	<b>106.15</b>	<b>\$7,086,544</b>	<b>106.15</b>

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## CORE DECISION ITEM

Department of Insurance, Financial Institutions & Professional Registration					Budget Unit 42510C				
Division of Finance									
Core - Finance									
1. CORE FINANCIAL SUMMARY									
FY 2012 Budget Request					FY 2012 Governor's Recommendation				
	GR	Federal	Other	Total		GR	Fed	Other	Total
PS	0	0	6,216,626	6,216,626	PS	0	0	6,216,626	6,216,626
EE	0	0	869,918	869,918	EE	0	0	869,918	869,918
PSD	0	0	0	0	PSD	0	0	0	0
TRF	0	0	0	0	TRF	0	0	0	0
Total	0	0	7,086,544	7,086,544	Total	0	0	7,086,544	7,086,544
FTE					FTE				
	0.00	0.00	106.15	106.15		0.00	0.00	106.15	106.15
Est. Fringe	0	0	3,459,552	3,459,552	Est. Fringe	0	0	3,459,552	3,459,552
Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.					Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.				
Other Funds:	Division of Finance Fund (0550)				Other Funds:	Division of Finance Fund (0550)			
Notes:	An "E" is requested for \$50,000 E&E for out-of-state examinations.				Notes:	An "E" is requested for \$50,000 E&E for out-of-state examinations.			
2. CORE DESCRIPTION									
The Division of Finance is responsible for the regulation of state-chartered financial institutions in Missouri to ensure a sound banking system and protect depositor's funds. The health and vitality of Missouri's banking industry is critical to the state's economic well-being. Bank failures have a negative impact on a state's economy and threaten the public's confidence in the banking system. It is important that Missouri citizens have confidence that the money deposited in the state's financial institutions is safe. The Division of Finance helps to ensure the safety and soundness of Missouri's financial institutions through the chartering and regulation of state chartered banks, trust companies, and savings and loan associations. The division also licenses and regulates consumer credit companies, credit service organizations, money order companies, residential mortgage brokers, and loan originators. Statutes require that each state bank, trust company, and savings and loan association be examined for safety and soundness at least every 18 months. Consumer credit companies as well as banks are examined periodically for compliance with statutes and regulations governing finance charges, credit insurance fees, etc.									
3. PROGRAM LISTING (list programs included in this core funding)									
Bank and Trust Company Regulation									
Consumer Credit Licensing and Regulation									

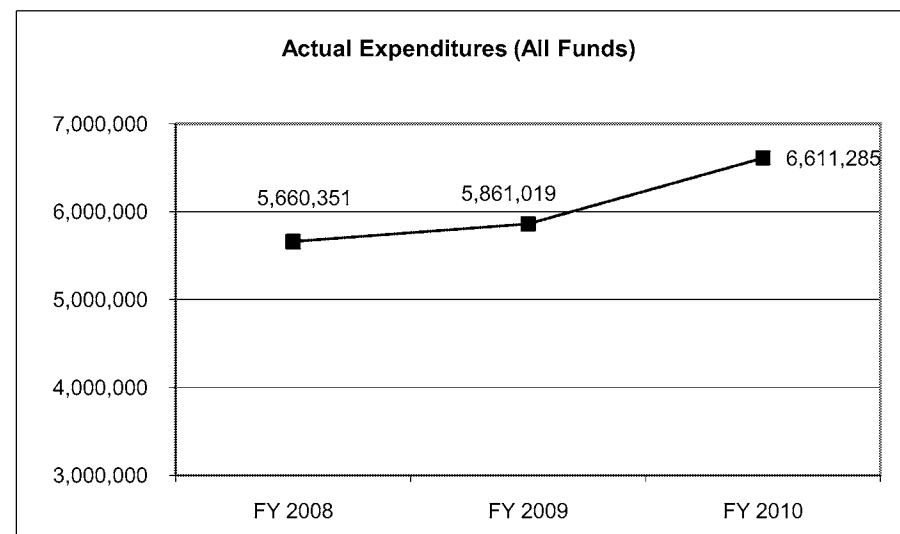


# **CORE DECISION ITEM**

<b>Department of Insurance, Financial Institutions &amp; Professional Registration</b>	<b>Budget Unit</b> <u>42510C</u>
<b>Division of Finance</b>	
<b>Core - Finance</b>	

## **4. FINANCIAL HISTORY**

	<b>FY 2008 Actual</b>	<b>FY 2009 Actual</b>	<b>FY 2010 Actual</b>	<b>FY 2011 Current Yr.</b>
Appropriation (All Funds)	6,305,277	6,599,834	7,202,886	7,086,544
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	6,305,277	6,599,834	7,202,886	N/A
Actual Expenditures (All Funds)	5,660,351	5,861,019	6,611,285	N/A
Unexpended (All Funds)	644,926	738,815	591,601	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	644,926	738,815	591,601	N/A
	(1)	(1)	(1)	(2)



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

### **NOTES:**

- (1) Unexpended amount is primarily personal service appropriation due to staff turnover
- (2) Includes a \$50,000 estimated appropriation for out-of-state examinations

**CORE RECONCILIATION DETAIL**

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FINANCE

**5. CORE RECONCILIATION DETAIL**

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
<b>TAFP AFTER VETOES</b>							
	PS	106.15	0	0	6,216,626	6,216,626	
	EE	0.00	0	0	868,918	868,918	
	PD	0.00	0	0	1,000	1,000	
	<b>Total</b>	<b>106.15</b>	<b>0</b>	<b>0</b>	<b>7,086,544</b>	<b>7,086,544</b>	
<b>DEPARTMENT CORE REQUEST</b>							
	PS	106.15	0	0	6,216,626	6,216,626	
	EE	0.00	0	0	868,918	868,918	
	PD	0.00	0	0	1,000	1,000	
	<b>Total</b>	<b>106.15</b>	<b>0</b>	<b>0</b>	<b>7,086,544</b>	<b>7,086,544</b>	
<b>GOVERNOR'S RECOMMENDED CORE</b>							
	PS	106.15	0	0	6,216,626	6,216,626	
	EE	0.00	0	0	868,918	868,918	
	PD	0.00	0	0	1,000	1,000	
	<b>Total</b>	<b>106.15</b>	<b>0</b>	<b>0</b>	<b>7,086,544</b>	<b>7,086,544</b>	

DIFP

DECISION ITEM DETAIL

Budget Unit	FY 2010	FY 2010	FY 2011	FY 2011	FY 2012	FY 2012	FY 2012	FY 2012
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
<b>FINANCE</b>								
<b>CORE</b>								
LEGAL COUNSEL	0	0.00	70,000	1.00	0	0.00	0	0.00
ADMINISTRATIVE SECRETARY	76,255	2.00	76,255	2.00	76,255	2.00	76,255	2.00
SR OFC SUPPORT ASST (KEYBRD)	132,409	5.01	192,939	7.00	137,820	5.00	137,820	5.00
ACCOUNTANT II	41,213	1.00	43,349	1.00	36,612	1.00	36,612	1.00
ASSISTANT BANK EXAMINER	293,558	8.46	422,169	11.00	383,790	10.00	383,790	10.00
SENIOR ASSISTANT BANK EXAMINER	386,643	9.06	342,111	7.00	585,000	13.00	585,000	13.00
BANK EXAMINER	733,632	13.46	939,570	15.00	696,000	12.00	696,000	12.00
SENIOR BANK EXAMINER I	871,192	12.81	1,218,220	17.00	612,000	9.00	612,000	9.00
REVIEW EXAMINER	286,380	3.75	310,837	4.00	310,837	4.00	310,837	4.00
SENIOR TRUST EXAMINER I	208,331	3.06	214,980	3.00	205,078	3.00	205,078	3.00
TRUST SUPERVISOR	70,104	0.94	73,000	1.00	75,920	1.00	75,920	1.00
DISTRICT SUPERVISOR	415,529	5.00	433,200	5.00	433,200	5.00	433,200	5.00
REPORT ANALYST	32,978	1.00	38,379	1.00	38,379	1.00	38,379	1.00
ASSISTANT BANK EXAMINER II	366,471	9.86	399,450	10.00	279,615	7.00	279,615	7.00
SENIOR ASST CONS. CREDIT EXAM	114,900	2.69	146,619	3.00	45,000	1.00	45,000	1.00
CONSUMER CREDIT EXAMINER	124,981	2.30	121,276	2.00	232,000	4.00	232,000	4.00
SR CONS CREDIT EXAMINER I	395,939	6.00	429,960	6.00	395,939	6.00	395,939	6.00
CONSUMER CREDIT SPECIALIST	34,274	0.79	42,664	1.00	44,371	1.00	44,371	1.00
IT EXAMINER	50,533	1.00	50,533	1.00	0	0.00	0	0.00
SUPERVISOR OF CONSUMER CREDIT	84,361	1.00	84,361	1.00	84,361	1.00	84,361	1.00
SENIOR BANK EXAMINER II	78,987	1.17	0	0.00	214,200	3.00	214,200	3.00
SENIOR BANK EXAMINER III	270,397	3.63	0	0.00	614,840	8.00	614,840	8.00
SUPVSR OF MORTGAGE LICENSING	76,667	0.96	80,000	1.00	80,000	1.00	80,000	1.00
DIVISION DIRECTOR	100,450	1.00	100,450	1.00	100,450	1.00	100,450	1.00
DEPUTY DIVISION DIRECTOR	96,000	1.00	96,000	1.00	96,000	1.00	96,000	1.00
CHIEF EXAMINER	92,700	1.00	92,700	1.00	92,700	1.00	92,700	1.00
SENIOR COUNSEL	63,037	0.88	0	0.00	73,500	1.00	73,500	1.00
CHIEF COUNSEL	85,000	1.00	85,000	1.00	85,000	1.00	85,000	1.00
FISCAL AND ADMINISTRATIVE MNGR	49,743	1.00	49,743	1.00	49,743	1.00	49,743	1.00
COMMISSION MEMBER	0	0.00	2,365	0.00	2,365	0.00	2,365	0.00
BOARD MEMBER	600	0.00	4,611	0.15	4,611	0.15	4,611	0.15

DIFP

DECISION ITEM DETAIL

Budget Unit	FY 2010	FY 2010	FY 2011	FY 2011	FY 2012	FY 2012	FY 2012	FY 2012
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
<b>FINANCE</b>								
<b>CORE</b>								
MISCELLANEOUS PROFESSIONAL	105,400	1.53	55,885	1.00	131,040	2.00	131,040	2.00
<b>TOTAL - PS</b>	<b>5,738,664</b>	<b>102.36</b>	<b>6,216,626</b>	<b>106.15</b>	<b>6,216,626</b>	<b>106.15</b>	<b>6,216,626</b>	<b>106.15</b>
TRAVEL, IN-STATE	418,809	0.00	471,980	0.00	458,300	0.00	458,300	0.00
TRAVEL, OUT-OF-STATE	99,890	0.00	131,046	0.00	121,046	0.00	121,046	0.00
SUPPLIES	59,588	0.00	51,521	0.00	55,735	0.00	55,735	0.00
PROFESSIONAL DEVELOPMENT	89,495	0.00	103,894	0.00	92,869	0.00	92,869	0.00
COMMUNICATION SERV & SUPP	26,172	0.00	39,190	0.00	27,915	0.00	27,915	0.00
PROFESSIONAL SERVICES	121,073	0.00	46,645	0.00	65,938	0.00	65,938	0.00
M&R SERVICES	4,019	0.00	3,175	0.00	3,175	0.00	3,175	0.00
MOTORIZED EQUIPMENT	0	0.00	1	0.00	1	0.00	1	0.00
OFFICE EQUIPMENT	41,738	0.00	10,420	0.00	32,893	0.00	32,893	0.00
OTHER EQUIPMENT	1,118	0.00	1,000	0.00	1,000	0.00	1,000	0.00
BUILDING LEASE PAYMENTS	3,604	0.00	1	0.00	1	0.00	1	0.00
EQUIPMENT RENTALS & LEASES	159	0.00	100	0.00	100	0.00	100	0.00
MISCELLANEOUS EXPENSES	597	0.00	5,805	0.00	5,805	0.00	5,805	0.00
REBILLABLE EXPENSES	4,559	0.00	4,140	0.00	4,140	0.00	4,140	0.00
<b>TOTAL - EE</b>	<b>870,821</b>	<b>0.00</b>	<b>868,918</b>	<b>0.00</b>	<b>868,918</b>	<b>0.00</b>	<b>868,918</b>	<b>0.00</b>
REFUNDS	1,800	0.00	1,000	0.00	1,000	0.00	1,000	0.00
<b>TOTAL - PD</b>	<b>1,800</b>	<b>0.00</b>	<b>1,000</b>	<b>0.00</b>	<b>1,000</b>	<b>0.00</b>	<b>1,000</b>	<b>0.00</b>
<b>GRAND TOTAL</b>	<b>\$6,611,285</b>	<b>102.36</b>	<b>\$7,086,544</b>	<b>106.15</b>	<b>\$7,086,544</b>	<b>106.15</b>	<b>\$7,086,544</b>	<b>106.15</b>
<b>GENERAL REVENUE</b>	<b>\$0</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>
<b>FEDERAL FUNDS</b>	<b>\$0</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>
<b>OTHER FUNDS</b>	<b>\$6,611,285</b>	<b>102.36</b>	<b>\$7,086,544</b>	<b>106.15</b>	<b>\$7,086,544</b>	<b>106.15</b>	<b>\$7,086,544</b>	<b>106.15</b>

## PROGRAM DESCRIPTION

**Department of Insurance, Financial Institutions & Professional Registration**

**Bank and Trust Company Regulation**

**Program is found in the following core budget(s): Finance**

### 1. What does this program do?

This program is responsible for the chartering, regulation and licensing of Missouri state-chartered banks and trust companies. As of March 31, 2010, Missouri ranked 5th in the nation in the number of state-chartered banks with 275 banks and 6 nondeposit trust companies regulated by the division. Assets in Missouri state-chartered banks totaled \$70.0 billion on March 31, 2010. The 6 nondeposit trust companies held a combined total of \$11.7 billion in trust assets as of year end 2009.

### 2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

Chapters 361, 362, 369 and 443 RSMo.

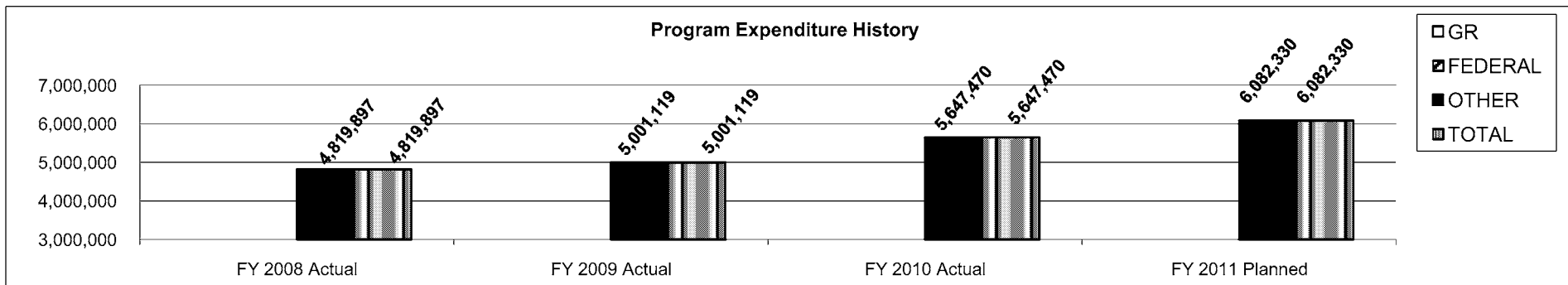
### 3. Are there federal matching requirements? If yes, please explain.

No.

### 4. Is this a federally mandated program? If yes, please explain.

No.

### 5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



### 6. What are the sources of the "Other " funds?

Division of Finance Fund (0550)

## PROGRAM DESCRIPTION

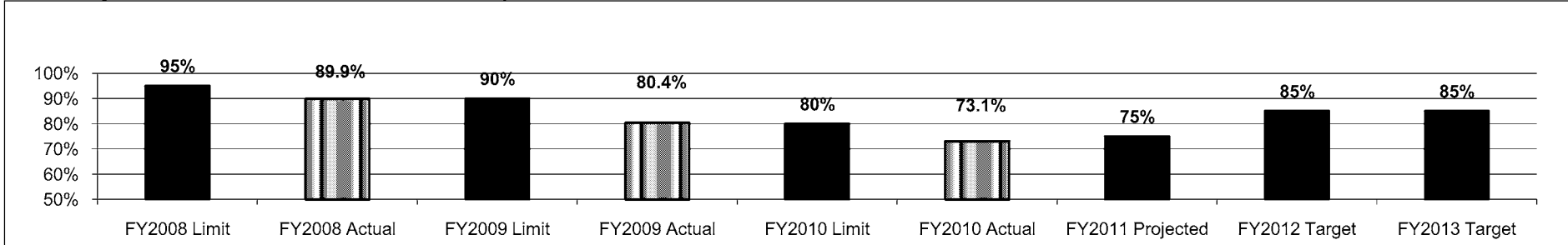
**Department of Insurance, Financial Institutions & Professional Registration**

**Bank and Trust Company Regulation**

**Program is found in the following core budget(s): Finance**

**7a. Provide an effectiveness measure.**

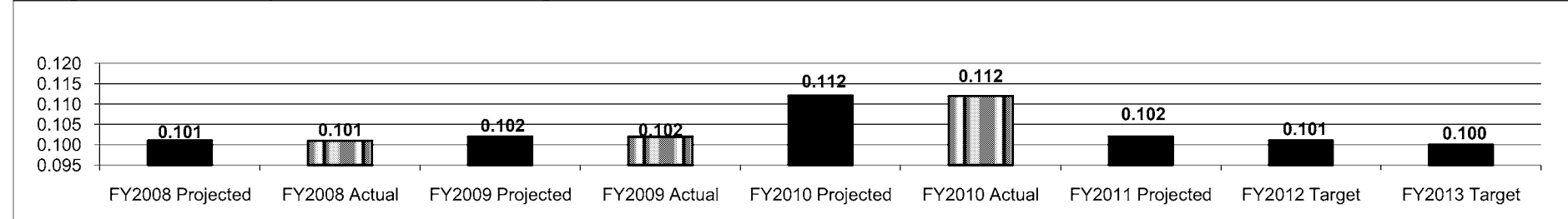
Percentage of Missouri institutions that are in non-problem status\*



\*A problem bank is defined as having a composite CAMELS rating of 3, 4 or 5.

**7b. Provide an efficiency measure.**

Average assessment rate per thousand in assets charged to Missouri institutions



**7c. Provide the number of clients/individuals served, if applicable.**

	FY2008		FY2009		FY2010		FY2011	FY2012	FY2013
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Projected	Projected	Projected
State-chartered Banks	292	290	290	285	284	279	279	279	279

**7d. Provide a customer satisfaction measure, if available.**

The Division of Finance has contracted with MU to conduct a post-examination survey of customer satisfaction on a overall rating scale of 1(poor) to 5 (excellent).

	FY2008		FY2009		FY2010		FY2011	FY2012	FY2013
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Target	Target	Target
MU Survey Results	4.00	4.09	4.00	4.13	4.00	4.12	4.00	4.00	4.00

## PROGRAM DESCRIPTION

**Department of Insurance, Financial Institutions & Professional Registration**

**Consumer Credit Licensing and Regulation**

**Program is found in the following core budget(s): Finance**

**1. What does this program do?**

This program is responsible for the licensing and regulation of various consumer credit outlets which include finance companies, pay day loan companies, consumer installment lender companies and title loan companies.

**2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)**

Chapters 364, 365, 367 and 408 RSMo.

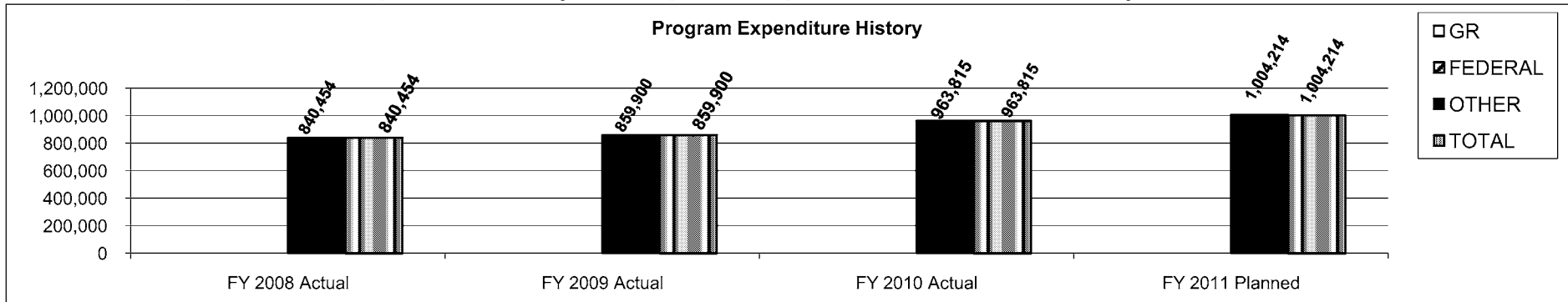
**3. Are there federal matching requirements? If yes, please explain.**

No.

**4. Is this a federally mandated program? If yes, please explain.**

No.

**5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.**



**6. What are the sources of the "Other " funds?**

Division of Finance Fund (0550)

## PROGRAM DESCRIPTION

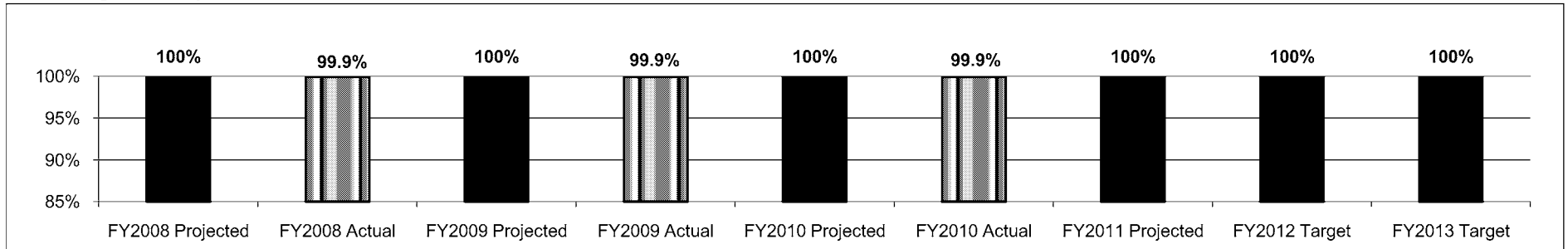
**Department of Insurance, Financial Institutions & Professional Registration**

**Consumer Credit Licensing and Regulation**

**Program is found in the following core budget(s): Finance**

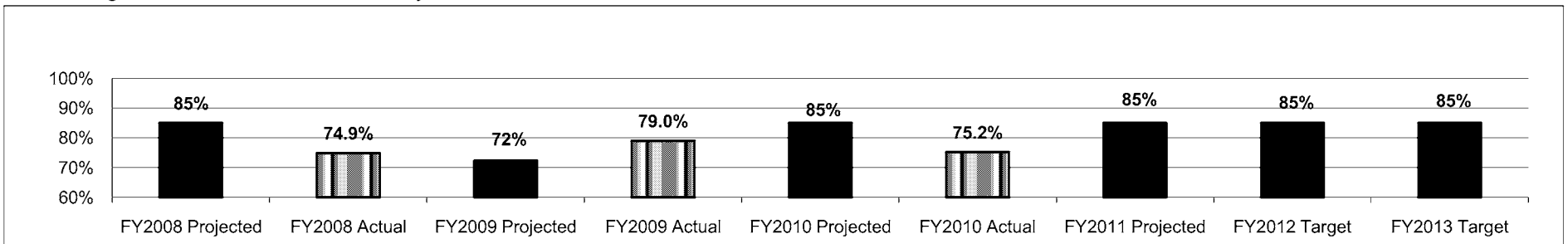
**7a. Provide an effectiveness measure.**

Percentage of compliant licensees



**7b. Provide an efficiency measure.**

Percentage of licensees examined each year



**7c. Provide the number of clients/individuals served, if applicable.**

	FY2008		FY2009		FY2010		FY2011	FY2012	FY2013
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Projected	Projected	Projected
Licensees	2,878	2,968	3,043	2,767	2,800	2,724	2,750	2,775	2,800

**7d. Provide a customer satisfaction measure, if available.**

Not available



**Transfer-S&L  
to Finance**

**DIFP****DECISION ITEM SUMMARY**

Budget Unit								
Decision Item	FY 2010	FY 2010	FY 2011	FY 2011	FY 2012	FY 2012	FY 2012	FY 2012
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
<b>S&amp;L FUND TRANSFER</b>								
<b>CORE</b>								
FUND TRANSFERS								
DIV SAVINGS & LOAN SUPERVISION	21,490	0.00	39,400	0.00	39,400	0.00	39,400	0.00
TOTAL - TRF	21,490	0.00	39,400	0.00	39,400	0.00	39,400	0.00
<b>TOTAL</b>	<b>21,490</b>	<b>0.00</b>	<b>39,400</b>	<b>0.00</b>	<b>39,400</b>	<b>0.00</b>	<b>39,400</b>	<b>0.00</b>
<b>GRAND TOTAL</b>	<b>\$21,490</b>	<b>0.00</b>	<b>\$39,400</b>	<b>0.00</b>	<b>\$39,400</b>	<b>0.00</b>	<b>\$39,400</b>	<b>0.00</b>

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# **CORE DECISION ITEM**

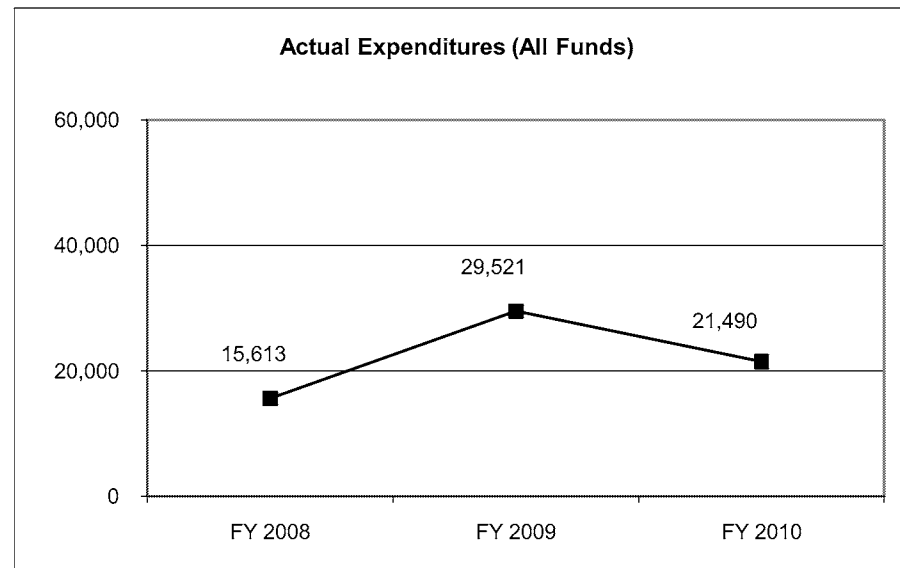
Department of Insurance, Financial Institutions & Professional Registration					Budget Unit 42520C				
Division of Finance									
Core - Division of Savings and Loan Supervision Fund Transfer to Finance Fund									
1. CORE FINANCIAL SUMMARY									
FY 2012 Budget Request					FY 2012 Governor's Recommendation				
	GR	Federal	Other	Total		GR	Fed	Other	Total
PS	0	0	0	0	PS	0	0	0	0
EE	0	0	0	0	EE	0	0	0	0
PSD	0	0	0	0	PSD	0	0	0	0
TRF	0	0	39,400	39,400	TRF	0	0	39,400	39,400
Total	0	0	39,400	39,400	Total	0	0	39,400	39,400
FTE	0.00	0.00	0.00	0.00	FTE	0.00	0.00	0.00	0.00
Est. Fringe	0	0	0	0	Est. Fringe	0	0	0	0
Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.					Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.				
Other Funds:	Division of Savings & Loan Supervision Fund (0549)				Other Funds:	Division of Savings & Loan Supervision Fund (0549)			
Notes:	An "E" is requested to allow for the transfer of funds of actual costs of supervision.				Notes:	An "E" is requested to allow for the transfer of funds of actual costs of supervision.			
2. CORE DESCRIPTION									
This transfer provides funds to the Division of Finance Fund from the Savings & Loan Supervision Fund to meet the salaries and expenses of the Division of Finance in administering laws pertaining to savings and loan associations.									
3. PROGRAM LISTING (list programs included in this core funding)									
Savings & Loan Supervision Transfer									

# **CORE DECISION ITEM**

**Department of Insurance, Financial Institutions & Professional Registration**      **Budget Unit** 42520C  
**Division of Finance**  
**Core - Division of Savings and Loan Supervision Fund Transfer to Finance Fund**

## **4. FINANCIAL HISTORY**

	<b>FY 2008 Actual</b>	<b>FY 2009 Actual</b>	<b>FY 2010 Actual</b>	<b>FY 2011 Current Yr.</b>	
Appropriation (All Funds)	39,400	39,400	39,400	39,400	E
Less Reverted (All Funds)	0	0	0	N/A	
Budget Authority (All Funds)	39,400	39,400	39,400	N/A	
Actual Expenditures (All Funds)	15,613	29,521	21,490	N/A	
Unexpended (All Funds)	23,787	9,879	17,910	N/A	
Unexpended, by Fund:					
General Revenue	0	0	0	N/A	
Federal	0	0	0	N/A	
Other	23,787	9,879	17,910	N/A	



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

## **NOTES:**

**CORE RECONCILIATION DETAIL**

**DIFP**  
**S&L FUND TRANSFER**

**5. CORE RECONCILIATION DETAIL**

	<b>Budget Class</b>	<b>FTE</b>	<b>GR</b>	<b>Federal</b>	<b>Other</b>	<b>Total</b>	<b>Explanation</b>
<b>TAFP AFTER VETOES</b>							
	TRF	0.00	0	0	39,400	39,400	
	<b>Total</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>39,400</b>	<b>39,400</b>	
<b>DEPARTMENT CORE REQUEST</b>							
	TRF	0.00	0	0	39,400	39,400	
	<b>Total</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>39,400</b>	<b>39,400</b>	
<b>GOVERNOR'S RECOMMENDED CORE</b>							
	TRF	0.00	0	0	39,400	39,400	
	<b>Total</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>39,400</b>	<b>39,400</b>	

# DIFP

# DECISION ITEM DETAIL

Budget Unit	FY 2010	FY 2010	FY 2011	FY 2011	FY 2012	FY 2012	FY 2012	FY 2012
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
<b>S&amp;L FUND TRANSFER</b>								
<b>CORE</b>								
TRANSFERS OUT	21,490	0.00	39,400	0.00	39,400	0.00	39,400	0.00
TOTAL - TRF	21,490	0.00	39,400	0.00	39,400	0.00	39,400	0.00
<b>GRAND TOTAL</b>	<b>\$21,490</b>	<b>0.00</b>	<b>\$39,400</b>	<b>0.00</b>	<b>\$39,400</b>	<b>0.00</b>	<b>\$39,400</b>	<b>0.00</b>
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
OTHER FUNDS	\$21,490	0.00	\$39,400	0.00	\$39,400	0.00	\$39,400	0.00

## PROGRAM DESCRIPTION

**Department of Insurance, Financial Institutions & Professional Registration**

**Savings & Loan Supervision Fund Transfer to Finance Fund**

**Program is found in the following core budget(s): Division of Savings & Loan Supervision Fund Transfer to Finance Fund**

**1. What does this program do?**

This transfer provides funds to the Division of Finance Fund from the Division of Savings & Loan Supervision fund to meet salaries and expenses of the Division of Finance in administering laws pertaining to savings and loan associations.

**2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)**

Chapter 369, RSMo.

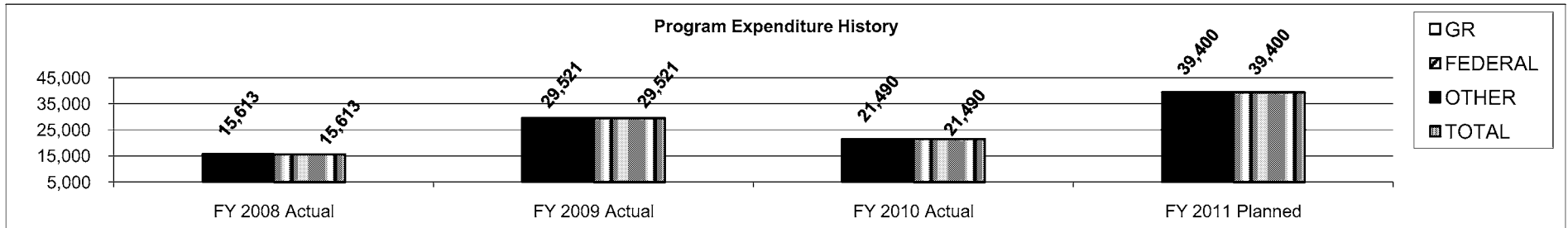
**3. Are there federal matching requirements? If yes, please explain.**

No.

**4. Is this a federally mandated program? If yes, please explain.**

No.

**5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.**



**6. What are the sources of the "Other " funds?**

Division of Savings and Loan Supervision Fund (0549)

**7a. Provide an effectiveness measure.**

Not Applicable.

**7b.**

**Provide an efficiency measure.**

Not Applicable.

**7c. Provide the number of clients/individuals served, if applicable.**

Not Applicable.

**7d.**

**Provide a customer satisfaction measure, if available.**

Not Applicable.

**Transfer- Mortgage  
to Finance**



# DIFP

# DECISION ITEM SUMMARY

Budget Unit								
Decision Item	FY 2010	FY 2010	FY 2011	FY 2011	FY 2012	FY 2012	FY 2012	FY 2012
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
<b>RESIDENTIAL MORTGAGE FUND TRF</b>								
<b>CORE</b>								
FUND TRANSFERS								
RESIDENTIAL MORTGAGE LICENSING	419,828	0.00	150,000	0.00	150,000	0.00	150,000	0.00
TOTAL - TRF	419,828	0.00	150,000	0.00	150,000	0.00	150,000	0.00
<b>TOTAL</b>	<b>419,828</b>	<b>0.00</b>	<b>150,000</b>	<b>0.00</b>	<b>150,000</b>	<b>0.00</b>	<b>150,000</b>	<b>0.00</b>
<b>Increase Residential Mortg TRF - 1375002</b>								
FUND TRANSFERS								
RESIDENTIAL MORTGAGE LICENSING	0	0.00	0	0.00	450,000	0.00	450,000	0.00
TOTAL - TRF	0	0.00	0	0.00	450,000	0.00	450,000	0.00
<b>TOTAL</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>450,000</b>	<b>0.00</b>	<b>450,000</b>	<b>0.00</b>
<b>GRAND TOTAL</b>	<b>\$419,828</b>	<b>0.00</b>	<b>\$150,000</b>	<b>0.00</b>	<b>\$600,000</b>	<b>0.00</b>	<b>\$600,000</b>	<b>0.00</b>

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# CORE DECISION ITEM

Department of Insurance, Financial Institutions & Professional Registration					Budget Unit 42550C				
Division of Finance									
Core - Residential Mortgage Licensing Fund Transfer to Finance Fund									
1. CORE FINANCIAL SUMMARY									
FY 2012 Budget Request					FY 2012 Governor's Recommendation				
	GR	Federal	Other	Total		GR	Fed	Other	Total
PS	0	0	0	0	PS	0	0	0	0
EE	0	0	0	0	EE	0	0	0	0
PSD	0	0	0	0	PSD	0	0	0	0
TRF	0	0	150,000	150,000	TRF	0	0	150,000	150,000
Total	0	0	150,000	150,000	Total	0	0	150,000	150,000
FTE	0.00	0.00	0.00	0.00	FTE	0.00	0.00	0.00	0.00
Est. Fringe	0	0	0	0	Est. Fringe	0	0	0	0
Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.					Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.				
Other Funds:	Residential Mortgage Licensing Fund (0261)				Other Funds:	Residential Mortgage Licensing Fund (0261)			
Notes:	An "E" is requested to allow for the transfer of funds for actual costs of administering the law.				Notes:	An "E" is requested to allow for the transfer of funds for actual costs of administering the law.			
2. CORE DESCRIPTION									
This transfer provides funds to the Division of Finance Fund from the Residential Mortgage Licensing Fund to meet the salaries and expenses of the Division of Finance in administering the Residential Mortgage Licensing Law.									
3. PROGRAM LISTING (list programs included in this core funding)									
Residential Mortgage Licensing Fund Transfer									

# **CORE DECISION ITEM**

**Department of Insurance, Financial Institutions & Professional Registration**

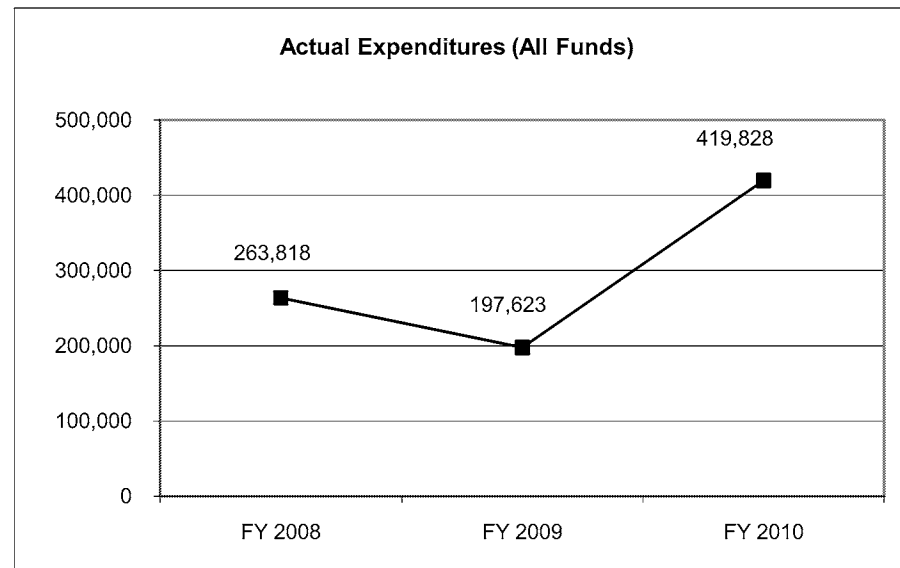
**Budget Unit** 42550C

**Division of Finance**

**Core - Residential Mortgage Licensing Fund Transfer to Finance Fund**

## **4. FINANCIAL HISTORY**

	<b>FY 2008 Actual</b>	<b>FY 2009 Actual</b>	<b>FY 2010 Actual</b>	<b>FY 2011 Current Yr.</b>	
Appropriation (All Funds)	270,000	198,000	419,900	150,000	E
Less Reverted (All Funds)	0	0	0	N/A	
Budget Authority (All Funds)	270,000	198,000	419,900	N/A	
Actual Expenditures (All Funds)	263,818	197,623	419,828	N/A	
Unexpended (All Funds)	6,182	377	72	N/A	
Unexpended, by Fund:					
General Revenue	0	0	0	N/A	
Federal	0	0	0	N/A	
Other	6,182	377	72	N/A	
	(1)	(2)	(3)		



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

### **NOTES:**

(1) Original appropriation of \$150,000 E was increased by \$120,000

(2) Original appropriation of \$150,000 E was increased by \$48,000

(3) Original appropriation of \$150,000 E was increased by \$269,900

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CORE RECONCILIATION DETAIL

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DIFP

RESIDENTAL MORTGAGE FUND TRF

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5. CORE RECONCILIATION DETAIL

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	Budget Class	FTE	GR	Federal	Other	Total	Explanation
<hr/>							
TAFP AFTER VETOES	TRF	0.00	0	0	150,000	150,000	
	<b>Total</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>150,000</b>	<b>150,000</b>	
<hr/>							
DEPARTMENT CORE REQUEST	TRF	0.00	0	0	150,000	150,000	
	<b>Total</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>150,000</b>	<b>150,000</b>	
<hr/>							
GOVERNOR'S RECOMMENDED CORE	TRF	0.00	0	0	150,000	150,000	
	<b>Total</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>150,000</b>	<b>150,000</b>	
<hr/>							

# DIFP

# DECISION ITEM DETAIL

Budget Unit	FY 2010	FY 2010	FY 2011	FY 2011	FY 2012	FY 2012	FY 2012	FY 2012
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
<b>RESIDENTIAL MORTGAGE FUND TRF</b>								
<b>CORE</b>								
TRANSFERS OUT	419,828	0.00	150,000	0.00	150,000	0.00	150,000	0.00
TOTAL - TRF	419,828	0.00	150,000	0.00	150,000	0.00	150,000	0.00
<b>GRAND TOTAL</b>	<b>\$419,828</b>	<b>0.00</b>	<b>\$150,000</b>	<b>0.00</b>	<b>\$150,000</b>	<b>0.00</b>	<b>\$150,000</b>	<b>0.00</b>
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
OTHER FUNDS	\$419,828	0.00	\$150,000	0.00	\$150,000	0.00	\$150,000	0.00

## PROGRAM DESCRIPTION

**Department of Insurance, Financial Institutions & Professional Registration**

**Residential Mortgage Licensing Fund Transfer**

**Program is found in the following core budget(s): Residential Mortgage Licensing Fund Transfer to Finance Fund**

**1. What does this program do?**

This transfer provides funds to the Division of Finance Fund from the Residential Mortgage Licensing Fund to meet the salaries and expenses of the Division of Finance in administering the Residential Mortgage Licensing Law.

**2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)**

443.845, RSMo.

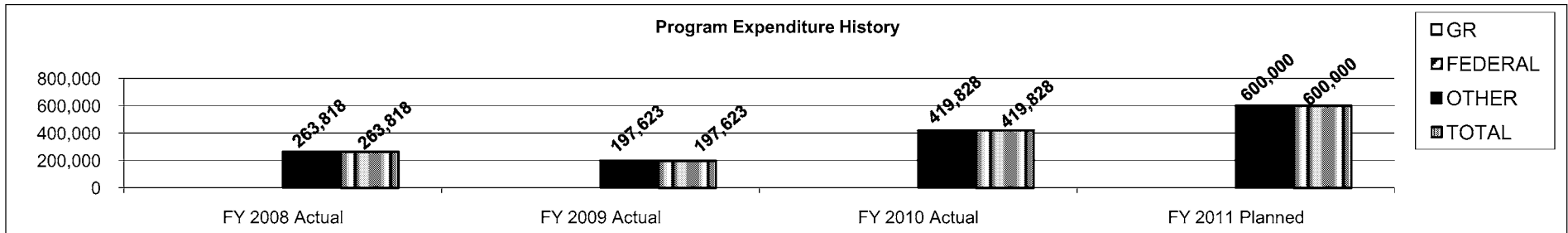
**3. Are there federal matching requirements? If yes, please explain.**

No.

**4. Is this a federally mandated program? If yes, please explain.**

No.

**5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.**



**6. What are the sources of the "Other " funds?**

Residential Mortgage Licensing Fund (0261)

**7a. Provide an effectiveness measure.**

Not Applicable.

**7b.**

**Provide an efficiency measure.**

Not Applicable.

**7c. Provide the number of clients/individuals served, if applicable.**

Not Applicable.

**7d.**

**Provide a customer satisfaction measure, if available.**

Not Applicable.

**Increase Mortgage  
Fund Transfer**

NEW DECISION ITEM  
RANK: 6 OF 6

Department of Insurance, Financial Institutions & Professional Registration	Budget Unit <u>42550C</u>
Division of Finance	
Increase Residential Mortgage Licensing Fund Transfer to Finance Fund	DI# <u>1375002</u>

### 1. AMOUNT OF REQUEST

	FY 2012 Budget Request				
	GR	Federal	Other	Total	
PS	0	0	0	0	
EE	0	0	0	0	
PSD	0	0	0	0	
TRF	0	0	450,000	450,000	E
<b>Total</b>	<b>0</b>	<b>0</b>	<b>450,000</b>	<b>450,000</b>	<b>E</b>
FTE	0.00	0.00	0.00	0.00	

<b>Est. Fringe</b>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
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*Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.*

Other Funds: Residential Mortgage Licensing Fund (0261)  
An "E" is requested to allow for the transfer of funds for actual costs of administering the law.

	FY 2012 Governor's Recommendation				
	GR	Fed	Other	Total	
PS	0	0	0	0	
EE	0	0	0	0	
PSD	0	0	0	0	
TRF	0	0	450,000	450,000	E
<b>Total</b>	<b>0</b>	<b>0</b>	<b>450,000</b>	<b>450,000</b>	<b>E</b>
FTE	0.00	0.00	0.00	0.00	

<b>Est. Fringe</b>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
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*Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.*

Other Funds: Residential Mortgage Licensing Fund (0261)  
An "E" is requested to allow for the transfer of funds for actual costs of administering the law.

### 2. THIS REQUEST CAN BE CATEGORIZED AS:

<input checked="" type="checkbox"/> New Legislation	<input type="checkbox"/> New Program	<input type="checkbox"/> Fund Switch
<input type="checkbox"/> Federal Mandate	<input checked="" type="checkbox"/> Program Expansion	<input type="checkbox"/> Cost to Continue
<input type="checkbox"/> GR Pick-Up	<input type="checkbox"/> Space Request	<input type="checkbox"/> Equipment Replacement
<input type="checkbox"/> Pay Plan	<input type="checkbox"/> Other: _____	

### 3. WHY IS THIS FUNDING NEEDED? PROVIDE AN EXPLANATION FOR ITEMS CHECKED IN #2. INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM.

This transfer provides funds to the Division of Finance Fund from the Residential Mortgage Licensing Fund to meet the salaries and expenses of the Division of Finance in administering the Residential Mortgage Licesning Law. HB 382 (TAFP 2009), the Missouri Secure and Fair Enforcement for Mortgage Licensing and Residential Mortgage Brokers Licensing Act (SAFE Act) was passed by the General Assembly and signed by the Governor on July 8, 2009. The Division of Finance received personal service and expense and equipment money for five additional FTE associated with the SAFE Act Bill. The Division of Finance is requesting to increase the transfer from the Residential Mortgage Licensing Fund to the Finance Fund by \$450,000 to cover the cost of the additional salaries and expenses that will be incurred in the Mortgage Broker section due to the SAFE Act bill.



**NEW DECISION ITEM**

RANK: 6 OF 6

<b>Department of Insurance, Financial Institutions &amp; Professional Registration</b>	<b>Budget Unit</b> <u>42550C</u>
<b>Division of Finance</b>	
<b>Increase Residential Mortgage Licensing Fund Transfer to Finance Fund</b>	<b>DI#</b> <u>1375002</u>

**4. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why. Detail which portions of the request are one-times and how those amounts were calculated.)**

During FY2010, the actual cost of administering the Residential Mortgage Licensing Law was \$503,498 and it is estimated that FY2011 expenses will be \$575,000; therefore, the department is requesting to increase the transfer amount from \$150,000 to \$600,000.

**5. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE. IDENTIFY ONE-TIME COSTS.**

Budget Object Class/Job Class	Dept Req GR DOLLARS	Dept Req GR FTE	Dept Req FED DOLLARS	Dept Req FED FTE	Dept Req OTHER DOLLARS	Dept Req OTHER FTE	Dept Req TOTAL DOLLARS	Dept Req TOTAL FTE	Dept Req One-Time DOLLARS
							0	0.0	
							0	0.0	
<b>Total PS</b>	<u>0</u>	<u>0.0</u>	<u>0</u>	<u>0.0</u>	<u>0</u>	<u>0.0</u>	<u>0</u>	<u>0.0</u>	<u>0</u>
							0		
							0		
<b>Total EE</b>	<u>0</u>		<u>0</u>		<u>0</u>		<u>0</u>		<u>0</u>
Program Distributions							0		
<b>Total PSD</b>	<u>0</u>		<u>0</u>		<u>0</u>		<u>0</u>		<u>0</u>
Transfers					450,000 E		450,000 E		
<b>Total TRF</b>	<u>0</u>		<u>0</u>		<u>450,000</u>		<u>450,000</u>		<u>0</u>
<b>Grand Total</b>	<u>0</u>	<u>0.0</u>	<u>0</u>	<u>0.0</u>	<u>450,000</u>	<u>0.0</u>	<u>450,000</u>	<u>0.0</u>	<u>0</u>

**NEW DECISION ITEM**

RANK: 6 OF 6

Department of Insurance, Financial Institutions & Professional Registration					Budget Unit <u>42550C</u>				
Division of Finance									
Increase Residential Mortgage Licensing Fund Transfer to Finance Fund					DI# <u>1375002</u>				
Budget Object Class/Job Class	Gov Rec GR DOLLARS	Gov Rec GR FTE	Gov Rec FED DOLLARS	Gov Rec FED FTE	Gov Rec OTHER DOLLARS	Gov Rec OTHER FTE	Gov Rec TOTAL DOLLARS	Gov Rec TOTAL FTE	Gov Rec One-Time DOLLARS
							0	0.0	
							0	0.0	
<b>Total PS</b>	<u>0</u>	<u>0.0</u>	<u>0</u>	<u>0.0</u>	<u>0</u>	<u>0.0</u>	<u>0</u>	<u>0.0</u>	<u>0</u>
							0		
							0		
							0		
<b>Total EE</b>	<u>0</u>		<u>0</u>		<u>0</u>		<u>0</u>		<u>0</u>
Program Distributions							0		
<b>Total PSD</b>	<u>0</u>		<u>0</u>		<u>0</u>		<u>0</u>		<u>0</u>
Transfers					450,000 E		450,000 E		
<b>Total TRF</b>	<u>0</u>		<u>0</u>		<u>450,000</u>		<u>450,000</u>		<u>0</u>
<b>Grand Total</b>	<u>0</u>	<u>0.0</u>	<u>0</u>	<u>0.0</u>	<u>450,000</u>	<u>0.0</u>	<u>450,000</u>	<u>0.0</u>	<u>0</u>

NEW DECISION ITEM  
RANK: 6 OF 6

Department of Insurance, Financial Institutions & Professional Registration	Budget Unit <u>42550C</u>
Division of Finance	
Increase Residential Mortgage Licensing Fund Transfer to Finance Fund	DI# <u>1375002</u>

**6. PERFORMANCE MEASURES (If new decision item has an associated core, separately identify projected performance with & without additional funding.)**

**6a. Provide an effectiveness measure.**  
N/A

**6b. Provide an efficiency measure.**  
N/A

**6c. Provide the number of clients/individuals served, if applicable.**  
N/A

**6d. Provide a customer satisfaction measure, if available.**  
N/A

**7. STRATEGIES TO ACHIEVE THE PERFORMANCE MEASUREMENT TARGETS:**

N/A

# DIFP

# DECISION ITEM DETAIL

Budget Unit	FY 2010	FY 2010	FY 2011	FY 2011	FY 2012	FY 2012	FY 2012	FY 2012
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
<b>RESIDENTIAL MORTGAGE FUND TRF</b>								
<b>Increase Residential Mortg TRF - 1375002</b>								
TRANSFERS OUT	0	0.00	0	0.00	450,000	0.00	450,000	0.00
TOTAL - TRF	0	0.00	0	0.00	450,000	0.00	450,000	0.00
<b>GRAND TOTAL</b>	<b>\$0</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>	<b>\$450,000</b>	<b>0.00</b>	<b>\$450,000</b>	<b>0.00</b>
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
OTHER FUNDS	\$0	0.00	\$0	0.00	\$450,000	0.00	\$450,000	0.00

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**DIFP****DECISION ITEM SUMMARY**

Budget Unit								
Decision Item	FY 2010	FY 2010	FY 2011	FY 2011	FY 2012	FY 2012	FY 2012	FY 2012
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
<b>S&amp;L FUND TRANSFER TO GR</b>								
<b>CORE</b>								
FUND TRANSFERS								
DIV SAVINGS & LOAN SUPERVISION	12,807	0.00	6,909	0.00	6,909	0.00	6,909	0.00
TOTAL - TRF	12,807	0.00	6,909	0.00	6,909	0.00	6,909	0.00
<b>TOTAL</b>	<b>12,807</b>	<b>0.00</b>	<b>6,909</b>	<b>0.00</b>	<b>6,909</b>	<b>0.00</b>	<b>6,909</b>	<b>0.00</b>
<b>GRAND TOTAL</b>	<b>\$12,807</b>	<b>0.00</b>	<b>\$6,909</b>	<b>0.00</b>	<b>\$6,909</b>	<b>0.00</b>	<b>\$6,909</b>	<b>0.00</b>

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### CORE DECISION ITEM

Department of Insurance, Financial Institutions & Professional Registration					Budget Unit 42540C				
Division of Finance									
Core - Division of Savings & Loan Supervision Fund Transfer to General Revenue									
1. CORE FINANCIAL SUMMARY									
FY 2012 Budget Request					FY 2012 Governor's Recommendation				
	GR	Federal	Other	Total		GR	Fed	Other	Total
PS	0	0	0	0	PS	0	0	0	0
EE	0	0	0	0	EE	0	0	0	0
PSD	0	0	0	0	PSD	0	0	0	0
TRF	0	0	6,909	6,909	TRF	0	0	6,909	6,909
Total	0	0	6,909	6,909	Total	0	0	6,909	6,909
FTE	0.00	0.00	0.00	0.00	FTE	0.00	0.00	0.00	0.00
Est. Fringe	0	0	0	0	Est. Fringe	0	0	0	0
Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.					Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.				
Other Funds:	Division of Savings & Loan Supervision Fund (0549)				Other Funds:	Division of Savings & Loan Supervision Fund (0549)			
Notes:	An "E" is requested due to the unknown amount of the transfer.				Notes:	An "E" is requested due to the unknown amount of the transfer.			
2. CORE DESCRIPTION									
In accordance with Section 369.324 RSMo., any amount remaining in the Division of Savings and Loan Supervision Fund at the end of the fiscal year which exceeds five percent of the amount assessed to the savings and loan associations shall be transferred to general revenue. This transfer is necessary to meet the requirements of the statute.									
3. PROGRAM LISTING (list programs included in this core funding)									
Division of Savings & Loan Supervision Fund Transfer to General Revenue									

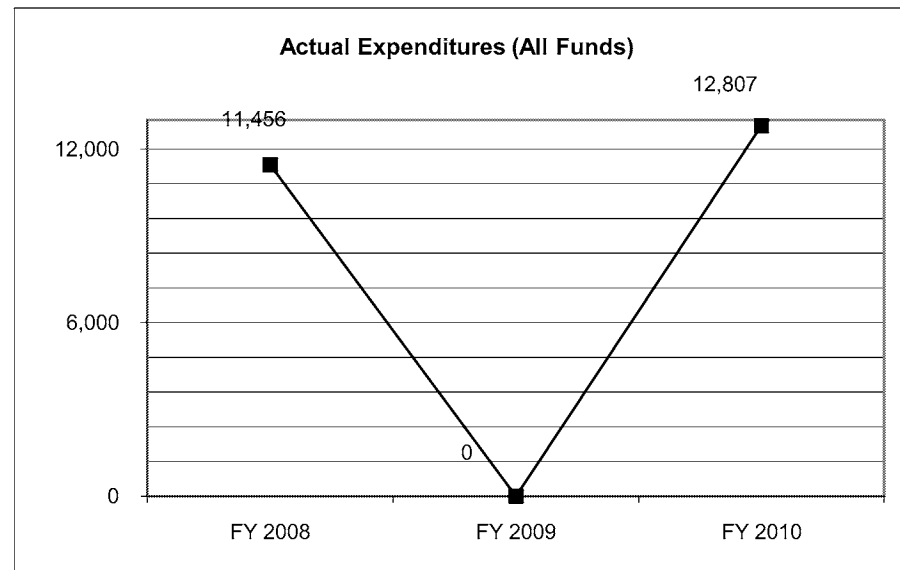


# CORE DECISION ITEM

Department of Insurance, Financial Institutions & Professional Registration      Budget Unit 42540C  
 Division of Finance  
 Core - Division of Savings & Loan Supervision Fund Transfer to General Revenue

## 4. FINANCIAL HISTORY

	FY 2008 Actual	FY 2009 Actual	FY 2010 Actual	FY 2011 Current Yr.
Appropriation (All Funds)	11,909	6,909	12,809	6,909 E
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	11,909	6,909	12,809	N/A
Actual Expenditures (All Funds)	11,456	0	12,807	N/A
Unexpended (All Funds)	453	6,909	2	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	453	6,909	2	N/A
	(1)		(2)	



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

### NOTES:

- (1) Original appropriation of \$6,909 E was increased by \$5,000
- (2) Original appropriation of \$6,909 E was increased by \$5,900

**CORE RECONCILIATION DETAIL**

DIFP

S&L FUND TRANSFER TO GR

**5. CORE RECONCILIATION DETAIL**

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
<b>TAFP AFTER VETOES</b>							
	TRF	0.00	0	0	6,909	6,909	
	<b>Total</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>6,909</b>	<b>6,909</b>	
<b>DEPARTMENT CORE REQUEST</b>							
	TRF	0.00	0	0	6,909	6,909	
	<b>Total</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>6,909</b>	<b>6,909</b>	
<b>GOVERNOR'S RECOMMENDED CORE</b>							
	TRF	0.00	0	0	6,909	6,909	
	<b>Total</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>6,909</b>	<b>6,909</b>	

# DIFP

# DECISION ITEM DETAIL

Budget Unit	FY 2010	FY 2010	FY 2011	FY 2011	FY 2012	FY 2012	FY 2012	FY 2012
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
<b>S&amp;L FUND TRANSFER TO GR</b>								
<b>CORE</b>								
TRANSFERS OUT	12,807	0.00	6,909	0.00	6,909	0.00	6,909	0.00
TOTAL - TRF	12,807	0.00	6,909	0.00	6,909	0.00	6,909	0.00
<b>GRAND TOTAL</b>	<b>\$12,807</b>	<b>0.00</b>	<b>\$6,909</b>	<b>0.00</b>	<b>\$6,909</b>	<b>0.00</b>	<b>\$6,909</b>	<b>0.00</b>
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
OTHER FUNDS	\$12,807	0.00	\$6,909	0.00	\$6,909	0.00	\$6,909	0.00

## PROGRAM DESCRIPTION

**Department of Insurance, Financial Institutions & Professional Registration**

**Division of Savings & Loan Supervision Fund Transfer to General Revenue**

**Program is found in the following core budget(s): Division of Savings & Loan Supervision Fund Transfer to General Revenue**

**1. What does this program do?**

Any amount remaining in the Division of Savings and Loan Supervision Fund at the end of the fiscal year that exceeds five (5) percent of the amount assessed the saving and loan associations shall be transferred to General Revenue.

**2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)**

369.324, RSMo.

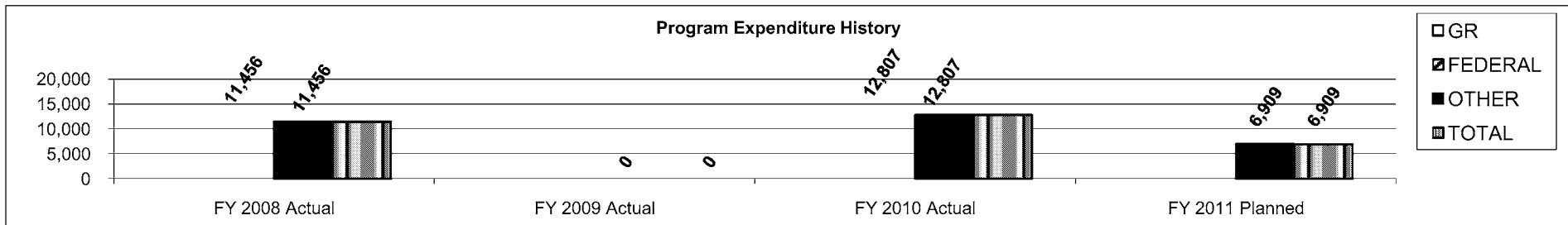
**3. Are there federal matching requirements? If yes, please explain.**

No.

**4. Is this a federally mandated program? If yes, please explain.**

No.

**5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.**



**6. What are the sources of the "Other " funds?**

Division of Savings and Loan Supervision Fund (0549)

**7a. Provide an effectiveness measure.**

Not Applicable.

**7b.**

**Provide an efficiency measure.**

Not Applicable.

**7c. Provide the number of clients/individuals served, if applicable.**

Not Applicable.

**7d.**

**Provide a customer satisfaction measure, if available.**

Not Applicable.



# DIFP

# DECISION ITEM SUMMARY

Budget Unit									
Decision Item	FY 2010	FY 2010	FY 2011	FY 2011	FY 2012	FY 2012	FY 2012	FY 2012	FY 2012
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC	GOV REC
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	FTE
<b>FINANCE FUND TRANSFER TO GR</b>									
<b>CORE</b>									
FUND TRANSFERS									
DIVISION OF FINANCE	484,483	0.00	500,000	0.00	500,000	0.00	500,000	0.00	0.00
TOTAL - TRF	484,483	0.00	500,000	0.00	500,000	0.00	500,000	0.00	0.00
<b>TOTAL</b>	<b>484,483</b>	<b>0.00</b>	<b>500,000</b>	<b>0.00</b>	<b>500,000</b>	<b>0.00</b>	<b>500,000</b>	<b>0.00</b>	<b>0.00</b>
<b>GRAND TOTAL</b>	<b>\$484,483</b>	<b>0.00</b>	<b>\$500,000</b>	<b>0.00</b>	<b>\$500,000</b>	<b>0.00</b>	<b>\$500,000</b>	<b>0.00</b>	<b>0.00</b>

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# **CORE DECISION ITEM**

<b>Department of Insurance, Financial Institutions &amp; Professional Registration</b>	<b>Budget Unit</b> <u>42530C</u>
<b>Division of Finance</b>	
<b>Core - Division of Finance Fund Transfer to General Revenue</b>	

## **1. CORE FINANCIAL SUMMARY**

FY 2012 Budget Request					FY 2012 Governor's Recommendation				
	GR	Federal	Other	Total		GR	Fed	Other	Total
PS	0	0	0	0	PS	0	0	0	0
EE	0	0	0	0	EE	0	0	0	0
PSD	0	0	0	0	PSD	0	0	0	0
TRF	0	0	500,000	500,000	TRF	0	0	500,000	500,000
<b>Total</b>	<b>0</b>	<b>0</b>	<b>500,000</b>	<b>500,000</b>	<b>Total</b>	<b>0</b>	<b>0</b>	<b>500,000</b>	<b>500,000</b>
FTE	0.00	0.00	0.00	0.00	FTE	0.00	0.00	0.00	0.00

<b>Est. Fringe</b>	0	0	0	0
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*Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.*

Other Funds: Division of Finance Fund (0550)  
Notes: An "E" is requested to allow for the transfer of funds for actual costs of services provided by General Revenue funded state agencies to the Division of Finance.

<b>Est. Fringe</b>	0	0	0	0
--------------------	---	---	---	---

*Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.*

Other Funds: Division of Finance Fund (0550)  
Notes: An "E" is requested to allow for the transfer of funds for actual costs of services provided by General Revenue funded state agencies to the Division of Finance.

## **2. CORE DESCRIPTION**

Per 361.170.4. RSMo., the division shall transfer to General Revenue an amount not to exceed fifteen percent of the Division's operating expenses for the previous fiscal year to pay the costs of rent and other supporting services.

## **3. PROGRAM LISTING (list programs included in this core funding)**

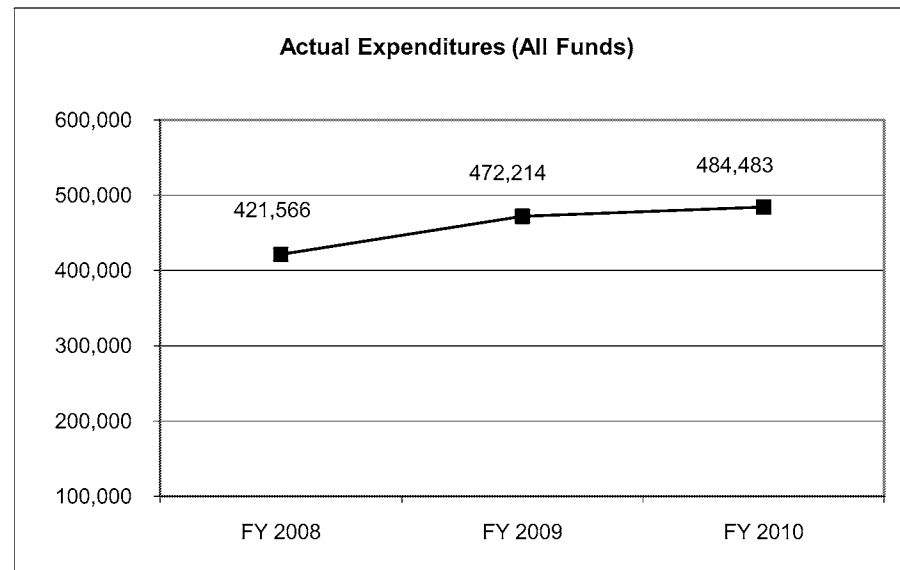
Finance Fund Transfer to General Revenue

# **CORE DECISION ITEM**

**Department of Insurance, Financial Institutions & Professional Registration**      **Budget Unit** 42530C  
**Division of Finance**  
**Core - Division of Finance Fund Transfer to General Revenue**

## **4. FINANCIAL HISTORY**

	<b>FY 2008 Actual</b>	<b>FY 2009 Actual</b>	<b>FY 2010 Actual</b>	<b>FY 2011 Current Yr.</b>	
Appropriation (All Funds)	500,000	500,000	500,000	500,000	E
Less Reverted (All Funds)	0	0	0	N/A	
Budget Authority (All Funds)	500,000	500,000	500,000	N/A	
Actual Expenditures (All Funds)	421,566	472,214	484,483	N/A	
Unexpended (All Funds)	78,434	27,786	15,517	N/A	
Unexpended, by Fund:					
General Revenue	0	0	0	N/A	
Federal	0	0	0	N/A	
Other	78,434	27,786	15,517	N/A	



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

## **NOTES:**



## CORE RECONCILIATION DETAIL

DIFP  
FINANCE FUND TRANSFER TO GR

### 5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
<b>TAFP AFTER VETOES</b>							
	TRF	0.00	0	0	500,000	500,000	
	<b>Total</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>500,000</b>	<b>500,000</b>	
<b>DEPARTMENT CORE REQUEST</b>							
	TRF	0.00	0	0	500,000	500,000	
	<b>Total</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>500,000</b>	<b>500,000</b>	
<b>GOVERNOR'S RECOMMENDED CORE</b>							
	TRF	0.00	0	0	500,000	500,000	
	<b>Total</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>500,000</b>	<b>500,000</b>	

# DIFP

# DECISION ITEM DETAIL

Budget Unit	FY 2010	FY 2010	FY 2011	FY 2011	FY 2012	FY 2012	FY 2012	FY 2012
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
FINANCE FUND TRANSFER TO GR								
CORE								
TRANSFERS OUT	484,483	0.00	500,000	0.00	500,000	0.00	500,000	0.00
TOTAL - TRF	484,483	0.00	500,000	0.00	500,000	0.00	500,000	0.00
GRAND TOTAL	\$484,483	0.00	\$500,000	0.00	\$500,000	0.00	\$500,000	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
OTHER FUNDS	\$484,483	0.00	\$500,000	0.00	\$500,000	0.00	\$500,000	0.00

## PROGRAM DESCRIPTION

**Department of Insurance, Financial Institutions & Professional Registration**

**Finance Fund Transfer to General Revenue**

**Program is found in the following core budget(s): Division of Finance Fund Transfer to General Revenue**

**1. What does this program do?**

This transfer provides funds to general revenue funded state agencies (i.e., Attorney General and State Auditor) to pay for the costs of supportive services provided to the Division of Finance.

**2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)**

361.170, RSMo.

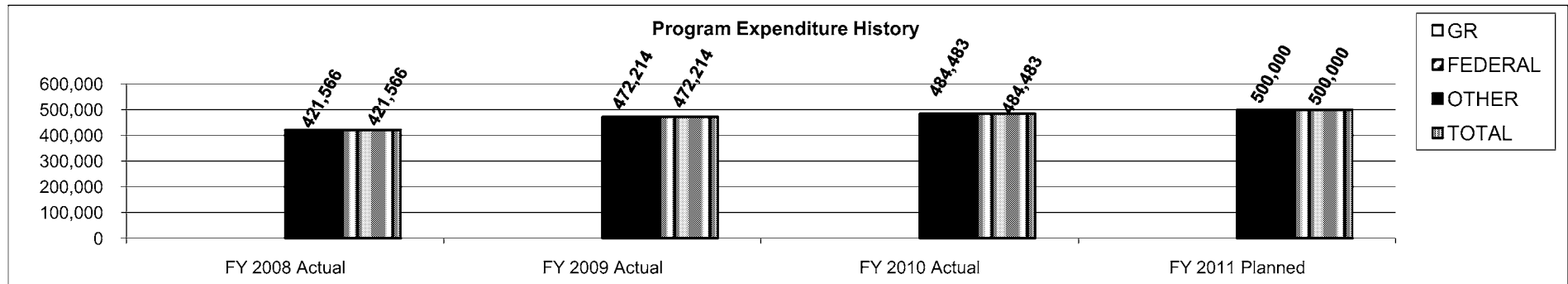
**3. Are there federal matching requirements? If yes, please explain.**

No.

**4. Is this a federally mandated program? If yes, please explain.**

No.

**5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.**



**6. What are the sources of the "Other " funds?**

Division of Finance Fund (0550)

**7a. Provide an effectiveness measure.**

Not Applicable.

**7b.**

**Provide an efficiency measure.**

Not Applicable.

**7c. Provide the number of clients/individuals served, if applicable.**

Not Applicable.

**7d.**

**Provide a customer satisfaction measure, if available.**

Not Applicable.



**DIFP****DECISION ITEM SUMMARY**

Budget Unit								
Decision Item	FY 2010	FY 2010	FY 2011	FY 2011	FY 2012	FY 2012	FY 2012	FY 2012
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
<b>PR ADMINISTRATION</b>								
<b>CORE</b>								
PERSONAL SERVICES								
PROFESSIONAL REGISTRATION FEES	2,885,971	86.21	3,334,640	87.50	3,334,640	87.50	3,334,640	87.50
TOTAL - PS	2,885,971	86.21	3,334,640	87.50	3,334,640	87.50	3,334,640	87.50
EXPENSE & EQUIPMENT								
PROFESSIONAL REGISTRATION FEES	689,360	0.00	1,144,552	0.00	1,144,552	0.00	1,144,552	0.00
TOTAL - EE	689,360	0.00	1,144,552	0.00	1,144,552	0.00	1,144,552	0.00
PROGRAM-SPECIFIC								
PROFESSIONAL REGISTRATION FEES	40,349	0.00	35,000	0.00	35,000	0.00	35,000	0.00
TOTAL - PD	40,349	0.00	35,000	0.00	35,000	0.00	35,000	0.00
<b>TOTAL</b>	<b>3,615,680</b>	<b>86.21</b>	<b>4,514,192</b>	<b>87.50</b>	<b>4,514,192</b>	<b>87.50</b>	<b>4,514,192</b>	<b>87.50</b>
<b>GRAND TOTAL</b>	<b>\$3,615,680</b>	<b>86.21</b>	<b>\$4,514,192</b>	<b>87.50</b>	<b>\$4,514,192</b>	<b>87.50</b>	<b>\$4,514,192</b>	<b>87.50</b>

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# CORE DECISION ITEM

Department of Insurance, Financial Institutions & Professional Registration					Budget Unit 42640C				
Professional Registration									
Core - Professional Registration Administration									
1. CORE FINANCIAL SUMMARY									
FY 2012 Budget Request					FY 2012 Governor's Recommendation				
	GR	Federal	Other	Total		GR	Fed	Other	Total
PS	0	0	3,334,640	3,334,640	PS	0	0	3,334,640	3,334,640
EE	0	0	1,144,552	1,144,552 E	EE	0	0	1,144,552	1,144,552 E
PSD	0	0	35,000	35,000 E	PSD	0	0	35,000	35,000 E
TRF	0	0	0	0	TRF	0	0	0	0
Total	0	0	4,514,192	4,514,192	Total	0	0	4,514,192	4,514,192
FTE	0.00	0.00	87.50	87.50	FTE	0.00	0.00	87.50	87.50
Est. Fringe	0	0	1,855,727	1,855,727	Est. Fringe	0	0	1,855,727	1,855,727
Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.					Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.				
Other Funds: Professional Registration Fees Fund (0689)					Other Funds: Professional Registration Fees Fund (0689)				
Notes: \$88,000 E in expense and equipment for testing services and \$35,000 E in PSD for refunds.					Notes: \$88,000 E in expense and equipment for testing services and \$35,000 E in PSD for refunds.				
2. CORE DESCRIPTION									
The core program request is necessary to ensure that the Division of Professional Registration Administration can continue to provide the accounting, budgeting, cash receiving, building maintenance and other various services to the boards and commissions which regulate professions within the State of Missouri. In addition, this core program request is necessary to ensure the continued high quality of service provided by boxers, wrestlers, martial arts, judges, referees, timekeepers, announcers, promoters, athlete agents, dietitians, endowed care cemeteries, geologists, hearing instrument specialists, interior designers, interpreters, marital and family therapists, massage therapists, occupational therapists, private investigators, professional counselors, psychologists, real estate appraisers, respiratory therapists, social workers, tattoo artists, branders and body piercers licensed in Missouri. Note: The core appropriation for Division/Administration also includes funding for board personnel and board member per diem for the following boards: Chiropractic, Cosmetology and Barbers, Embalmers & Funeral Directors, Optometry, Podiatry and Veterinary.									
3. PROGRAM LISTING (list programs included in this core funding)									
Professional Registration Administration			Board of Geologist Registration			State Board of Podiatric Medicine (PS Only)			
Office of Athletics			Board of Hearing Instrument Specialists			Committee for Professional Counselors			
Office of Athlete Agents			Interior Design Council			State Committee of Psychologists			
State Board of Chiropractic Examiners (PS Only)			State Committee of Interpreters			Missouri Real Estate Appraisers Commission			
State Board of Cosmetology & Barbers (PS Only)			Committee for Marital & Family Therapists			Board for Respiratory Care			
Committee for Dietitians			State Board of Therapeutic Massage			State Committee for Social Workers			
State Board of Embalmers & Funeral Directors (PS Only)			Occupational Therapy			Office of Tattooing, Body Piercing & Branding			
Endowed Care Cemeteries			State Board of Optometry (PS Only)			Board of Veterinary Medicine (PS Only)			
Board of Private Investigator Examiners			Missouri Acupuncture Advisory Committee						

# CORE DECISION ITEM

Department of Insurance, Financial Institutions & Professional Registration

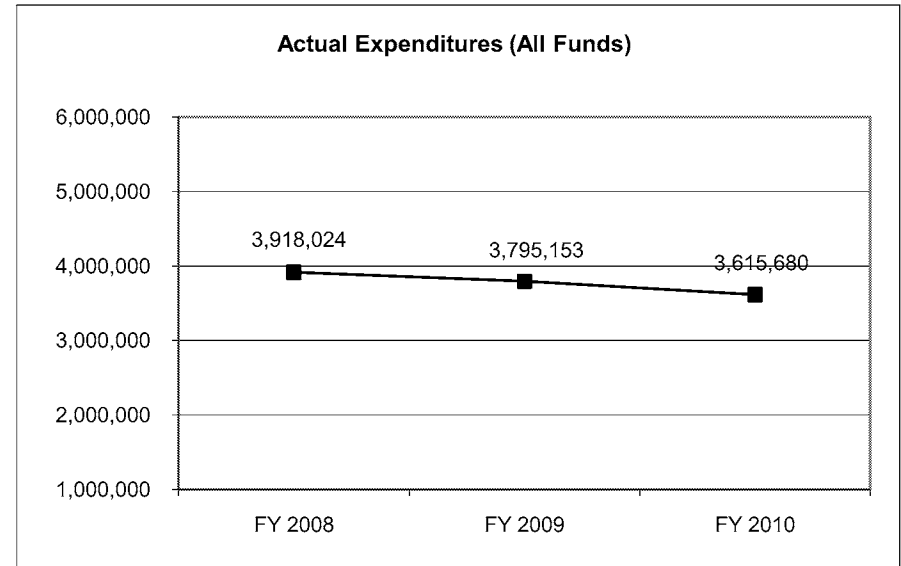
Budget Unit 42640C

Professional Registration

Core - Professional Registration Administration

## 4. FINANCIAL HISTORY

	FY 2008 Actual	FY 2009 Actual	FY 2010 Actual	FY 2011 Current Yr.
Appropriation (All Funds)	4,205,681	4,324,298	4,319,134	4,514,192
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	4,205,681	4,324,298	4,319,134	N/A
Actual Expenditures (All Funds)	3,918,024	3,795,153	3,615,680	N/A
Unexpended (All Funds)	287,657	529,145	703,454	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	287,657	529,145	703,454	N/A
	(1)	(2)	(3)	(4)



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

### NOTES:

(1) Original appropriation of \$88,000 E for testing services was increased by \$40,000 and original appropriation of \$35,000 E for refunds was increased by \$60,000. Unexpended amount is due to staff turnover and less than anticipated expenditures.

(2) Original appropriation of \$88,000 E for testing services was increased by \$7,000 and original appropriation of \$35,000 E for refunds was increased by \$22,496. Unexpended amount is due to staff turnover and less than anticipated expenditures.

(3) Original appropriation of \$35,000 E for refunds was increased by \$5,349. Unexpended amount is due to staff turnover and less than anticipated expenditures.

(4) Includes estimated appropriations of \$88,000 E for testing services and \$35,000 E for refunds.

**CORE RECONCILIATION DETAIL**

DIFP  
PR ADMINISTRATION

**5. CORE RECONCILIATION DETAIL**

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
<b>TAFP AFTER VETOES</b>							
	PS	87.50	0	0	3,334,640	3,334,640	
	EE	0.00	0	0	1,144,552	1,144,552	
	PD	0.00	0	0	35,000	35,000	
	<b>Total</b>	<b>87.50</b>	<b>0</b>	<b>0</b>	<b>4,514,192</b>	<b>4,514,192</b>	
<b>DEPARTMENT CORE REQUEST</b>							
	PS	87.50	0	0	3,334,640	3,334,640	
	EE	0.00	0	0	1,144,552	1,144,552	
	PD	0.00	0	0	35,000	35,000	
	<b>Total</b>	<b>87.50</b>	<b>0</b>	<b>0</b>	<b>4,514,192</b>	<b>4,514,192</b>	
<b>GOVERNOR'S RECOMMENDED CORE</b>							
	PS	87.50	0	0	3,334,640	3,334,640	
	EE	0.00	0	0	1,144,552	1,144,552	
	PD	0.00	0	0	35,000	35,000	
	<b>Total</b>	<b>87.50</b>	<b>0</b>	<b>0</b>	<b>4,514,192</b>	<b>4,514,192</b>	



DIFP

DECISION ITEM DETAIL

Budget Unit	FY 2010	FY 2010	FY 2011	FY 2011	FY 2012	FY 2012	FY 2012	FY 2012
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
<b>PR ADMINISTRATION</b>								
<b>CORE</b>								
OFFICE SUPPORT ASST (CLERICAL)	23,796	1.00	23,796	1.00	23,796	1.00	23,796	1.00
SR OFC SUPPORT ASST (CLERICAL)	25,380	1.00	25,380	1.00	25,380	1.00	25,380	1.00
ADMIN OFFICE SUPPORT ASSISTANT	210,626	7.00	210,624	7.00	210,624	7.00	210,624	7.00
OFFICE SUPPORT ASST (KEYBRD)	144,721	6.03	174,704	7.00	174,704	7.00	174,704	7.00
SR OFC SUPPORT ASST (KEYBRD)	50,376	2.00	51,589	2.00	51,589	2.00	51,589	2.00
ACCOUNT CLERK II	50,381	2.00	50,340	2.00	50,340	2.00	50,340	2.00
ACCOUNTANT II	37,296	1.00	37,973	1.00	37,973	1.00	37,973	1.00
ACCOUNTING SPECIALIST II	0	0.00	38,700	1.00	38,700	1.00	38,700	1.00
BUDGET ANAL II	36,612	1.00	36,612	1.00	36,612	1.00	36,612	1.00
BUDGET ANAL III	55,707	1.03	54,363	1.00	0	0.00	0	0.00
PERSONNEL OFCR I	55,073	1.03	53,292	1.00	51,826	1.00	51,826	1.00
EXECUTIVE I	107,891	3.19	109,003	3.00	106,003	3.00	106,003	3.00
EXECUTIVE II	36,612	1.00	36,612	1.00	36,612	1.00	36,612	1.00
PERSONNEL CLERK	27,991	0.99	29,167	1.00	29,167	1.00	29,167	1.00
INVESTIGATOR II	112,949	3.01	185,808	4.50	167,808	4.00	167,808	4.00
INVESTIGATOR III	48,375	1.05	47,180	1.00	47,180	1.00	47,180	1.00
GRAPHIC ARTS SPEC I	25,800	1.00	26,523	1.00	0	0.00	0	0.00
GRAPHIC ARTS SPEC II	0	0.00	0	0.00	27,204	1.00	27,204	1.00
INSURANCE FINANCIAL ANALYST II	0	0.00	71,904	2.00	71,904	2.00	71,904	2.00
COMBATIVE SPORTS ADMINISTRATOR	52,200	1.00	52,200	1.00	52,200	1.00	52,200	1.00
INSPECTOR (PROF REGISTRATION)	306,877	10.93	317,000	11.00	313,000	11.00	313,000	11.00
INSP SUPV (PROF REGISTRATION)	31,438	1.01	31,176	1.00	31,176	1.00	31,176	1.00
FUNERAL ESTABLISHMENT INSP	40,968	1.00	40,968	1.00	40,968	1.00	40,968	1.00
PROF REG LIC TECH I	132,763	5.57	193,676	7.00	145,000	6.00	145,000	6.00
PROF REG LIC TECH II	297,991	11.24	342,313	12.50	357,813	13.50	357,813	13.50
FISCAL & ADMINISTRATIVE MGR B1	54,251	1.00	54,363	1.00	114,687	2.00	114,687	2.00
DIVISION DIRECTOR	94,760	1.00	94,760	1.00	94,760	1.00	94,760	1.00
DESIGNATED PRINCIPAL ASST DIV	78,219	1.53	150,000	3.00	150,000	3.00	150,000	3.00
LEGAL COUNSEL	115,153	2.00	119,478	2.00	176,478	3.00	176,478	3.00
BOARD MEMBER	74,269	5.27	150,434	0.00	150,434	0.00	150,434	0.00
STUDENT WORKER	5,726	0.28	6,620	0.00	6,620	0.00	6,620	0.00
CLERK	97,783	3.16	14,685	0.00	14,685	0.00	14,685	0.00

DIFP

DECISION ITEM DETAIL

Budget Unit	FY 2010	FY 2010	FY 2011	FY 2011	FY 2012	FY 2012	FY 2012	FY 2012
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
<b>PR ADMINISTRATION</b>								
<b>CORE</b>								
INSPECTOR	18,450	0.71	62,830	0.00	58,830	0.00	58,830	0.00
PRINCIPAL ASST BOARD/COMMISSON	435,537	7.18	440,567	8.50	440,567	8.00	440,567	8.00
<b>TOTAL - PS</b>	<b>2,885,971</b>	<b>86.21</b>	<b>3,334,640</b>	<b>87.50</b>	<b>3,334,640</b>	<b>87.50</b>	<b>3,334,640</b>	<b>87.50</b>
TRAVEL, IN-STATE	77,637	0.00	124,300	0.00	126,000	0.00	126,000	0.00
TRAVEL, OUT-OF-STATE	32,747	0.00	94,900	0.00	66,000	0.00	66,000	0.00
FUEL & UTILITIES	0	0.00	1	0.00	1	0.00	1	0.00
SUPPLIES	106,884	0.00	175,840	0.00	144,950	0.00	144,950	0.00
PROFESSIONAL DEVELOPMENT	48,474	0.00	107,662	0.00	90,350	0.00	90,350	0.00
COMMUNICATION SERV & SUPP	48,722	0.00	52,767	0.00	55,200	0.00	55,200	0.00
PROFESSIONAL SERVICES	300,146	0.00	406,043	0.00	445,876	0.00	445,876	0.00
M&R SERVICES	27,532	0.00	30,475	0.00	44,500	0.00	44,500	0.00
MOTORIZED EQUIPMENT	0	0.00	34,000	0.00	55,000	0.00	55,000	0.00
OFFICE EQUIPMENT	15,519	0.00	32,670	0.00	38,225	0.00	38,225	0.00
OTHER EQUIPMENT	249	0.00	50	0.00	50	0.00	50	0.00
PROPERTY & IMPROVEMENTS	0	0.00	25,050	0.00	25,050	0.00	25,050	0.00
BUILDING LEASE PAYMENTS	10,979	0.00	17,250	0.00	17,425	0.00	17,425	0.00
EQUIPMENT RENTALS & LEASES	2,240	0.00	3,819	0.00	3,300	0.00	3,300	0.00
MISCELLANEOUS EXPENSES	18,231	0.00	39,725	0.00	32,625	0.00	32,625	0.00
<b>TOTAL - EE</b>	<b>689,360</b>	<b>0.00</b>	<b>1,144,552</b>	<b>0.00</b>	<b>1,144,552</b>	<b>0.00</b>	<b>1,144,552</b>	<b>0.00</b>
REFUNDS	40,349	0.00	35,000	0.00	35,000	0.00	35,000	0.00
<b>TOTAL - PD</b>	<b>40,349</b>	<b>0.00</b>	<b>35,000</b>	<b>0.00</b>	<b>35,000</b>	<b>0.00</b>	<b>35,000</b>	<b>0.00</b>
<b>GRAND TOTAL</b>	<b>\$3,615,680</b>	<b>86.21</b>	<b>\$4,514,192</b>	<b>87.50</b>	<b>\$4,514,192</b>	<b>87.50</b>	<b>\$4,514,192</b>	<b>87.50</b>
<b>GENERAL REVENUE</b>	<b>\$0</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>
<b>FEDERAL FUNDS</b>	<b>\$0</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>
<b>OTHER FUNDS</b>	<b>\$3,615,680</b>	<b>86.21</b>	<b>\$4,514,192</b>	<b>87.50</b>	<b>\$4,514,192</b>	<b>87.50</b>	<b>\$4,514,192</b>	<b>87.50</b>

## PROGRAM DESCRIPTION

**Department of Insurance, Financial Institutions and Professional Registration**

**Professional Registration Administration**

**Program is found in the following core budget(s): Professional Registration Administration**

**1. What does this program do?**

Professional Registration Administration provides the accounting, budgeting, cash receiving, building maintenance and other various services to the agencies which regulate professions within the State of Missouri.

Note: The core appropriation for Professional Registration Administration also includes funding for board personnel and board member per diem for the following boards: Chiropractic, Cosmetology & Barber, Embalmers & Funeral Directors, Optometry, Podiatry and Veterinary.

**2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)**

620.105-620.154 RSMo

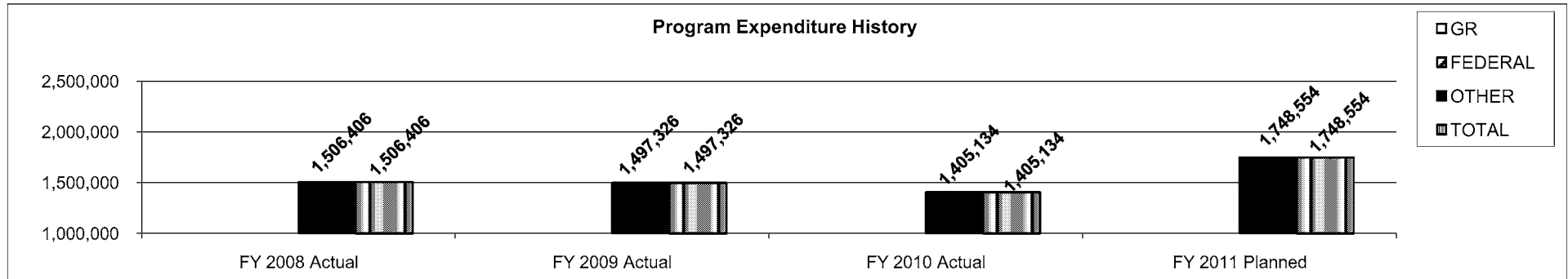
**3. Are there federal matching requirements? If yes, please explain.**

No

**4. Is this a federally mandated program? If yes, please explain.**

No

**5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.**



**6. What are the sources of the "Other " funds?**

Professional Registration Fee Fund (0689)

## PROGRAM DESCRIPTION

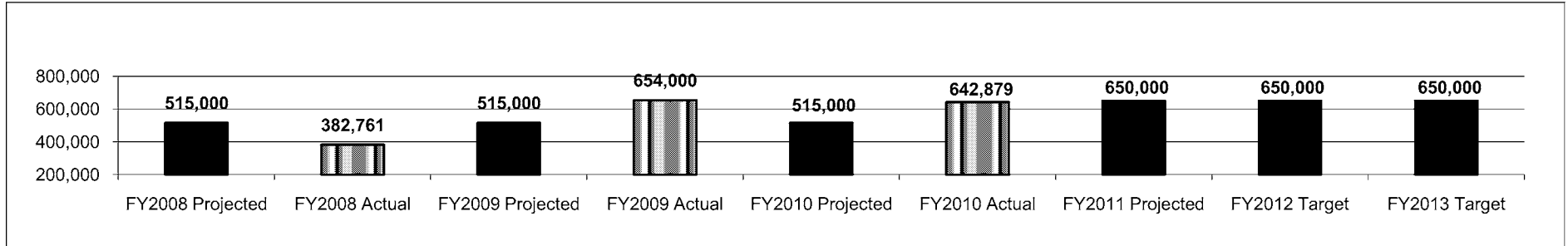
**Department of Insurance, Financial Institutions and Professional Registration**

**Professional Registration Administration**

**Program is found in the following core budget(s): Professional Registration Administration**

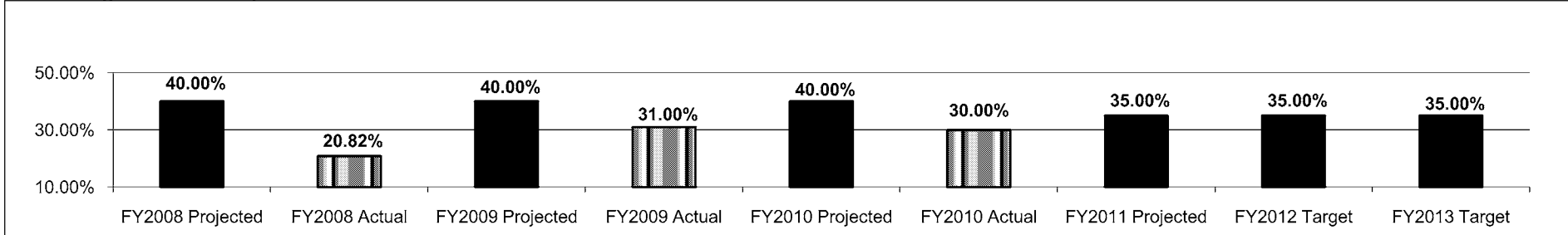
**7a. Provide an effectiveness measure.**

Increase the average number of monthly division webpage visits by adding more information for professionals



**7b. Provide an efficiency measure.**

Percentage of renewals processed online



**7c. Provide the number of clients/individuals served, if applicable.**

	FY2008		FY2009		FY2010		FY2011	FY2012	FY2013
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Proj.	Target	Target
Licensed Professionals	434,682	402,097	402,097	415,057	402,097	423,729	423,729	423,729	423,729
Board Members	226	226	226	233	233	233	240	240	240
Division Employees	212.5	212.5	212.5	217.0	218.0	218.0	223.0	223.0	223.0
Renewals Processed	197,670	189,982	197,670	219,986	200,000	187,938	219,986	187,938	219,986

**7d. Provide a customer satisfaction measure, if available.**

Not available

## PROGRAM DESCRIPTION

**Department of Insurance, Financial Institutions and Professional Registration**  
**Missouri Acupuncturist Advisory Committee**  
**Program is found in the following core budget(s): Professional Registration Administration**

**1. What does this program do?**

The Missouri Acupuncturist Advisory Committee was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating acupuncturists in the State of Missouri.

**2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)**

324.475-324.635, RSMo

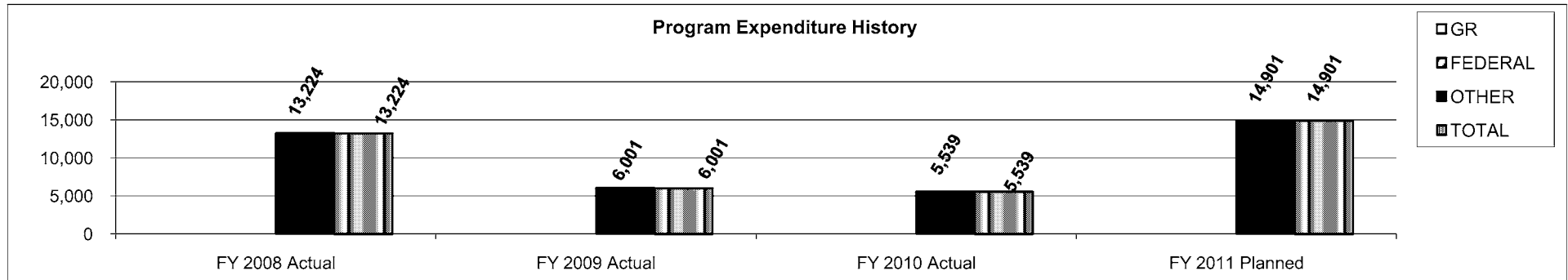
**3. Are there federal matching requirements? If yes, please explain.**

No

**4. Is this a federally mandated program? If yes, please explain.**

No

**5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.**



**6. What are the sources of the "Other " funds?**

Acupuncturist Fund (882)

## PROGRAM DESCRIPTION

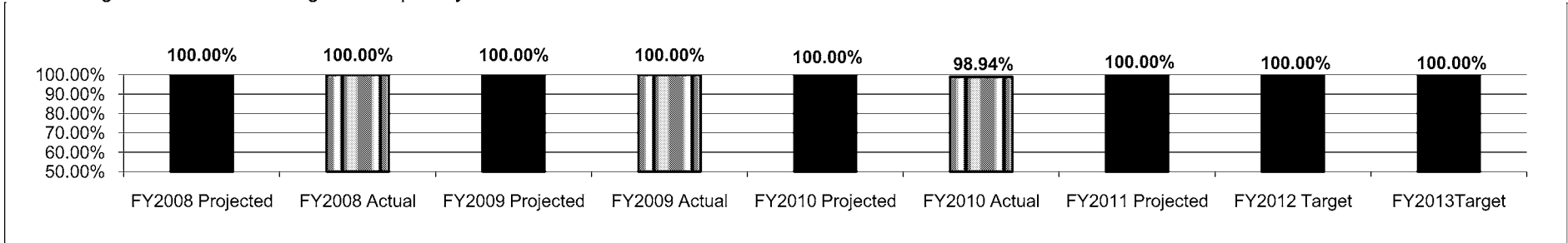
**Department of Insurance, Financial Institutions and Professional Registration**

**Missouri Acupuncturist Advisory Committee**

**Program is found in the following core budget(s): Professional Registration Administration**

**7a. Provide an effectiveness measure.**

Percentage of licensees having no disciplinary action



Note: Measure includes all disciplines ranging from a letter of censure to a revocation. Some disciplines may be open-ended and carry over year-to-year.

**7b. Provide an efficiency measure.**

Not available

**7c. Provide the number of clients/individuals served, if applicable.**

	FY2008		FY2009		FY2010		FY2011	FY2012	FY2013
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Proj.	Target	Target
Applications received	10	11	9	13	13	9	7	5	5
Licensed Professionals	94	83	76	96	96	94	94	85	90

**7d. Provide a customer satisfaction measure, if available.**

Not available

## PROGRAM DESCRIPTION

**Department of Insurance, Financial Institutions and Professional Registration**

**Office of Athlete Agents**

**Program is found in the following core budget(s): Professional Registration Administration**

**1. What does this program do?**

The Uniform Athlete Agents Act was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating athlete agents in the State of Missouri.

**2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)**

436.218-436.272 RSMo

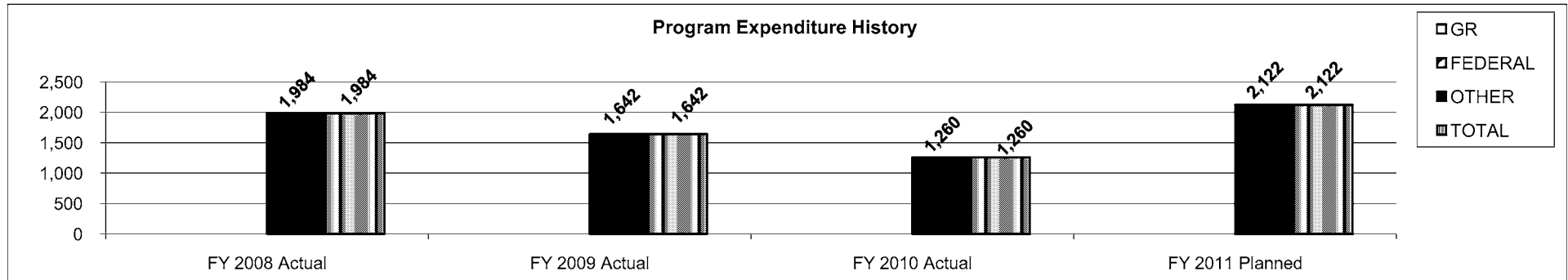
**3. Are there federal matching requirements? If yes, please explain.**

No

**4. Is this a federally mandated program? If yes, please explain.**

No

**5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.**



**6. What are the sources of the "Other " funds?**

Athlete Agent Fund (0774)

## PROGRAM DESCRIPTION

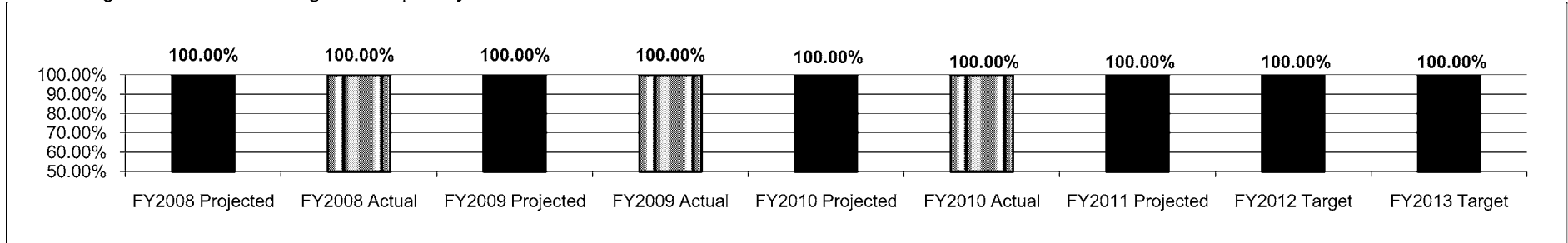
**Department of Insurance, Financial Institutions and Professional Registration**

**Office of Athlete Agents**

**Program is found in the following core budget(s): Professional Registration Administration**

**7a. Provide an effectiveness measure.**

Percentage of licensees having no disciplinary action



Note: Measure includes all disciplines ranging from a letter of censure to a revocation. Some disciplines may be open-ended and carry over year-to-year.

**7b. Provide an efficiency measure.**

Not available

**7c. Provide the number of clients/individuals served, if applicable.**

	FY2008		FY2009		FY2010		FY2011	FY2012	FY2013
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Proj.	Target	Target
Applications received	8	16	16	22	44	19	23	25	25
Licensed Professionals	39	43	46	64	65	65	65	65	65

**7d. Provide a customer satisfaction measure, if available.**

Not available



## PROGRAM DESCRIPTION

**Department of Insurance, Financial Institutions and Professional Registration**

**Office of Athletics**

**Program is found in the following core budget(s): Professional Registration Administration**

### 1. What does this program do?

The Office of Athletics was established to protect the interests of the citizens of the State of Missouri by licensing and regulating contestants, seconds, promoters, referees, judges, announcers, timekeepers and physicians involved in professional boxing, professional wrestling, professional kickboxing or professional full-contact karate contests in the State of Missouri.

### 2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

317.001-317.021 RSMo

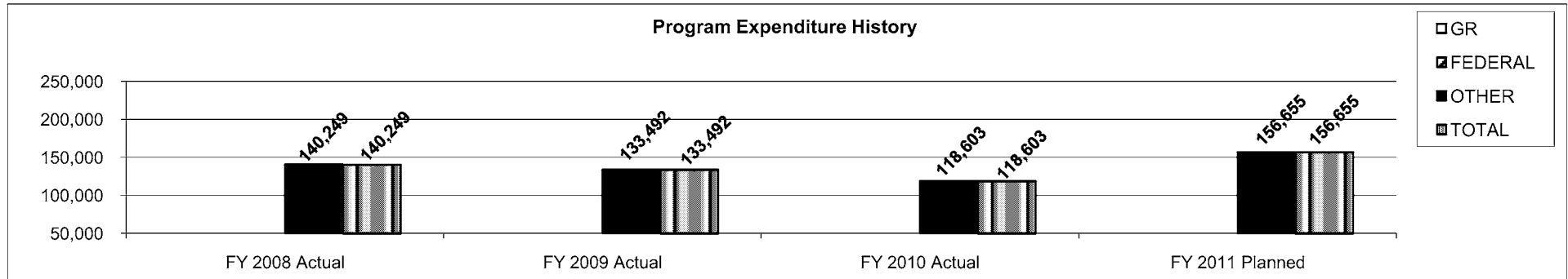
### 3. Are there federal matching requirements? If yes, please explain.

No

### 4. Is this a federally mandated program? If yes, please explain.

No

### 5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



### 6. What are the sources of the "Other " funds?

Office of Athletics (0693)

## PROGRAM DESCRIPTION

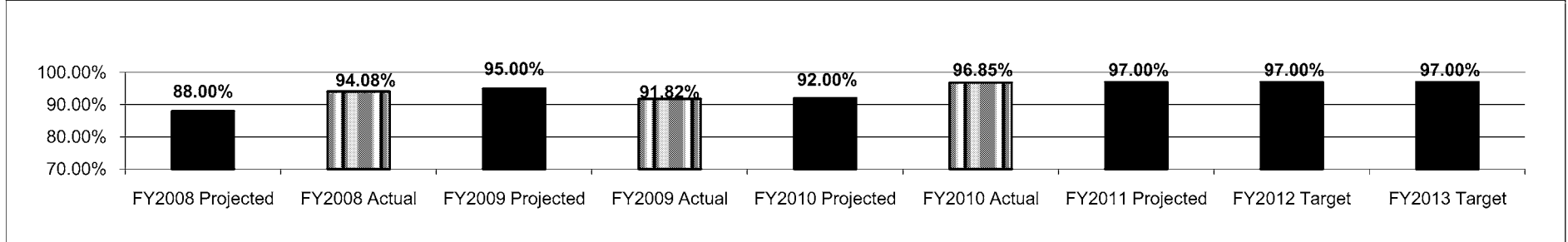
**Department of Insurance, Financial Institutions and Professional Registration**

**Office of Athletics**

**Program is found in the following core budget(s): Professional Registration Administration**

**7a. Provide an effectiveness measure.**

Percentage of licensees having no disciplinary action



**7b. Provide an efficiency measure.**

Not available

**7c. Provide the number of clients/individuals served, if applicable.**

	FY2008		FY2009		FY2010		FY2011	FY2012	FY2013
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Proj.	Target	Target
Applications received	912	804	1,006	659	600	550	874	875	875
Licensed Professionals	1,572	2,027	1,006	1,552	1,174	2,225	2,000	2,000	2,000

Note: FY08, FY09, and FY10 actual licensee counts are reflective of the renewal period ending on June 30, 2009. Typically athletic contestants do not renew their license until they actually participate in an event.

**7d. Provide a customer satisfaction measure, if available.**

Not available

## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

State Board of Chiropractic Examiners

Program is found in the following core budget(s): State Board of Chiropractic Examiners, Professional Registration Administration

	Chiropractic	PR Admin	TOTAL
GR			0
FEDERAL			0
OTHER	149,567	70,559	220,126
TOTAL	149,567	70,559	220,126

### 1. What does this program do?

The State Board of Chiropractic Examiners was established to protect the interests of the citizens of the State of Missouri by licensing and regulating chiropractors in the State of Missouri.

Note: The appropriation for personal service and board member per diem is included in the Division of Professional Registration/Administration core appropriation.

### 2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

331.010-331.100 RSMo

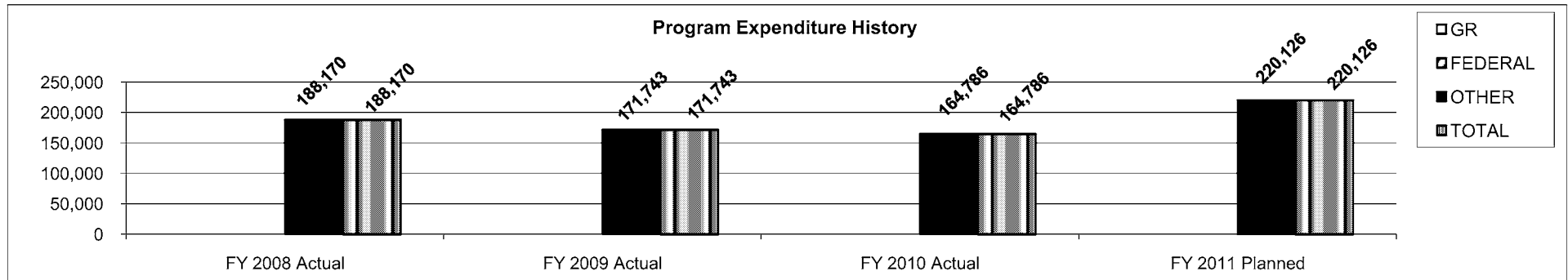
### 3. Are there federal matching requirements? If yes, please explain.

No

### 4. Is this a federally mandated program? If yes, please explain.

No

### 5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



### 6. What are the sources of the "Other " funds?

State Board of Chiropractic Examiners Fund (0630)

## PROGRAM DESCRIPTION

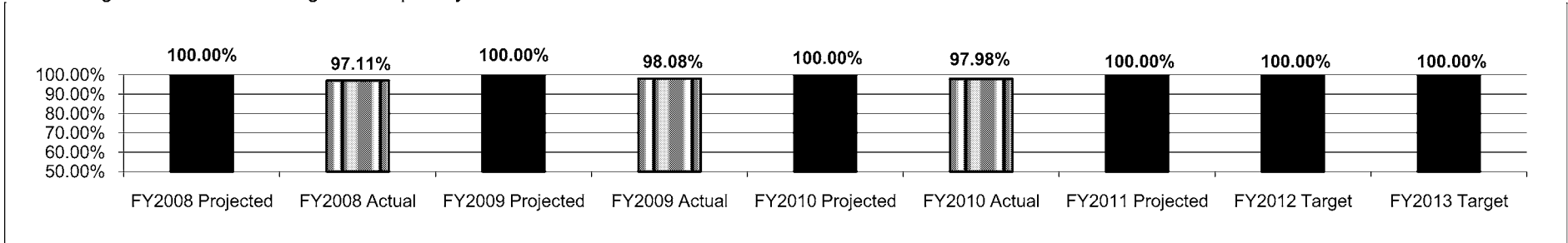
**Department of Insurance, Financial Institutions and Professional Registration**

**State Board of Chiropractic Examiners**

**Program is found in the following core budget(s): State Board of Chiropractic Examiners, Professional Registration Administration**

**7a. Provide an effectiveness measure.**

Percentage of licensees having no disciplinary action



Note: Measure includes all disciplines ranging from a letter of censure to a revocation. Some disciplines may be open-ended and carry over year-to-year.

**7b. Provide an efficiency measure.**

Not available

**7c. Provide the number of clients/individuals served, if applicable.**

	FY2008		FY2009		FY2010		FY2011	FY2012	FY2013
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Proj.	Target	Target
Applications received	130	142	130	127	157	151	140	135	135
Licensed Professionals	2,070	2,217	1,880	2,087	2,068	2,225	2,007	2,070	2,100

**7d. Provide a customer satisfaction measure, if available.**

Not available

## PROGRAM DESCRIPTION

**Department of Insurance, Financial Institutions and Professional Registration**  
**Board of Cosmetology and Barber Examiners**  
**Program is found in the following core budget(s): Board of Cosmetology and Barber Examiners, Professional Registration Administration**

	Cosmetology Barber	PR Admin	TOTAL
GR			0
FEDERAL			0
OTHER	292,273	679,293	971,566
TOTAL	292,273	679,293	971,566

### 1. What does this program do?

Senate Bill 280 (93rd General Assembly) merged the Board of Cosmetology and the Board of Barber Examiners into the new Board of Cosmetology and Barber Examiners to protect the interests of the citizens of the State of Missouri by licensing and regulating cosmetologists, manicurists, estheticians, cosmetology salons, cosmetology schools, barbers, barber shops and barber schools in the state of Missouri.

Note: The appropriation for personal service and board member per diem is included in the Division of Professional Registration/Administration core appropriation.

### 2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

328.010-328.160, 329.010-329.265 RSMo

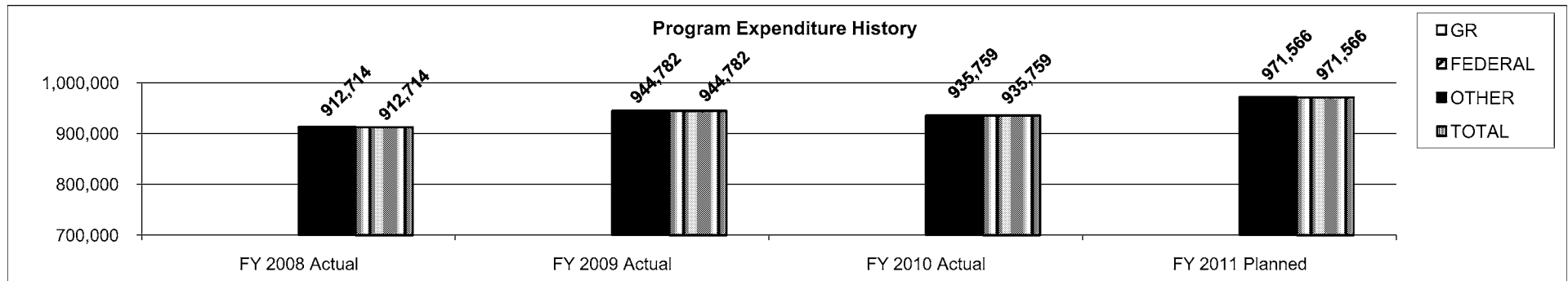
### 3. Are there federal matching requirements? If yes, please explain.

No

### 4. Is this a federally mandated program? If yes, please explain.

No

### 5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



### 6. What are the sources of the "Other " funds?

Board of Cosmetology and Barber Examiners (0785)

## PROGRAM DESCRIPTION

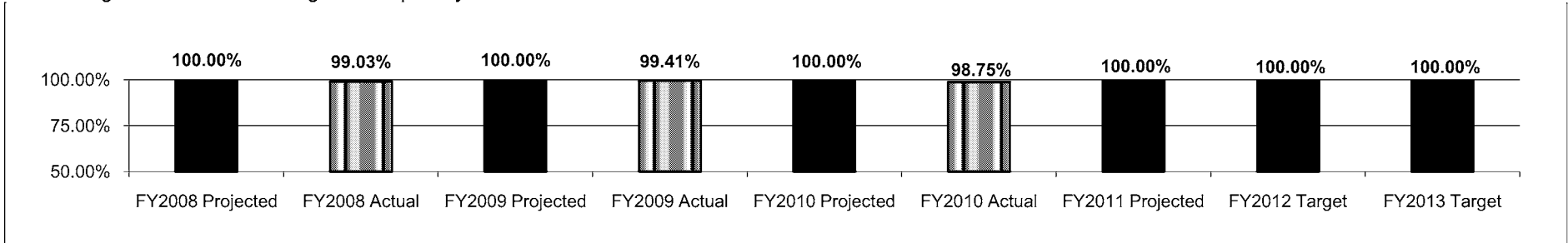
**Department of Insurance, Financial Institutions and Professional Registration**

**Board of Cosmetology and Barber Examiners**

**Program is found in the following core budget(s): Board of Cosmetology and Barber Examiners, Professional Registration Administration**

**7a. Provide an effectiveness measure.**

Percentage of licensees having no disciplinary action



Note: Measure includes all disciplines ranging from a letter of censure to a revocation. Some disciplines may be open-ended and carry over year-to-year.

**7b. Provide an efficiency measure.**

Not available

**7c. Provide the number of clients/individuals served, if applicable.**

	FY2008		FY2009		FY2010		FY2011	FY2012	FY2013
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Proj.	Target	Target
Applications received	9,662	9,848	10,833	10,074	10,603	10,980	11,000	11,000	11,000
Licensed Professionals	81,776	75,921	77,862	80,504	80,100	78,589	80,000	80,000	80,000

**7d. Provide a customer satisfaction measure, if available.**

Not available

## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

Committee for Dietitians

Program is found in the following core budget(s): Professional Registration Administration

### 1. What does this program do?

The State Committee of Dietitians was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating dietitians in the State of Missouri.

### 2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

324.200-324.228 RSMo

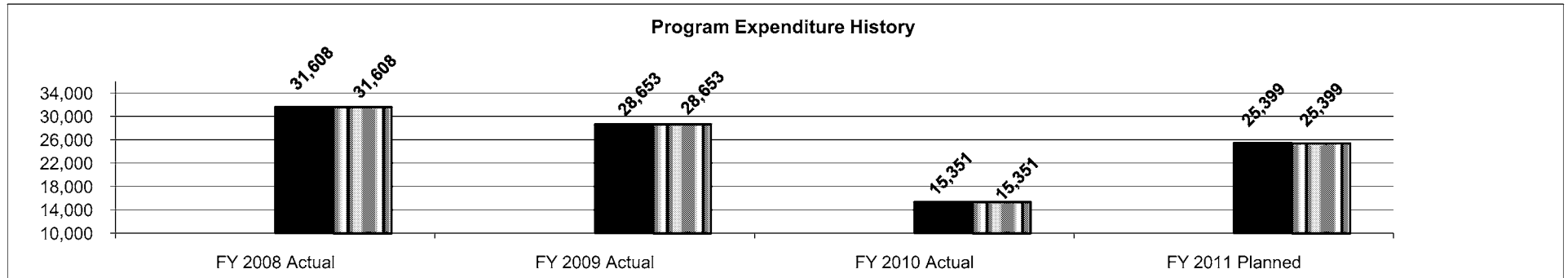
### 3. Are there federal matching requirements? If yes, please explain.

No

### 4. Is this a federally mandated program? If yes, please explain.

No

### 5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



### 6. What are the sources of the "Other " funds?

Dietitian Fund (0857)

## PROGRAM DESCRIPTION

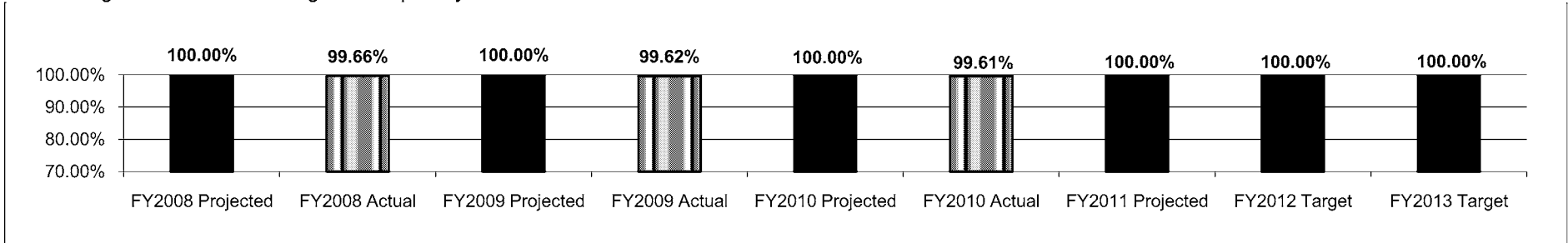
**Department of Insurance, Financial Institutions and Professional Registration**

**Committee for Dietitians**

**Program is found in the following core budget(s): Professional Registration Administration**

**7a. Provide an effectiveness measure.**

Percentage of licensees having no disciplinary action



Note: Measure includes all disciplines ranging from a letter of censure to a revocation. Some disciplines may be open-ended and carry over year-to-year.

**7b. Provide an efficiency measure.**

Not available

**7c. Provide the number of clients/individuals served, if applicable.**

	FY2008		FY2009		FY2010		FY2011	FY2012	FY2013
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Proj.	Target	Target
Applications received	100	132	122	110	120	143	145	150	155
Licensed Professionals	1,485	1,457	1,472	1,599	1,588	1,549	1,550	1,600	1,650

**7d. Provide a customer satisfaction measure, if available.**

Not available



## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

State Board of Embalmers and Funeral Directors

Program is found in the following core budget(s): State Board of Embalmers and Funeral Directors, Professional Registration Administration

	Emb & FDs	PR Admin	TOTAL
GR			0
FEDERAL			0
OTHER	568,844	390,119	958,963
TOTAL	568,844	390,119	958,963

### 1. What does this program do?

The State Board of Embalmers and Funeral Directors was established to protect the interests of the citizens of the state of Missouri by licensing and regulating embalmers, funeral directors, funeral establishments, preneed sellers and preneed providers in the State of Missouri.

Note: The appropriation for board personnel and board member per diem is included in the Division/Administration core appropriation.

### 2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

331.011-331.261 RSMo

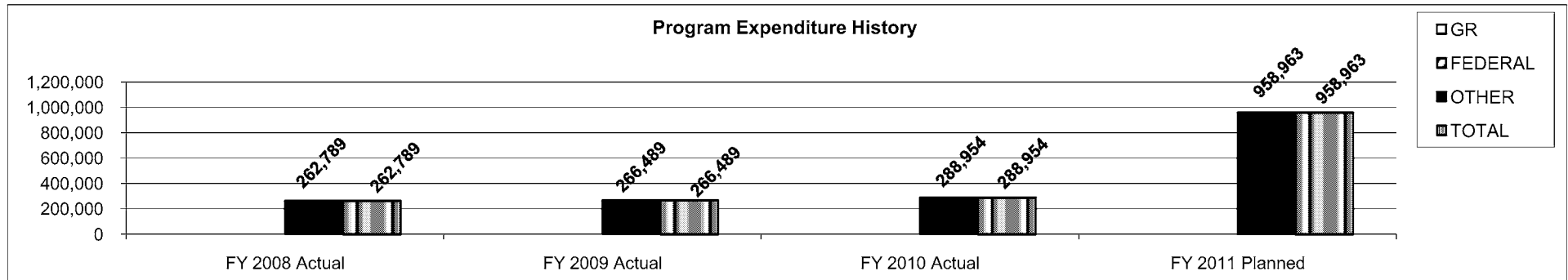
### 3. Are there federal matching requirements? If yes, please explain.

No

### 4. Is this a federally mandated program? If yes, please explain.

No

### 5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



### 6. What are the sources of the "Other " funds?

Board of Embalmers and Funeral Directors (0633)

## PROGRAM DESCRIPTION

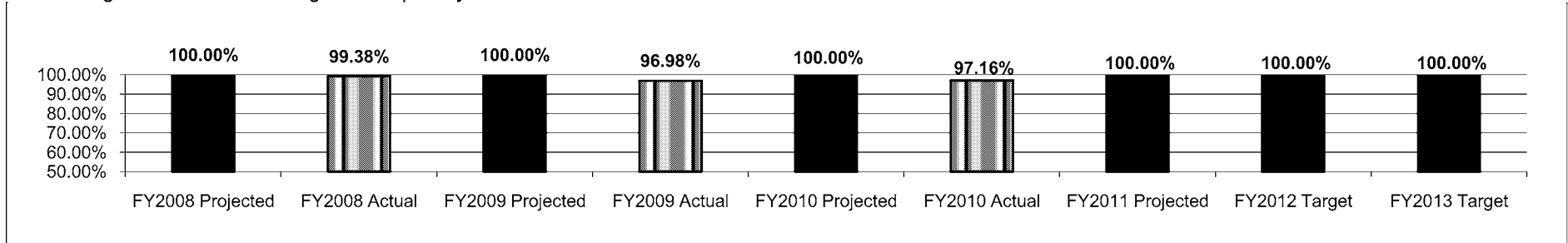
**Department of Insurance, Financial Institutions and Professional Registration**

**State Board of Embalmers and Funeral Directors**

**Program is found in the following core budget(s): State Board of Embalmers and Funeral Directors, Professional Registration Administration**

**7a. Provide an effectiveness measure.**

Percentage of licensees having no disciplinary action



Note: Beginning with the FY2010 budget, the board does not include permanent revocations, public letters of censure, or compliant HB 600 violations.

**7b. Provide an efficiency measure.**

Not available

**7c. Provide the number of clients/individuals served, if applicable.**

	FY2008		FY2009		FY2010		FY2011	FY2012	FY2013
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Proj.	Target	Target
Applications received	327	297	319	241	319	1,460	295	295	295
Licensed Professionals	5,100	5,250	6,183	5,137	6,183	5,995	5,995	5,995	5,995

**7d. Provide a customer satisfaction measure, if available.**

Not available

## PROGRAM DESCRIPTION

**Department of Insurance, Financial Institutions and Professional Registration**  
**Office of Endowed Care Cemeteries**  
**Program is found in the following core budget(s): Professional Registration Administration**

**1. What does this program do?**

The Office of Endowed Care Cemeteries was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating endowed care cemeteries in the State of Missouri.

**2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)**

214.270-214.516 RSMo

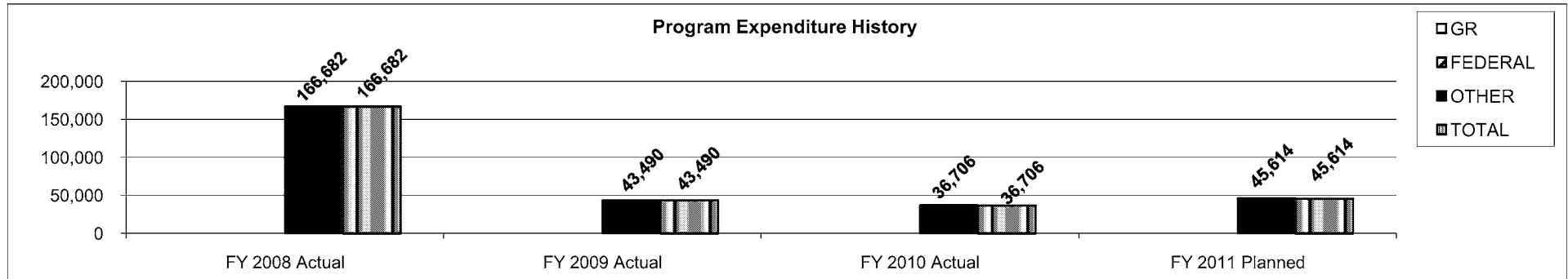
**3. Are there federal matching requirements? If yes, please explain.**

No

**4. Is this a federally mandated program? If yes, please explain.**

No

**5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.**



**6. What are the sources of the "Other " funds?**

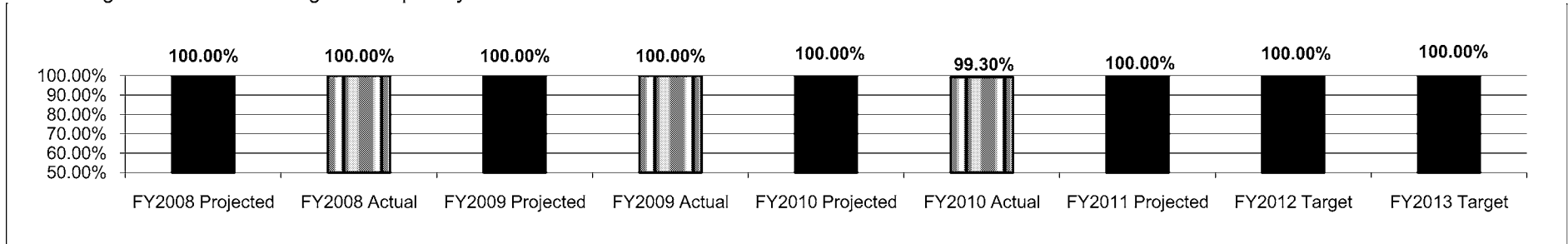
Endowed Care Cemetery Audit Fund (0562)

## PROGRAM DESCRIPTION

**Department of Insurance, Financial Institutions and Professional Registration**  
**Office of Endowed Care Cemeteries**  
**Program is found in the following core budget(s): Professional Registration Administration**

**7a. Provide an effectiveness measure.**

Percentage of licensees having no disciplinary action



Note: Measure includes all disciplines ranging from a letter of censure to a revocation. Some disciplines may be open-ended and carry over year-to-year.

Note: Due to the increased number of audits being conducted annually, beginning FY10, the division's anticipates the number of licensees having no disciplinary action will decrease.

**7b. Provide an efficiency measure.**

Not available

**7c. Provide the number of clients/individuals served, if applicable.**

	FY2008		FY2009		FY2010		FY2011	FY2012	FY2013
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Proj.	Target	Target
Applications received	2	12	12	5	5	8	30	105	55
Licensed Professionals	150	146	150	142	154	143	172	277	332

**7d. Provide a customer satisfaction measure, if available.**

Not available

## PROGRAM DESCRIPTION

**Department of Insurance, Financial Institutions and Professional Registration**  
**Board of Geologist Registration**  
**Program is found in the following core budget(s): Professional Registration Administration**

**1. What does this program do?**

The Missouri Board of Geologists Registration was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating geologists in the State of Missouri.

**2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)**

256.010-256.453 RSMo

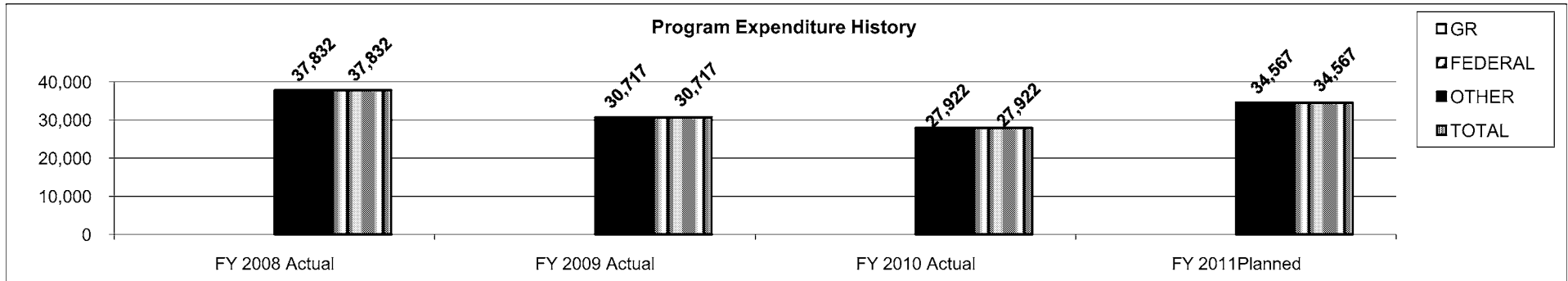
**3. Are there federal matching requirements? If yes, please explain.**

No

**4. Is this a federally mandated program? If yes, please explain.**

No

**5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.**



**6. What are the sources of the "Other " funds?**

The Board of Geologists Registration Fund (0263)

## PROGRAM DESCRIPTION

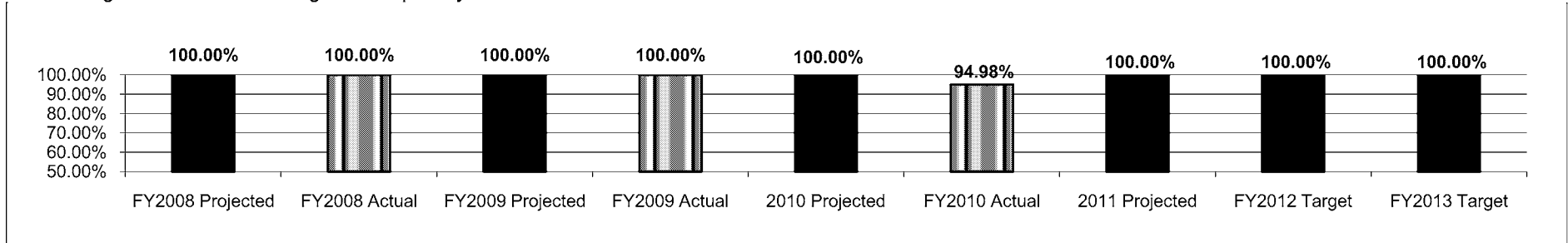
**Department of Insurance, Financial Institutions and Professional Registration**

**Board of Geologist Registration**

**Program is found in the following core budget(s): Professional Registration Administration**

**7a. Provide an effectiveness measure.**

Percentage of licensees having no disciplinary action



Note: Measure includes all disciplines ranging from a letter of censure to a revocation. Some disciplines may be open-ended and carry over year-to-year.

**7b. Provide an efficiency measure.**

Not available

**7c. Provide the number of clients/individuals served, if applicable.**

	FY2008		FY2009		FY2010		FY2011	FY2012	FY2013
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Proj.	Target	Target
Applications received	45	29	30	37	30	40	35	35	35
Licensed Professionals	879	843	840	874	870	797	815	825	835

**7d. Provide a customer satisfaction measure, if available.**

Not available

## PROGRAM DESCRIPTION

**Department of Insurance, Financial Institutions and Professional Registration**  
**Board of Hearing Instrument Specialists**  
**Program is found in the following core budget(s): Professional Registration Administration**

**1. What does this program do?**

The Board of Examiners for Hearing Instrument Specialists was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating hearing instrument specialists in the State of Missouri.

**2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)**

346.007-345.250 RSMo

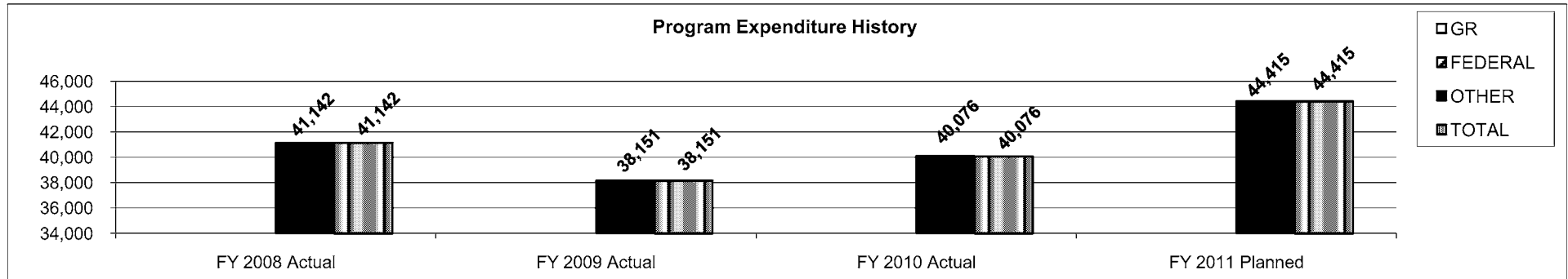
**3. Are there federal matching requirements? If yes, please explain.**

No

**4. Is this a federally mandated program? If yes, please explain.**

No

**5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.**



**6. What are the sources of the "Other " funds?**

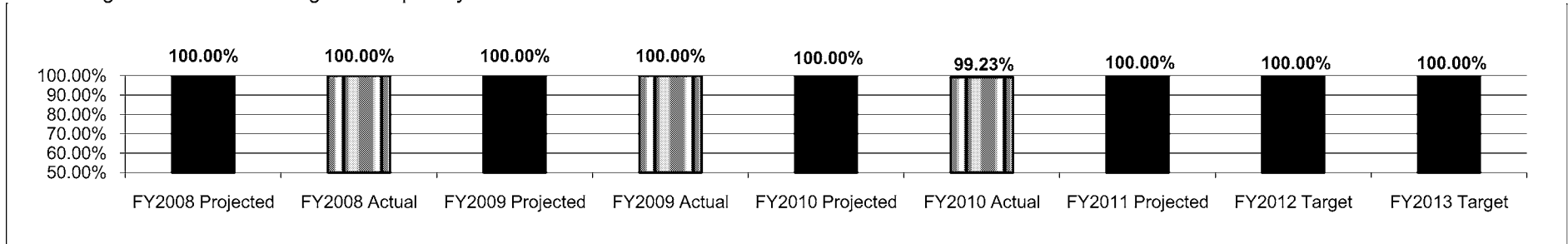
Hearing Instrument Specialists Fund (0247)

## PROGRAM DESCRIPTION

**Department of Insurance, Financial Institutions and Professional Registration**  
**Board of Hearing Instrument Specialists**  
**Program is found in the following core budget(s): Professional Registration Administration**

**7a. Provide an effectiveness measure.**

Percentage of licensees having no disciplinary action



Note: Measure includes all disciplines ranging from a letter of censure to a revocation. Some disciplines may be open-ended and carry over year-to-year.

**7b. Provide an efficiency measure.**

Not available

**7c. Provide the number of clients/individuals served, if applicable.**

	FY2008		FY2009		FY2010		FY2011	FY2012	FY2013
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Proj.	Target	Target
Applications received	75	98	48	53	40	66	44	44	44
Licensed Professionals	445	268	270	282	286	261	247	247	247

NOTE: The decrease in licensees in FY08 was due to HB780 and SB380 (2007) which removed dual licensure requirements for audiologists.

**7d. Provide a customer satisfaction measure, if available.**

Not available



## PROGRAM DESCRIPTION

**Department of Insurance, Financial Institutions and Professional Registration**

**Interior Design Council**

**Program is found in the following core budget(s): Professional Registration Administration**

**1. What does this program do?**

The Interior Design Council was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating interior designers in the State of Missouri.

**2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)**

324.400-324.439 RSMo

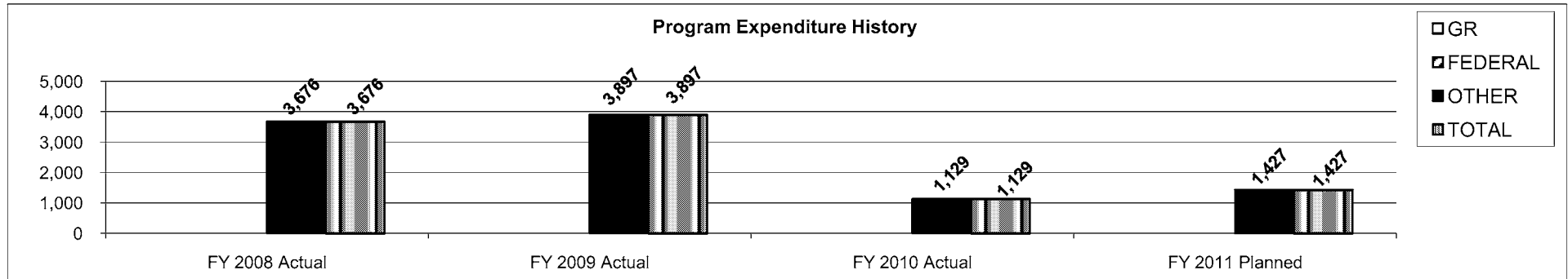
**3. Are there federal matching requirements? If yes, please explain.**

No

**4. Is this a federally mandated program? If yes, please explain.**

No

**5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.**



**6. What are the sources of the "Other " funds?**

Interior Design Council (0877)

## PROGRAM DESCRIPTION

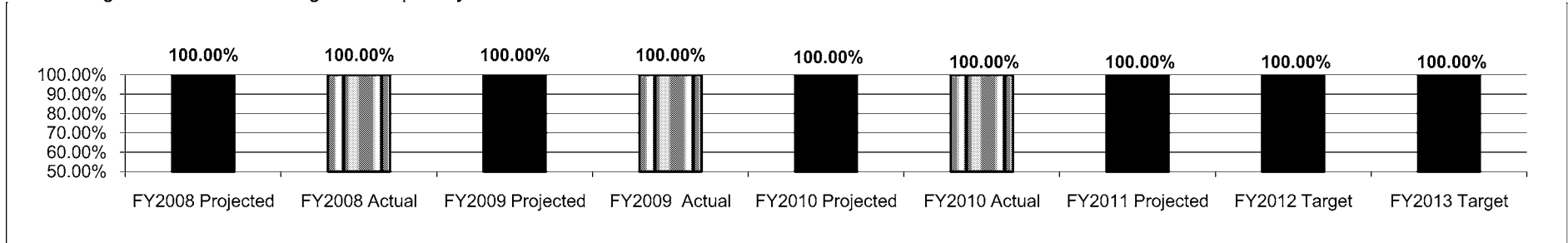
**Department of Insurance, Financial Institutions and Professional Registration**

**Interior Design Council**

**Program is found in the following core budget(s): Professional Registration Administration**

**7a. Provide an effectiveness measure.**

Percentage of licensees having no disciplinary action



Note: Measure includes all disciplines ranging from a letter of censure to a revocation. Some disciplines may be open-ended and carry over year-to-year.

**7b. Provide an efficiency measure.**

Not available

**7c. Provide the number of clients/individuals served, if applicable.**

	FY2008		FY2009		FY2010		FY2011	FY2012	FY2013
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Proj.	Target	Target
Applications received	10	11	9	6	8	5	6	4	2
Licensed Professionals	108	102	104	98	110	101	106	104	104

**7d. Provide a customer satisfaction measure, if available.**

Not available

## PROGRAM DESCRIPTION

**Department of Insurance, Financial Institutions and Professional Registration**  
**State Committee of Interpreters**  
**Program is found in the following core budget(s): Professional Registration Administration**

**1. What does this program do?**

The State Committee of Interpreters was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating interpreters in the State of Missouri.

**2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)**

209.319-209.339 RSMo

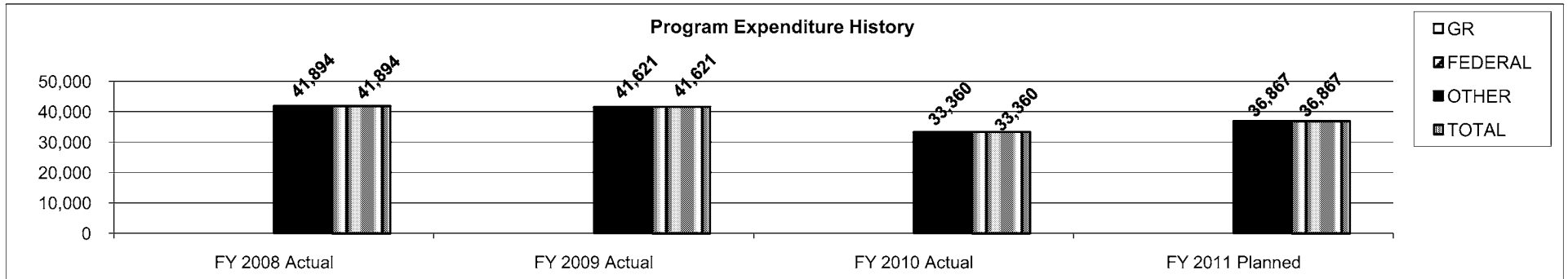
**3. Are there federal matching requirements? If yes, please explain.**

No

**4. Is this a federally mandated program? If yes, please explain.**

No

**5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.**



**6. What are the sources of the "Other " funds?**

State Committee of Interpreters Fund (0256)

## PROGRAM DESCRIPTION

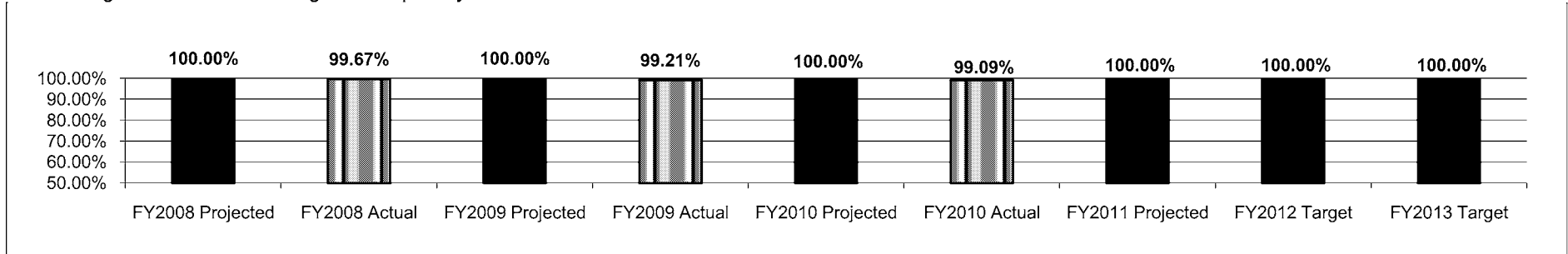
**Department of Insurance, Financial Institutions and Professional Registration**

**State Committee of Interpreters**

**Program is found in the following core budget(s): Professional Registration Administration**

**7a. Provide an effectiveness measure.**

Percentage of licensees having no disciplinary action



Note: Measure includes all disciplines ranging from a letter of censure to a revocation. Some disciplines may be open-ended and carry over year-to-year.

**7b. Provide an efficiency measure.**

Not available

**7c. Provide the number of clients/individuals served, if applicable.**

	FY2008		FY2009		FY2010		FY2011	FY2012	FY2013
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Proj.	Target	Target
Applications received	80	91	95	64	75	88	85	90	90
Licensed Professionals	625	64	618	632	600	658	700	750	800

**7d. Provide a customer satisfaction measure, if available.**

Not available

## PROGRAM DESCRIPTION

**Department of Insurance, Financial Institutions and Professional Registration**  
**State Committee for Marital & Family Therapists**  
**Program is found in the following core budget(s): Professional Registration Administration**

**1. What does this program do?**

The State Committee for Marital and Family Therapists was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating marital and family therapists in the State of Missouri.

**2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)**

337.700-337.750 RSMo

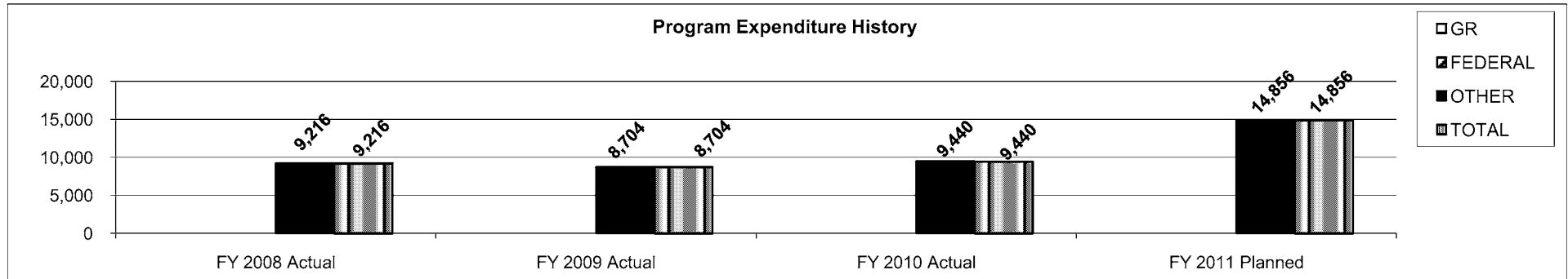
**3. Are there federal matching requirements? If yes, please explain.**

No

**4. Is this a federally mandated program? If yes, please explain.**

No

**5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.**



**6. What are the sources of the "Other " funds?**

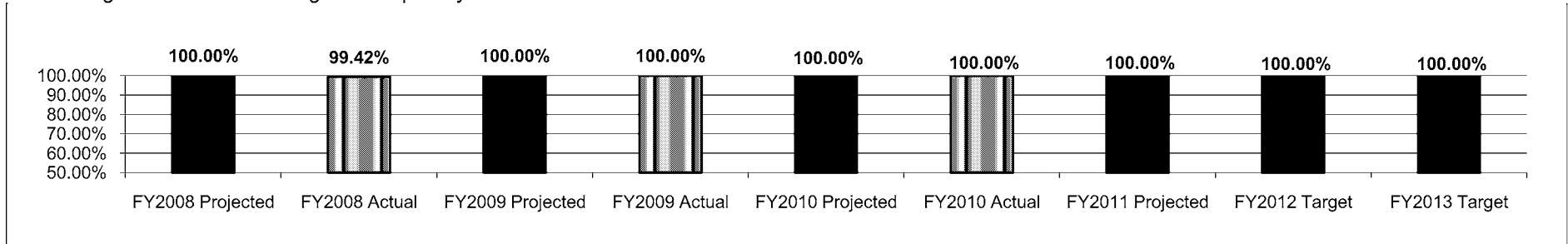
Marital and Family Therapists Fund (0820)

## PROGRAM DESCRIPTION

**Department of Insurance, Financial Institutions and Professional Registration**  
**State Committee for Marital & Family Therapists**  
**Program is found in the following core budget(s): Professional Registration Administration**

**7a. Provide an effectiveness measure.**

Percentage of licensees having no disciplinary action



Note: Measure includes all disciplines ranging from a letter of censure to a revocation. Some disciplines may be open-ended and carry over year-to-year.

**7b. Provide an efficiency measure.**

Not available

**7c. Provide the number of clients/individuals served, if applicable.**

	FY2008		FY2009		FY2010		FY2011	FY2012	FY2013
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Proj.	Target	Target
Applications received	12	27	15	25	10	30	13	13	13
Licensed Professionals	172	173	153	188	192	192	200	185	195

**7d. Provide a customer satisfaction measure, if available.**

Not available

## PROGRAM DESCRIPTION

**Department of Insurance, Financial Institutions and Professional Registration**  
**Board of Occupational Therapy**  
**Program is found in the following core budget(s): Professional Registration Administration**

**1. What does this program do?**

The Missouri Board of Occupational Therapy was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating occupational therapists and occupational therapy assistants in the State of Missouri.

**2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)**

324.050-324.089 RSMo

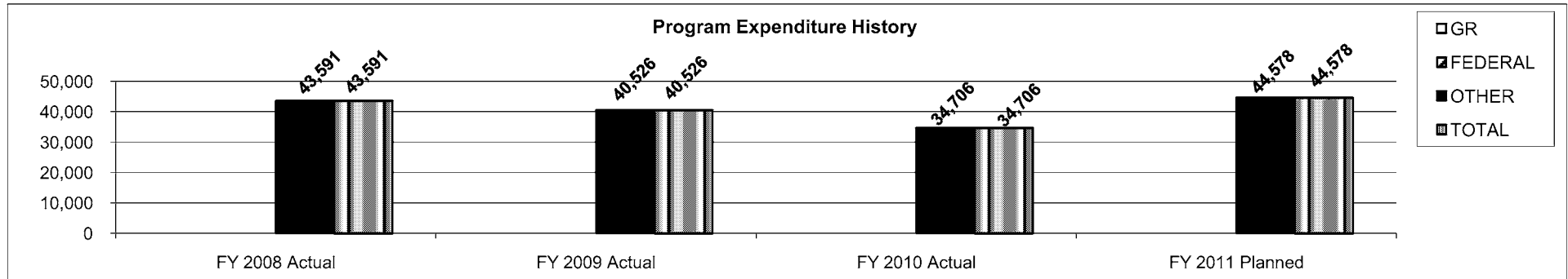
**3. Are there federal matching requirements? If yes, please explain.**

No

**4. Is this a federally mandated program? If yes, please explain.**

No

**5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.**



**6. What are the sources of the "Other " funds?**

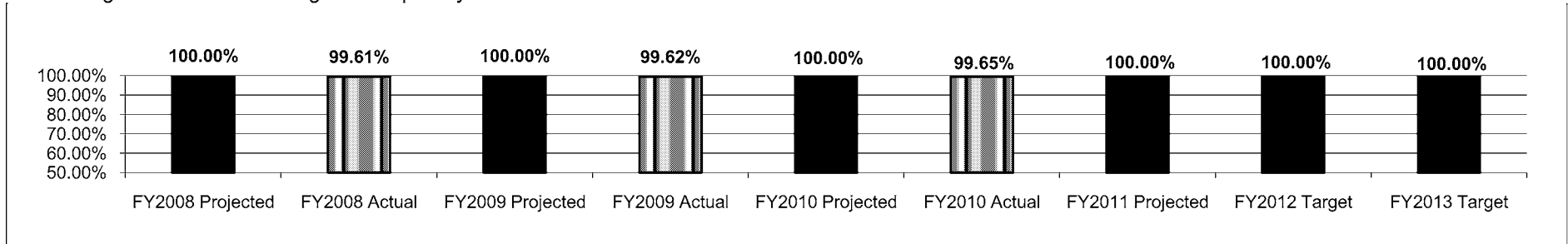
Missouri Board of Occupational Therapy Fund (0845)

## PROGRAM DESCRIPTION

**Department of Insurance, Financial Institutions and Professional Registration**  
**Board of Occupational Therapy**  
**Program is found in the following core budget(s): Professional Registration Administration**

**7a. Provide an effectiveness measure.**

Percentage of licensees having no disciplinary action



Note: Measure includes all disciplines ranging from a letter of censure to a revocation. Some disciplines may be open-ended and carry over year-to-year.

**7b. Provide an efficiency measure.**

Not available

**7c. Provide the number of clients/individuals served, if applicable.**

	FY2008		FY2009		FY2010		FY2011	FY2012	FY2013
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Proj.	Target	Target
Applications received	335	394	392	419	392	427	405	405	405
Licensed Professionals	3,800	3,559	3,700	3,920	3,450	3,960	4,000	4,000	4,000

**7d. Provide a customer satisfaction measure, if available.**

Not available



## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

State Board of Optometry

Program is found in the following core budget(s): State Board of Optometry, Professional Registration Administration

	Optometry	PR Admin	TOTAL
GR			0
FEDERAL			0
OTHER	42,043	55,363	97,406
TOTAL	42,043	55,363	97,406

### 1. What does this program do?

The State Board of Optometry was established to protect the interests of the citizens of the State of Missouri by licensing and regulating optometrists in the State of Missouri.

Note: The appropriation for board personnel and board member per diem is included in the Division/Administration core appropriation.

### 2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

336.010-336.225 RSMo

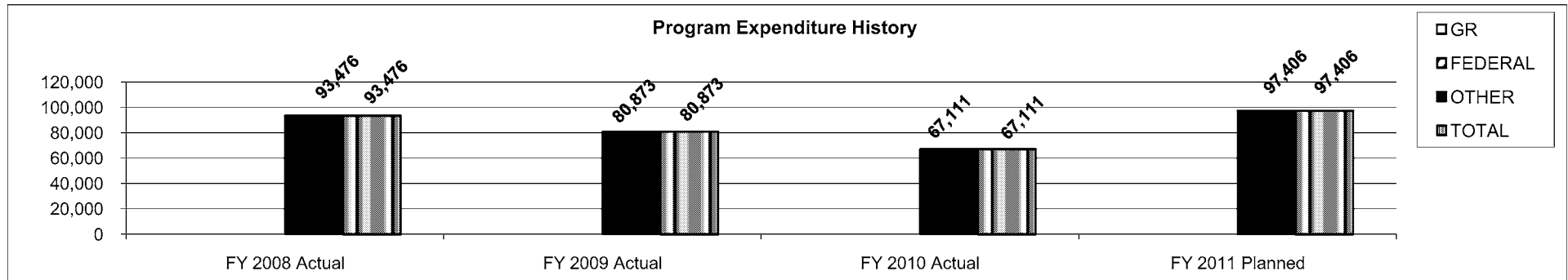
### 3. Are there federal matching requirements? If yes, please explain.

No

### 4. Is this a federally mandated program? If yes, please explain.

No

### 5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



### 6. What are the sources of the "Other " funds?

State Board of Optometry (0636)

## PROGRAM DESCRIPTION

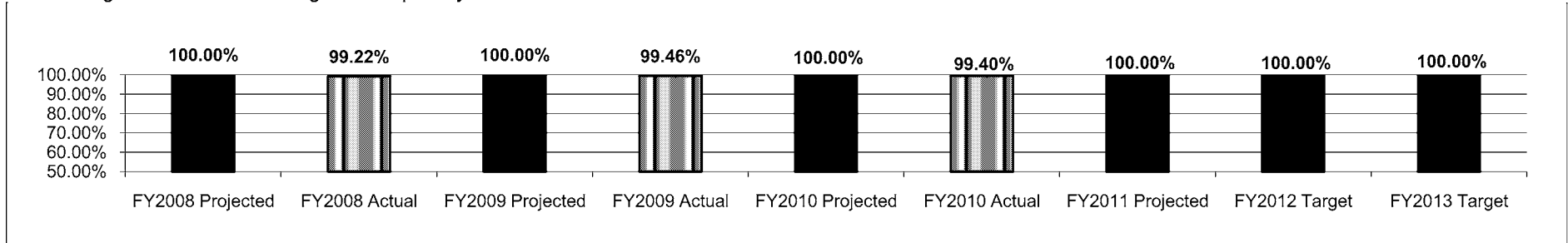
**Department of Insurance, Financial Institutions and Professional Registration**

**State Board of Optometry**

**Program is found in the following core budget(s): State Board of Optometry, Professional Registration Administration**

**7a. Provide an effectiveness measure.**

Percentage of licensees having no disciplinary action



Note: Measure includes all disciplines ranging from a letter of censure to a revocation. Some disciplines may be open-ended and carry over year-to-year.

**7b. Provide an efficiency measure.**

Not available

**7c. Provide the number of clients/individuals served, if applicable.**

	FY2008		FY2009		FY2010		FY2011	FY2012	FY2013
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Proj.	Target	Target
Applications received	68	139	66	143	51	80	50	50	50
Licensed Professionals	1,300	1,287	1,311	1,287	1,271	1,333	1,310	1,360	1,380

**7d. Provide a customer satisfaction measure, if available.**

Not available

## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

State Board of Podiatric Medicine

Program is found in the following core budget(s): State Board of Podiatric Medicine, Professional Registration Administration

	Podiatry	PR Admin	TOTAL
GR			0
FEDERAL			0
OTHER	20,669	23,982	44,651
TOTAL	20,669	23,982	44,651

### 1. What does this program do?

The State Board of Podiatric Medicine was established to protect the interests of the citizens of the State of Missouri by licensing and regulating podiatrists in the State of Missouri.

Note: The appropriation for personal service and board member per diem is included in the Division of Professional Registration/Administration core appropriation.

### 2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

330.010-330.210 RSMo

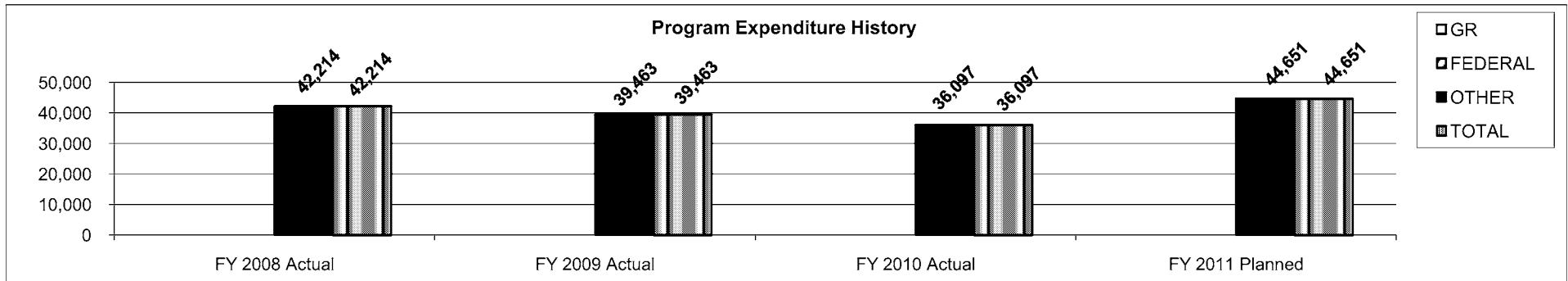
### 3. Are there federal matching requirements? If yes, please explain.

No

### 4. Is this a federally mandated program? If yes, please explain.

No

### 5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



### 6. What are the sources of the "Other " funds?

State Board of Podiatric Medicine (0629)

## PROGRAM DESCRIPTION

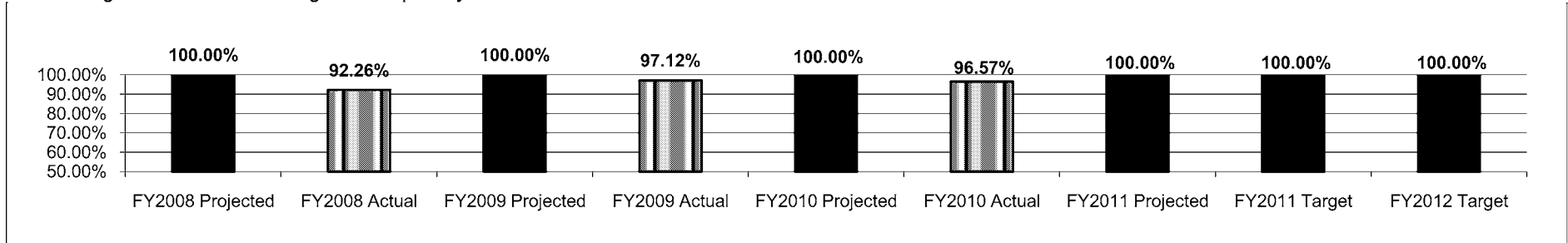
**Department of Insurance, Financial Institutions and Professional Registration**

**State Board of Podiatric Medicine**

**Program is found in the following core budget(s): State Board of Podiatric Medicine, Professional Registration Administration**

**7a. Provide an effectiveness measure.**

Percentage of licensees having no disciplinary action



Note: Beginning with the FY2010 budget, the board does not include permanent revocations, public letters of censure, or compliant HB 600 violations.

**7b. Provide an efficiency measure.**

Not available

**7c. Provide the number of clients/individuals served, if applicable.**

	FY2008		FY2009		FY2010		FY2011	FY2012	FY2013
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Proj.	Target	Target
Applications received	21	17	19	28	21	28	21	21	21
Licensed Professionals	300	323	315	313	338	321	305	305	305

**7d. Provide a customer satisfaction measure, if available.**

Not available

## PROGRAM DESCRIPTION

**Department of Insurance, Financial Institutions and Professional Registration**  
**Board of Private Investigator Examiners**  
**Program is found in the following core budget(s): Professional Registration Administration**

**1. What does this program do?**

The Board of Private Investigator Examiners was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating private investigators and private investigator businesses in the State of Missouri.

**2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)**

324.1100-324.1148 RSMo

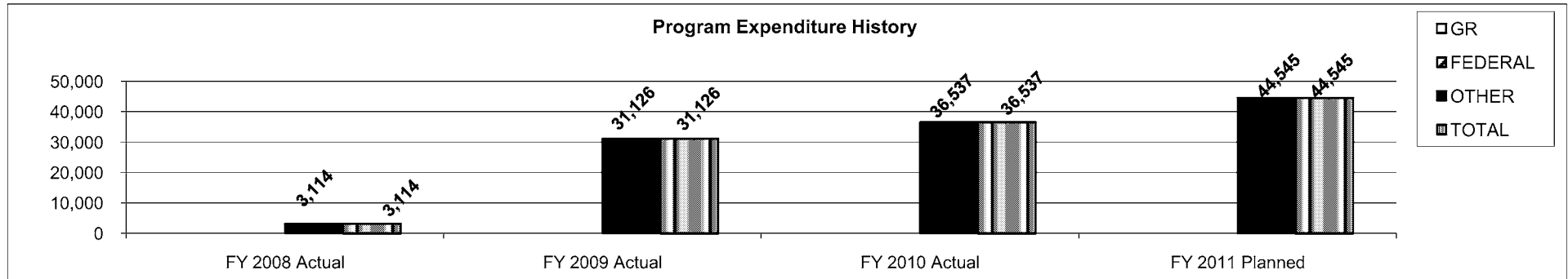
**3. Are there federal matching requirements? If yes, please explain.**

No

**4. Is this a federally mandated program? If yes, please explain.**

No

**5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.**



**6. What are the sources of the "Other " funds?**

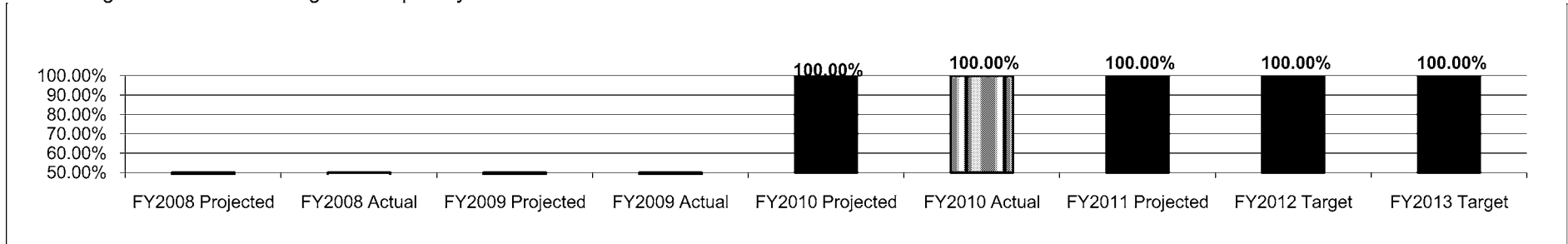
Board of Private Investigator Examiners Fund (0802)

## PROGRAM DESCRIPTION

**Department of Insurance, Financial Institutions and Professional Registration**  
**Board of Private Investigator Examiners**  
**Program is found in the following core budget(s): Professional Registration Administration**

**7a. Provide an effectiveness measure.**

Percentage of licensees having no disciplinary action



\*Licensure began in FY2010.

Note: Measure includes all disciplines ranging from a letter of censure to a revocation. Some disciplines may be open-ended and carry over year-to-year.

**7b. Provide an efficiency measure.**

Not available

**7c. Provide the number of clients/individuals served, if applicable.**

	FY2008		FY2009		FY2010		FY2011	FY2012	FY2013
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Proj.	Target	Target
Applications received	0	0	0	0	400	478	305	145	145
Licensed Professionals	0	0	0	0	400	308	630	725	825

NOTE: Licensure for private investigators began in FY2010.

**7d. Provide a customer satisfaction measure, if available.**

Not available

## PROGRAM DESCRIPTION

**Department of Insurance, Financial Institutions and Professional Registration**  
**Committee for Professional Counselors**  
**Program is found in the following core budget(s): Professional Registration Administration**

**1. What does this program do?**

The Committee for Professional Counselors was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating professional counselors in the State of Missouri

**2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)**

337.050-337.540 RSMo

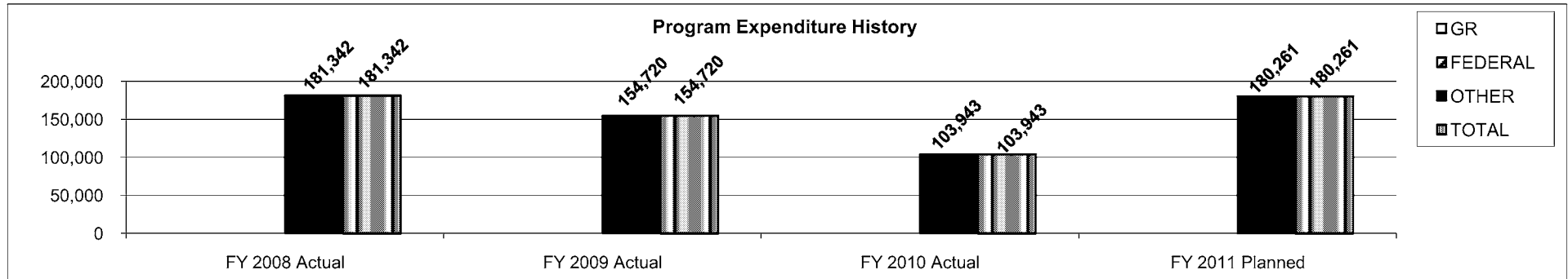
**3. Are there federal matching requirements? If yes, please explain.**

No

**4. Is this a federally mandated program? If yes, please explain.**

No

**5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.**



**6. What are the sources of the "Other " funds?**

Committee for Professional Counselors Fund (0672)

## PROGRAM DESCRIPTION

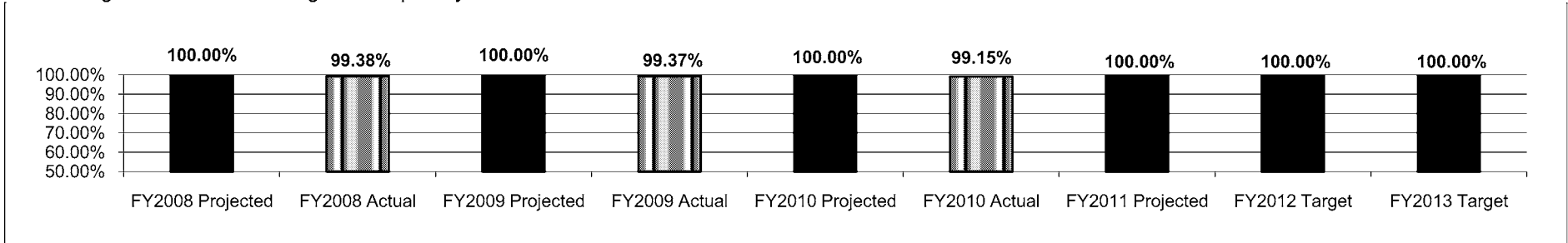
**Department of Insurance, Financial Institutions and Professional Registration**

**Committee for Professional Counselors**

**Program is found in the following core budget(s): Professional Registration Administration**

**7a. Provide an effectiveness measure.**

Percentage of licensees having no disciplinary action



Note: Measure includes all disciplines ranging from a letter of censure to a revocation. Some disciplines may be open-ended and carry over year-to-year.

**7b. Provide an efficiency measure.**

Not available

**7c. Provide the number of clients/individuals served, if applicable.**

	FY2008		FY2009		FY2010		FY2011	FY2012	FY2013
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Proj.	Target	Target
Applications received	300	614	295	582	700	628	250	250	250
Licensed Professionals	3,890	4,176	3,200	4,435	3,480	4,568	3,300	3,450	3,600

**7d. Provide a customer satisfaction measure, if available.**

Not available



## PROGRAM DESCRIPTION

**Department of Insurance, Financial Institutions and Professional Registration**  
**State Committee of Psychologists**  
**Program is found in the following core budget(s): Professional Registration Administration**

**1. What does this program do?**

The State Committee of Psychologists was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating psychologists in the State of Missouri.

**2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)**

337.010-337.093 RSMo.

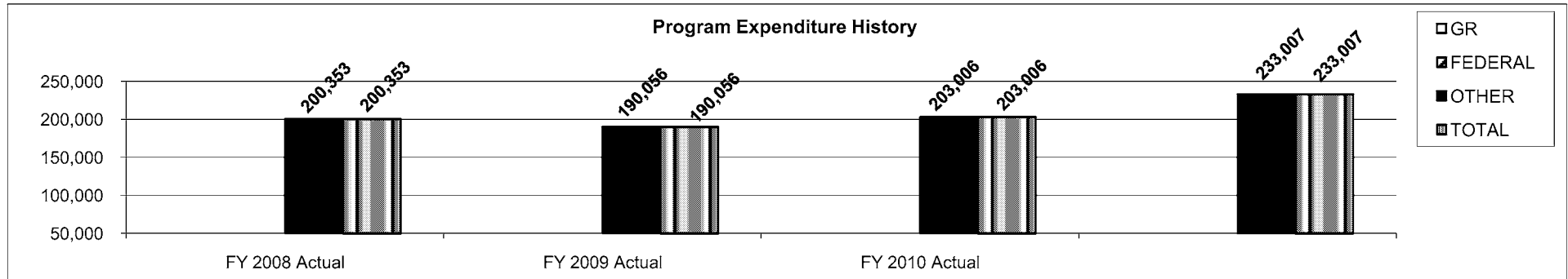
**3. Are there federal matching requirements? If yes, please explain.**

No

**4. Is this a federally mandated program? If yes, please explain.**

No

**5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.**



**6. What are the sources of the "Other " funds?**

State Committee of Psychologists' Fund (0580)

## PROGRAM DESCRIPTION

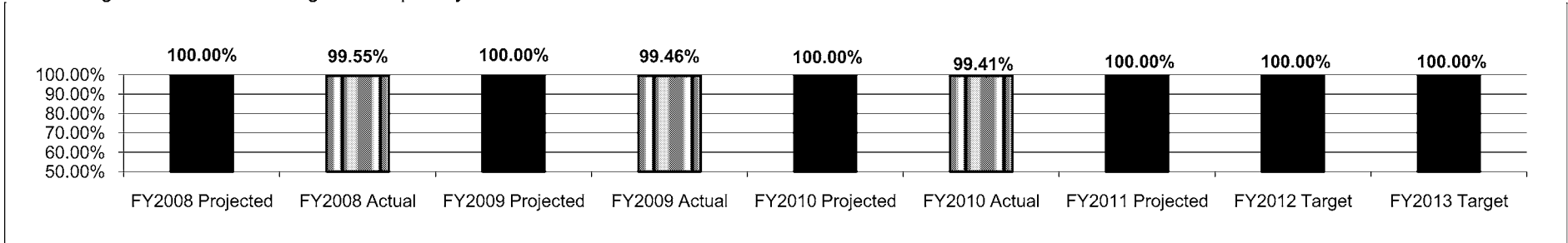
**Department of Insurance, Financial Institutions and Professional Registration**

**State Committee of Psychologists**

**Program is found in the following core budget(s): Professional Registration Administration**

**7a. Provide an effectiveness measure.**

Percentage of licensees having no disciplinary action



Note: Measure includes all disciplines ranging from a letter of censure to a revocation. Some disciplines may be open-ended and carry over year-to-year.

**7b. Provide an efficiency measure.**

Not available

**7c. Provide the number of clients/individuals served, if applicable.**

	FY2008		FY2009		FY2010		FY2011	FY2012	FY2013
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Proj.	Target	Target
Applications received	85	88	85	85	94	98	90	90	90
Licensed Professionals	1,800	1,760	1,710	2,041	1,780	2,033	2,000	2,000	2,000

**7d. Provide a customer satisfaction measure, if available.**

Not available

## PROGRAM DESCRIPTION

**Department of Insurance, Financial Institutions and Professional Registration**  
**Real Estate Appraisers Commission**  
**Program is found in the following core budget(s): Professional Registration Administration**

**1. What does this program do?**

The Missouri Real Estate Appraisers Commission was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating real estate appraisers in the State of Missouri.

**2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)**

339.500-339.549 RSMo

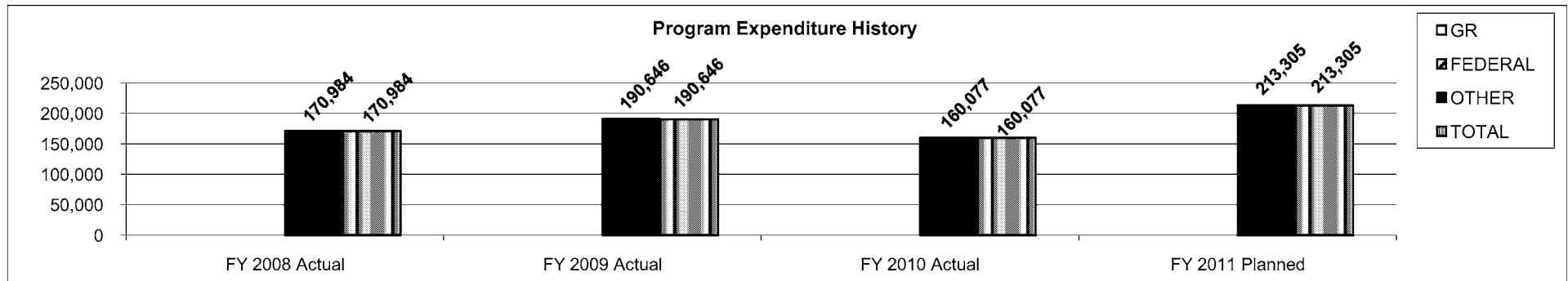
**3. Are there federal matching requirements? If yes, please explain.**

No

**4. Is this a federally mandated program? If yes, please explain.**

Yes. Title XI of the Financial Institutions Reform, Recovery and Enforcement Act of 1989 requires all real estate appraisers to be certified to perform appraisals for federal transactions.

**5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.**



**6. What are the sources of the "Other " funds?**

Missouri Real Estate Appraisers Fund (0561)

## PROGRAM DESCRIPTION

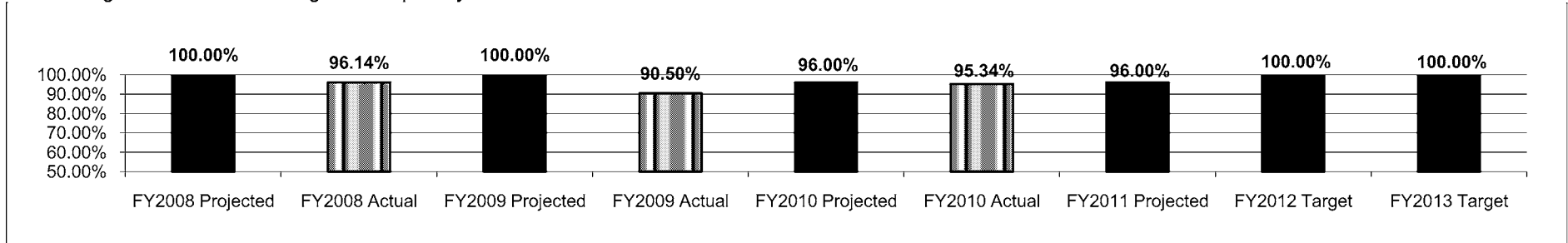
**Department of Insurance, Financial Institutions and Professional Registration**

**Real Estate Appraisers Commission**

**Program is found in the following core budget(s): Professional Registration Administration**

**7a. Provide an effectiveness measure.**

Percentage of licensees having no disciplinary action



Note: Measure includes all disciplines ranging from a letter of censure to a revocation. Some disciplines may be open-ended and carry over year-to-year.

**7b. Provide an efficiency measure.**

Not available

**7c. Provide the number of clients/individuals served, if applicable.**

	FY2008		FY2009		FY2010		FY2011	FY2012	FY2013
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Proj.	Target	Target
Applications received	550	416	180	229	286	246	190	190	190
Licensed Professionals	2,800	2,717	2,700	2,704	2,800	2,746	2,800	2,800	2,800

NOTE: New education requirements went into effect on January 1, 2008 causing a decrease in application in FY2009.

**7d. Provide a customer satisfaction measure, if available.**

Not available

## PROGRAM DESCRIPTION

**Department of Insurance, Financial Institutions and Professional Registration**  
**Board for Respiratory Care**  
**Program is found in the following core budget(s): Professional Registration Administration**

**1. What does this program do?**

The Missouri Board for Respiratory Care was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating respiratory therapists in the State of Missouri.

**2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)**

334.800-334.930 RSMo

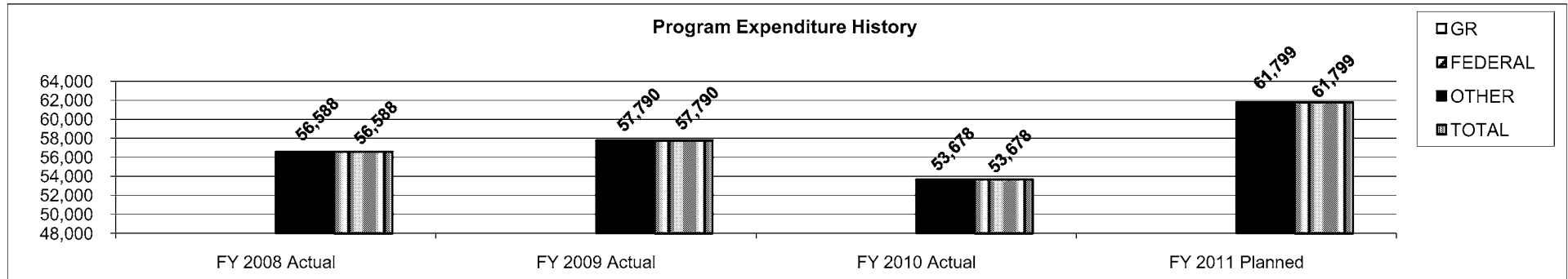
**3. Are there federal matching requirements? If yes, please explain.**

No

**4. Is this a federally mandated program? If yes, please explain.**

No

**5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.**



**6. What are the sources of the "Other " funds?**

Respiratory Care Practitioners Fund (0833)

## PROGRAM DESCRIPTION

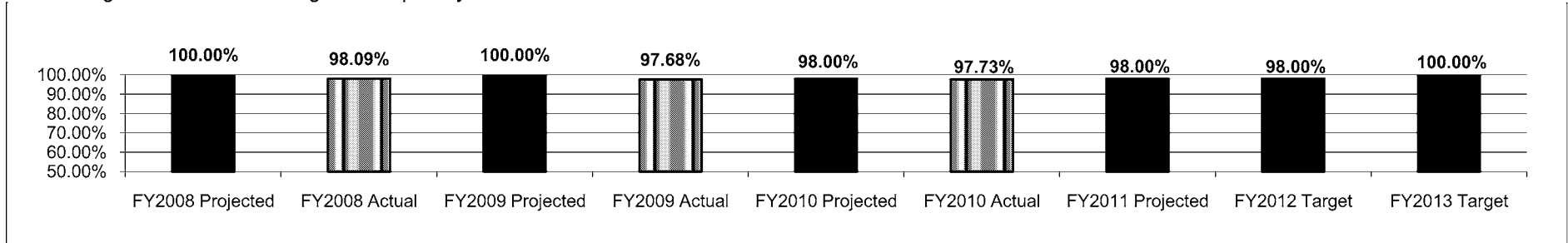
**Department of Insurance, Financial Institutions and Professional Registration**

**Board for Respiratory Care**

**Program is found in the following core budget(s): Professional Registration Administration**

**7a. Provide an effectiveness measure.**

Percentage of licensees having no disciplinary action



Note: Measure includes all disciplines ranging from a letter of censure to a revocation. Some disciplines may be open-ended and carry over year-to-year.

**7b. Provide an efficiency measure.**

Not available

**7c. Provide the number of clients/individuals served, if applicable.**

	FY2008		FY2009		FY2010		FY2011	FY2012	FY2013
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Proj.	Target	Target
Applications received	430	424	430	439	403	382	387	387	387
Licensed Professionals	3,900	3,989	3,500	4,103	3,650	4,365	4,600	4,600	4,600

**7d. Provide a customer satisfaction measure, if available.**

Not available

## PROGRAM DESCRIPTION

**Department of Insurance, Financial Institutions and Professional Registration**

**State Committee for Social Workers**

**Program is found in the following core budget(s): Professional Registration Administration**

**1. What does this program do?**

The State Committee for Social Workers was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating social workers in the State of Missouri.

**2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)**

337.600-337.689 RSMo

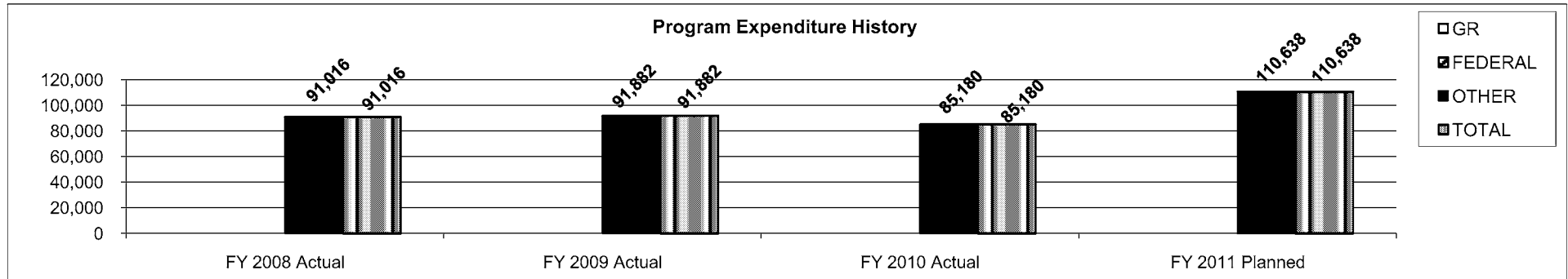
**3. Are there federal matching requirements? If yes, please explain.**

No

**4. Is this a federally mandated program? If yes, please explain.**

No

**5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.**



**6. What are the sources of the "Other " funds?**

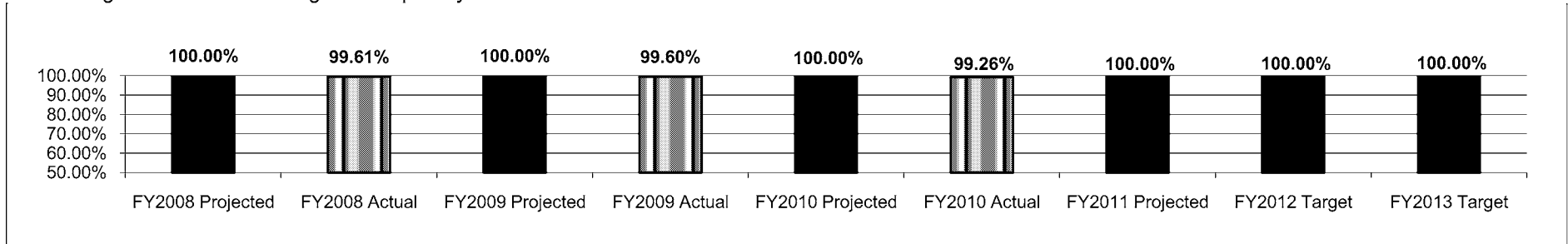
Clinical Social Workers Fund (0574)

## PROGRAM DESCRIPTION

**Department of Insurance, Financial Institutions and Professional Registration**  
**State Committee for Social Workers**  
**Program is found in the following core budget(s): Professional Registration Administration**

**7a. Provide an effectiveness measure.**

Percentage of licensees having no disciplinary action



Note: Measure includes all disciplines ranging from a letter of censure to a revocation. Some disciplines may be open-ended and carry over year-to-year.

**7b. Provide an efficiency measure.**

Not available

**7c. Provide the number of clients/individuals served, if applicable.**

	FY2008		FY2009		FY2010		FY2011	FY2012	FY2013
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Proj.	Target	Target
Applications received	515	409	247	479	450	521	685	685	685
Licensed Professionals	5,100	5,188	5,327	5,207	5,476	5,293	5,435	5,435	5,435

**7d. Provide a customer satisfaction measure, if available.**

Not available



## PROGRAM DESCRIPTION

**Department of Insurance, Financial Institutions and Professional Registration**  
**Office of Tattoo, Body Piercing and Branding**  
**Program is found in the following core budget(s): Professional Registration Administration**

**1. What does this program do?**

The Office of Tattoo, Body Piercing and Branding was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating tattoo artists, body piercers, branding, and tattoo, body piercing and branding businesses in the State of Missouri.

**2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)**

324.520-324.524 RSMo

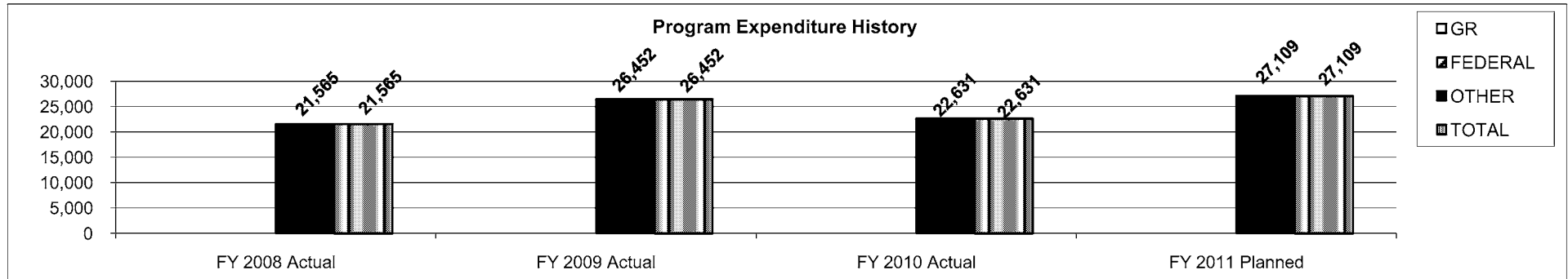
**3. Are there federal matching requirements? If yes, please explain.**

No

**4. Is this a federally mandated program? If yes, please explain.**

No

**5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.**



**6. What are the sources of the "Other " funds?**

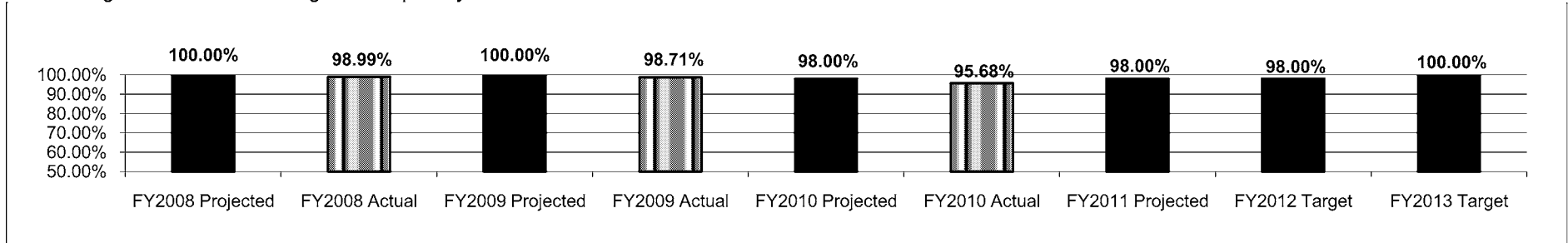
Tattoo Fund (0883)

## PROGRAM DESCRIPTION

**Department of Insurance, Financial Institutions and Professional Registration**  
**Office of Tattoo, Body Piercing and Branding**  
**Program is found in the following core budget(s): Professional Registration Administration**

**7a. Provide an effectiveness measure.**

Percentage of licensees having no disciplinary action



Note: Measure includes all disciplines ranging from a letter of censure to a revocation. Some disciplines may be open-ended and carry over year-to-year.

**7b. Provide an efficiency measure.**

Not available

**7c. Provide the number of clients/individuals served, if applicable.**

	FY2008		FY2009		FY2010		FY2011	FY2012	FY2013
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Proj.	Target	Target
Applications received	461	632	572	495	320	300	318	318	318
Licensed Professionals	1,100	1,586	1,800	1,861	1,600	1,527	1,825	1,825	1,825

**7d. Provide a customer satisfaction measure, if available.**

Not available

## PROGRAM DESCRIPTION

**Department of Insurance, Financial Institutions and Professional Registration**  
**Board of Therapeutic Massage**  
**Program is found in the following core budget(s): Professional Registration Administration**

**1. What does this program do?**

The Board of Therapeutic Massage was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating massage therapists and massage therapy businesses in the State of Missouri.

**2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)**

436.218-436.272 RSMo

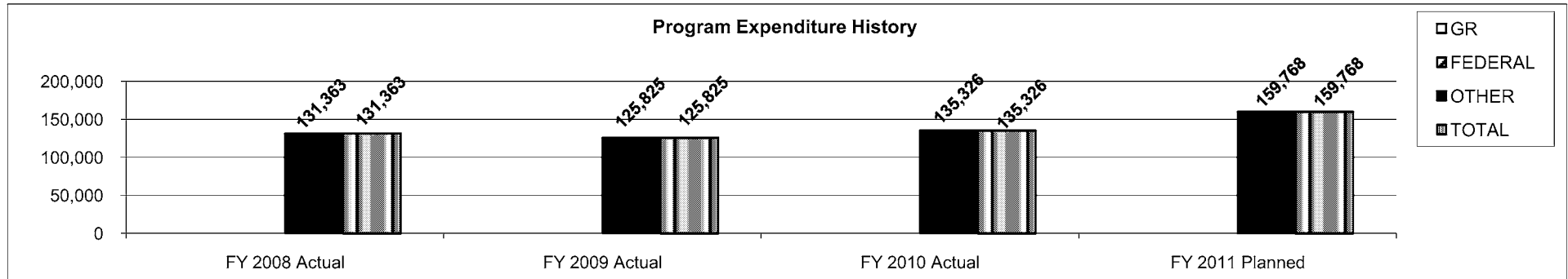
**3. Are there federal matching requirements? If yes, please explain.**

No

**4. Is this a federally mandated program? If yes, please explain.**

No

**5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.**



**6. What are the sources of the "Other " funds?**

Massage Therapy Fund (0884)

## PROGRAM DESCRIPTION

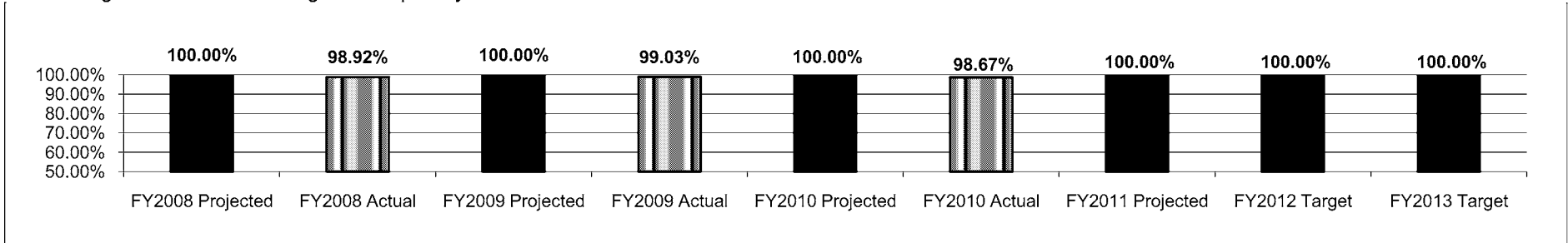
**Department of Insurance, Financial Institutions and Professional Registration**

**Board of Therapeutic Massage**

**Program is found in the following core budget(s): Professional Registration Administration**

**7a. Provide an effectiveness measure.**

Percentage of licensees having no disciplinary action



Note: Measure includes all disciplines ranging from a letter of censure to a revocation. Some disciplines may be open-ended and carry over year-to-year.

**7b. Provide an efficiency measure.**

Not available

**7c. Provide the number of clients/individuals served, if applicable.**

	FY2008		FY2009		FY2010		FY2011	FY2012	FY2013
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Proj.	Target	Target
Applications received	2,600	2,050	2,200	1,721	1,425	1,841	1,425	1,225	1,225
Licensed Professionals	5,600	6,093	5,100	5,950	5,700	6,930	6,500	6,500	6,500

**7d. Provide a customer satisfaction measure, if available.**

Not available

## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

Missouri Veterinary Medical Board

Program is found in the following core budget(s): Missouri Veterinary Medical Board, Professional Registration Administration

	Veterinary	PR Admin	TOTAL
GR			0
FEDERAL			0
OTHER	109,579	94,491	204,070
TOTAL	109,579	94,491	204,070

### 1. What does this program do?

The Missouri Veterinary Medical Board was established to protect the interests of the citizens of the State of Missouri by licensing and regulating veterinarians and veterinary technicians in the State of Missouri.

Note: The appropriation for personal service and board member per diem is included in the Division of Professional Registration/Administration core appropriation.

### 2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

340.200-340.350 RSMo

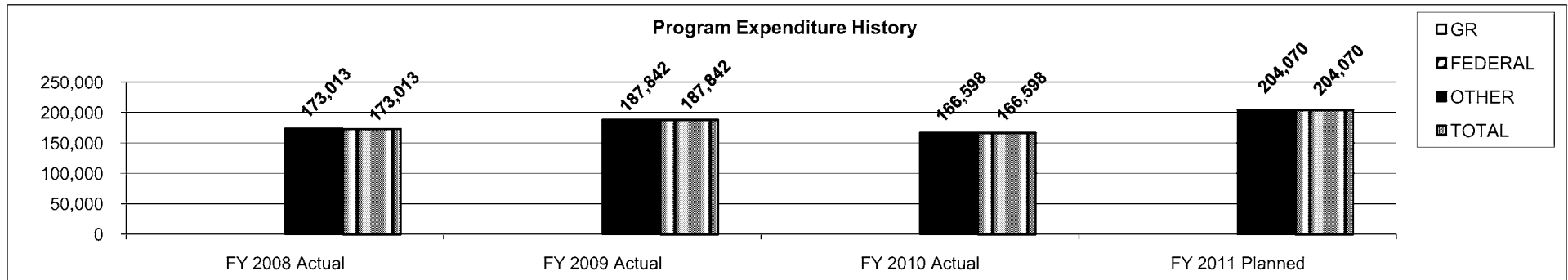
### 3. Are there federal matching requirements? If yes, please explain.

No

### 4. Is this a federally mandated program? If yes, please explain.

No

### 5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



### 6. What are the sources of the "Other " funds?

Veterinary Medical Board Fund (0639)

## PROGRAM DESCRIPTION

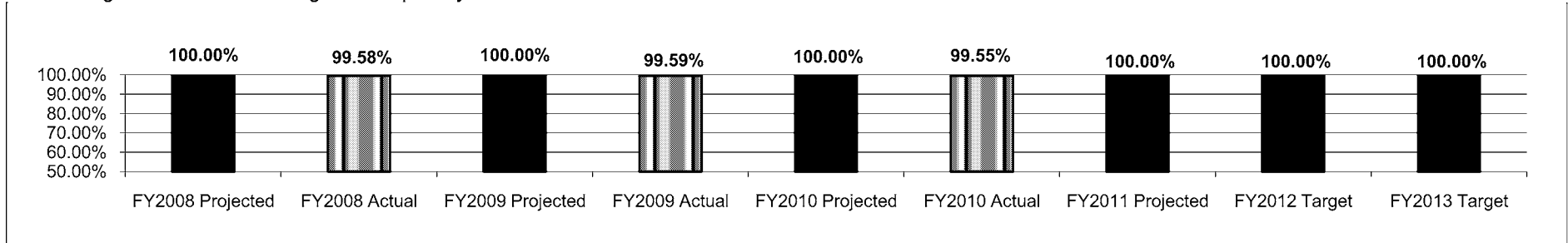
**Department of Insurance, Financial Institutions and Professional Registration**

**Missouri Veterinary Medical Board**

**Program is found in the following core budget(s): Missouri Veterinary Medical Board, Professional Registration Administration**

**7a. Provide an effectiveness measure.**

Percentage of licensees having no disciplinary action



Note: Measure includes all disciplines ranging from a letter of censure to a revocation. Some disciplines may be open-ended and carry over year-to-year.

**7b. Provide an efficiency measure.**

Not available

**7c. Provide the number of clients/individuals served, if applicable.**

	FY2008		FY2009		FY2010		FY2011	FY2012	FY2013
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Proj.	Target	Target
Applications received	400	447	360	399	390	434	400	400	400
Licensed Professionals	4,445	4,524	4,495	4,609	4,624	4,681	4,722	4,722	4,722

**7d. Provide a customer satisfaction measure, if available.**

Not available



# DIFP

# DECISION ITEM SUMMARY

Budget Unit									
Decision Item	FY 2010	FY 2010	FY 2011	FY 2011	FY 2012	FY 2012	FY 2012	FY 2012	
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC	
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	
<b>STATE BOARD OF ACCOUNTANCY</b>									
<b>CORE</b>									
PERSONAL SERVICES									
BOARD OF ACCOUNTANCY	264,217	7.69	278,953	7.00	278,953	7.00	278,953	7.00	
TOTAL - PS	264,217	7.69	278,953	7.00	278,953	7.00	278,953	7.00	
EXPENSE & EQUIPMENT									
BOARD OF ACCOUNTANCY	167,683	0.00	180,647	0.00	180,647	0.00	180,647	0.00	
TOTAL - EE	167,683	0.00	180,647	0.00	180,647	0.00	180,647	0.00	
<b>TOTAL</b>	<b>431,900</b>	<b>7.69</b>	<b>459,600</b>	<b>7.00</b>	<b>459,600</b>	<b>7.00</b>	<b>459,600</b>	<b>7.00</b>	
<b>GRAND TOTAL</b>	<b>\$431,900</b>	<b>7.69</b>	<b>\$459,600</b>	<b>7.00</b>	<b>\$459,600</b>	<b>7.00</b>	<b>\$459,600</b>	<b>7.00</b>	

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## CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration	Budget Unit	42650C
Professional Registration		
Core - State Board of Accountancy		

### 1. CORE FINANCIAL SUMMARY

FY 2012 Budget Request					FY 2012 Governor's Recommendation				
	GR	Federal	Other	Total		GR	Fed	Other	Total
PS	0	0	278,953	278,953	PS	0	0	278,953	278,953
EE	0	0	180,647	180,647	EE	0	0	180,647	180,647
PSD	0	0	0	0	PSD	0	0	0	0
TRF	0	0	0	0	TRF	0	0	0	0
<b>Total</b>	<b>0</b>	<b>0</b>	<b>459,600</b>	<b>459,600</b>	<b>Total</b>	<b>0</b>	<b>0</b>	<b>459,600</b>	<b>459,600</b>
FTE	0.00	0.00	7.00	7.00	FTE	0.00	0.00	7.00	7.00
<b>Est. Fringe</b>	0	0	155,237	155,237	<b>Est. Fringe</b>	0	0	155,237	155,237
<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>					<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>				

Other Funds: State Board of Accountancy Fund (0627)

Other Funds: State Board of Accountancy Fund (0627)

### 2. CORE DESCRIPTION

The Missouri State Board of Accountancy was created in 1909 by Senate Bill 112 and passed by the 44th General Assembly. The Board is a state agency and the members are appointed by the Governor and confirmed by the Senate. The Board is a fee funded agency, supported entirely by CPA candidates' and licensees' fees. The Public Accountancy Act is the set of statutes that govern the ability of the Board to regulate certified public accountants, public accountants, limited liability companies, partnerships and professional corporations in Missouri.

### 3. PROGRAM LISTING (list programs included in this core funding)

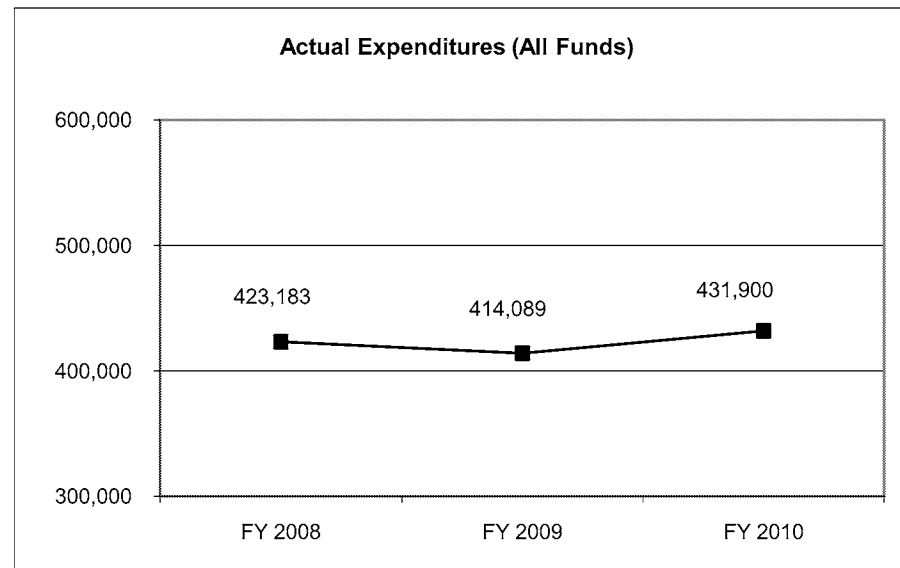
State Board of Accountancy

# **CORE DECISION ITEM**

**Department of Insurance, Financial Institutions and Professional Registration**      **Budget Unit** 42650C  
**Professional Registration**  
**Core - State Board of Accountancy**

## **4. FINANCIAL HISTORY**

	<b>FY 2008 Actual</b>	<b>FY 2009 Actual</b>	<b>FY 2010 Actual</b>	<b>FY 2011 Current Yr.</b>
Appropriation (All Funds)	451,476	459,600	459,600	459,600
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	451,476	459,600	459,600	N/A
Actual Expenditures (All Funds)	423,183	414,089	431,900	N/A
Unexpended (All Funds)	28,293	45,511	27,700	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	28,293	45,511	27,700	N/A
	(1)	(1)	(1)	



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

### **NOTES:**

(1) Unexpended amount is due to staff changes and less than anticipated expenditures.

**CORE RECONCILIATION DETAIL**

**DIFP  
STATE BOARD OF ACCOUNTANCY**

**5. CORE RECONCILIATION DETAIL**

	<b>Budget Class</b>	<b>FTE</b>	<b>GR</b>	<b>Federal</b>	<b>Other</b>	<b>Total</b>	<b>Explanation</b>
<b>TAFP AFTER VETOES</b>							
	PS	7.00	0	0	278,953	278,953	
	EE	0.00	0	0	180,647	180,647	
	<b>Total</b>	<b>7.00</b>	<b>0</b>	<b>0</b>	<b>459,600</b>	<b>459,600</b>	
<b>DEPARTMENT CORE REQUEST</b>							
	PS	7.00	0	0	278,953	278,953	
	EE	0.00	0	0	180,647	180,647	
	<b>Total</b>	<b>7.00</b>	<b>0</b>	<b>0</b>	<b>459,600</b>	<b>459,600</b>	
<b>GOVERNOR'S RECOMMENDED CORE</b>							
	PS	7.00	0	0	278,953	278,953	
	EE	0.00	0	0	180,647	180,647	
	<b>Total</b>	<b>7.00</b>	<b>0</b>	<b>0</b>	<b>459,600</b>	<b>459,600</b>	

DIFP

DECISION ITEM DETAIL

Budget Unit	FY 2010	FY 2010	FY 2011	FY 2011	FY 2012	FY 2012	FY 2012	FY 2012
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
<b>STATE BOARD OF ACCOUNTANCY</b>								
<b>CORE</b>								
ADMIN OFFICE SUPPORT ASSISTANT	26,367	0.97	28,383	1.00	28,383	1.00	28,383	1.00
ACCOUNT CLERK II	24,576	1.00	25,377	1.00	25,377	1.00	25,377	1.00
SENIOR AUDITOR	45,060	1.00	46,702	1.00	46,702	1.00	46,702	1.00
EXECUTIVE I	31,677	1.00	32,806	1.00	32,806	1.00	32,806	1.00
PROF REG LIC TECH I	42,446	1.84	47,650	2.00	47,650	2.00	47,650	2.00
BOARD MEMBER	7,840	0.43	6,620	0.00	6,620	0.00	6,620	0.00
CLERK	16,308	0.45	18,620	0.00	18,620	0.00	18,620	0.00
PRINCIPAL ASST BOARD/COMMISSON	69,943	1.00	72,795	1.00	72,795	1.00	72,795	1.00
<b>TOTAL - PS</b>	<b>264,217</b>	<b>7.69</b>	<b>278,953</b>	<b>7.00</b>	<b>278,953</b>	<b>7.00</b>	<b>278,953</b>	<b>7.00</b>
TRAVEL, IN-STATE	14,483	0.00	16,000	0.00	15,000	0.00	15,000	0.00
TRAVEL, OUT-OF-STATE	4,592	0.00	19,000	0.00	7,000	0.00	7,000	0.00
SUPPLIES	21,711	0.00	23,468	0.00	22,500	0.00	22,500	0.00
PROFESSIONAL DEVELOPMENT	8,282	0.00	15,500	0.00	9,000	0.00	9,000	0.00
COMMUNICATION SERV & SUPP	4,142	0.00	4,929	0.00	5,000	0.00	5,000	0.00
PROFESSIONAL SERVICES	104,712	0.00	80,000	0.00	109,907	0.00	109,907	0.00
M&R SERVICES	2,743	0.00	3,900	0.00	3,000	0.00	3,000	0.00
MOTORIZED EQUIPMENT	0	0.00	10	0.00	0	0.00	0	0.00
OFFICE EQUIPMENT	742	0.00	10,000	0.00	3,000	0.00	3,000	0.00
OTHER EQUIPMENT	0	0.00	1,000	0.00	0	0.00	0	0.00
PROPERTY & IMPROVEMENTS	0	0.00	240	0.00	0	0.00	0	0.00
BUILDING LEASE PAYMENTS	616	0.00	500	0.00	620	0.00	620	0.00
EQUIPMENT RENTALS & LEASES	613	0.00	400	0.00	620	0.00	620	0.00
MISCELLANEOUS EXPENSES	5,047	0.00	5,700	0.00	5,000	0.00	5,000	0.00
<b>TOTAL - EE</b>	<b>167,683</b>	<b>0.00</b>	<b>180,647</b>	<b>0.00</b>	<b>180,647</b>	<b>0.00</b>	<b>180,647</b>	<b>0.00</b>
<b>GRAND TOTAL</b>	<b>\$431,900</b>	<b>7.69</b>	<b>\$459,600</b>	<b>7.00</b>	<b>\$459,600</b>	<b>7.00</b>	<b>\$459,600</b>	<b>7.00</b>
<b>GENERAL REVENUE</b>	<b>\$0</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>
<b>FEDERAL FUNDS</b>	<b>\$0</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>
<b>OTHER FUNDS</b>	<b>\$431,900</b>	<b>7.69</b>	<b>\$459,600</b>	<b>7.00</b>	<b>\$459,600</b>	<b>7.00</b>	<b>\$459,600</b>	<b>7.00</b>

## PROGRAM DESCRIPTION

**Department of Insurance, Financial Institutions and Professional Registration**

**State Board of Accountancy**

**Program is found in the following core budget(s): State Board of Accountancy**

**1. What does this program do?**

The Board of Accountancy was established to protect the interests of the citizens of the State of Missouri by licensing and regulating certified public accountants, public accountants, limited liability companies, partnerships and professional corporations in the State of Missouri.

**2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)**

326.250-326.331 RSMo

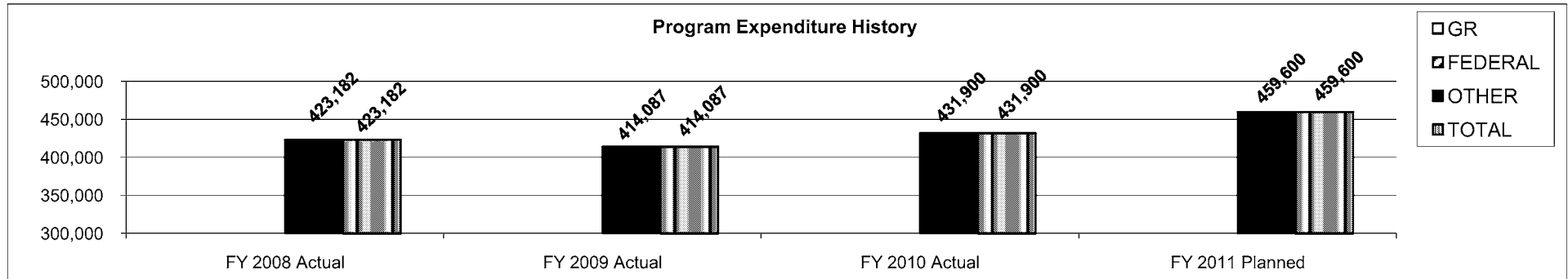
**3. Are there federal matching requirements? If yes, please explain.**

No

**4. Is this a federally mandated program? If yes, please explain.**

No

**5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.**



**6. What are the sources of the "Other " funds?**

State Board of Accountancy Fund (0627)

## PROGRAM DESCRIPTION

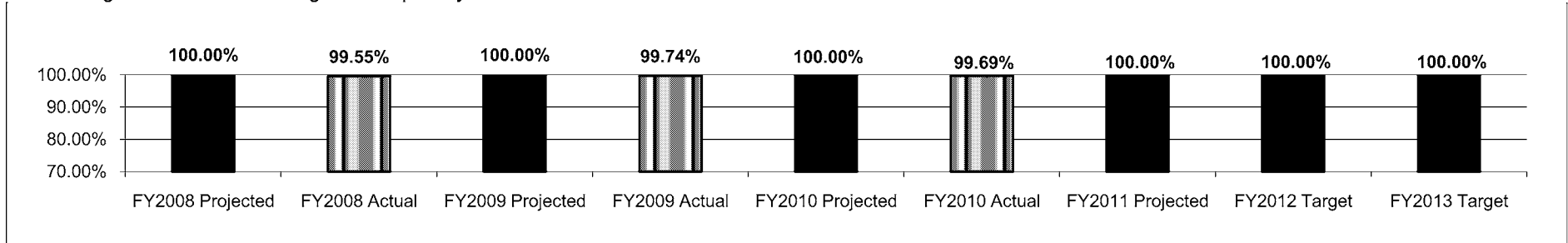
**Department of Insurance, Financial Institutions and Professional Registration**

**State Board of Accountancy**

**Program is found in the following core budget(s): State Board of Accountancy**

**7a. Provide an effectiveness measure.**

Percentage of licensees having no disciplinary action



Note: Measure includes all disciplines ranging from a letter of censure to a revocation. Some disciplines may be open-ended and carry over year-to-year.

**7b. Provide an efficiency measure.**

Not available

**7c. Provide the number of clients/individuals served, if applicable.**

	FY2008		FY2009		FY2010		FY2011	FY2012	FY2013
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Proj.	Target	Target
Applications received	925	799	800	856	880	860	780	793	808
Licensed Professionals	19,326	11,002	19,376	19,888	20,100	20,187	20,400	20,769	20,932

**7d. Provide a customer satisfaction measure, if available.**

Not available

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**DIFP****DECISION ITEM SUMMARY**

Budget Unit								
Decision Item	FY 2010	FY 2010	FY 2011	FY 2011	FY 2012	FY 2012	FY 2012	FY 2012
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
<b>ARCHITECTS, P.E. &amp; LAND SURV.</b>								
<b>CORE</b>								
PERSONAL SERVICES								
BRD OF ARCH,ENG,LND SUR,LND AR	326,521	10.78	375,856	10.00	375,856	10.00	375,856	10.00
TOTAL - PS	326,521	10.78	375,856	10.00	375,856	10.00	375,856	10.00
EXPENSE & EQUIPMENT								
BRD OF ARCH,ENG,LND SUR,LND AR	272,722	0.00	331,587	0.00	331,587	0.00	331,587	0.00
TOTAL - EE	272,722	0.00	331,587	0.00	331,587	0.00	331,587	0.00
<b>TOTAL</b>	<b>599,243</b>	<b>10.78</b>	<b>707,443</b>	<b>10.00</b>	<b>707,443</b>	<b>10.00</b>	<b>707,443</b>	<b>10.00</b>
<b>GRAND TOTAL</b>	<b>\$599,243</b>	<b>10.78</b>	<b>\$707,443</b>	<b>10.00</b>	<b>\$707,443</b>	<b>10.00</b>	<b>\$707,443</b>	<b>10.00</b>

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## CORE DECISION ITEM

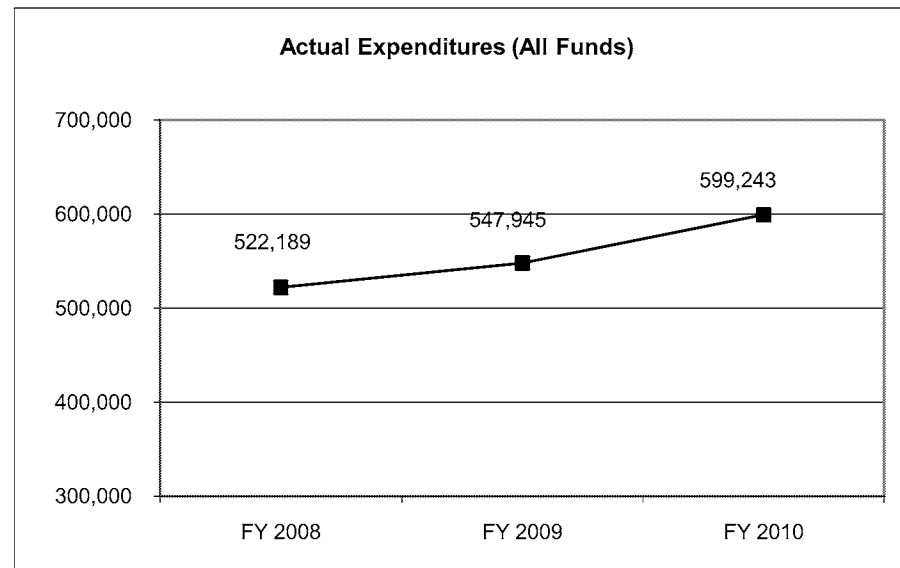
<b>Department of Insurance, Financial Institutions and Professional Registration</b>					<b>Budget Unit</b> <u>42660C</u>				
<b>Professional Registration</b>									
<b>Core - Missouri Board for Architects, Professional Engineers, Professional Land Surveyors and Landscape Architects</b>									
<b>1. CORE FINANCIAL SUMMARY</b>									
<b>FY 2012 Budget Request</b>					<b>FY 2012 Governor's Recommendation</b>				
	<b>GR</b>	<b>Federal</b>	<b>Other</b>	<b>Total</b>		<b>GR</b>	<b>Fed</b>	<b>Other</b>	<b>Total</b>
<b>PS</b>	0	0	375,856	375,856	<b>PS</b>	0	0	375,856	375,856
<b>EE</b>	0	0	331,587	331,587	<b>EE</b>	0	0	331,587	331,587
<b>PSD</b>	0	0	0	0	<b>PSD</b>	0	0	0	0
<b>TRF</b>	0	0	0	0	<b>TRF</b>	0	0	0	0
<b>Total</b>	<b>0</b>	<b>0</b>	<b>707,443</b>	<b>707,443</b>	<b>Total</b>	<b>0</b>	<b>0</b>	<b>707,443</b>	<b>707,443</b>
<b>FTE</b>	<b>0.00</b>	<b>0.00</b>	<b>10.00</b>	<b>10.00</b>	<b>FTE</b>	<b>0.00</b>	<b>0.00</b>	<b>10.00</b>	<b>10.00</b>
<b>Est. Fringe</b>	0	0	209,164	209,164	<b>Est. Fringe</b>	0	0	209,164	209,164
<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>					<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>				
Other Funds:	State Board for Architects, Prof. Engineers, Prof. Land Surveyors & Landscape Architects Fund (0678)				Other Funds:	State Board for Architects, Prof. Engineers, Prof. Land Surveyors & Landscape Architects Fund (0678)			
<b>2. CORE DESCRIPTION</b>									
The core program request is necessary to ensure the continued high quality of service provided by architects, professional engineers, professional land surveyors and landscape architects licensed in Missouri.									
<b>3. PROGRAM LISTING (list programs included in this core funding)</b>									
Missouri Board for Architects, Professional Engineers, Professional Land Surveyors and Landscape Architects									

# CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration      Budget Unit 42660C  
Professional Registration  
Core - Missouri Board for Architects, Professional Engineers, Professional Land Surveyors and Landscape Architects

## 4. FINANCIAL HISTORY

	FY 2008 Actual	FY 2009 Actual	FY 2010 Actual	FY 2011 Current Yr.
Appropriation (All Funds)	759,495	707,443	707,443	707,443
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	759,495	707,443	707,443	N/A
Actual Expenditures (All Funds)	522,189	547,945	599,243	N/A
Unexpended (All Funds)	237,306	159,498	108,200	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	237,306	159,498	108,200	N/A
	(1)	(1)	(1)	



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

### NOTES:

(1) Unexpended amount due to staff turnover and less than anticipated contract investigative services and outside legal counsel expenses.

**CORE RECONCILIATION DETAIL**

DIFP  
ARCHITECTS, P.E. & LAND SURV.

**5. CORE RECONCILIATION DETAIL**

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
<b>TAFP AFTER VETOES</b>							
	PS	10.00	0	0	375,856	375,856	
	EE	0.00	0	0	331,587	331,587	
	<b>Total</b>	<b>10.00</b>	<b>0</b>	<b>0</b>	<b>707,443</b>	<b>707,443</b>	
<b>DEPARTMENT CORE REQUEST</b>							
	PS	10.00	0	0	375,856	375,856	
	EE	0.00	0	0	331,587	331,587	
	<b>Total</b>	<b>10.00</b>	<b>0</b>	<b>0</b>	<b>707,443</b>	<b>707,443</b>	
<b>GOVERNOR'S RECOMMENDED CORE</b>							
	PS	10.00	0	0	375,856	375,856	
	EE	0.00	0	0	331,587	331,587	
	<b>Total</b>	<b>10.00</b>	<b>0</b>	<b>0</b>	<b>707,443</b>	<b>707,443</b>	

DIFP

DECISION ITEM DETAIL

Budget Unit	FY 2010	FY 2010	FY 2011	FY 2011	FY 2012	FY 2012	FY 2012	FY 2012
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
<b>ARCHITECTS, P.E. &amp; LAND SURV.</b>								
<b>CORE</b>								
OFFICE SUPPORT ASST (KEYBRD)	19,510	0.88	25,791	1.00	25,791	1.00	25,791	1.00
SR OFC SUPPORT ASST (KEYBRD)	26,196	1.00	27,563	1.00	27,563	1.00	27,563	1.00
ACCOUNT CLERK II	28,994	1.14	27,129	1.00	27,129	1.00	27,129	1.00
EXECUTIVE I	32,856	1.00	35,946	1.00	35,946	1.00	35,946	1.00
INVESTIGATOR II	37,968	1.00	40,204	1.00	40,204	1.00	40,204	1.00
PROF REG LIC TECH I	23,400	1.00	25,368	1.00	25,368	1.00	25,368	1.00
PROF REG LIC TECH II	81,292	2.83	92,341	3.00	92,341	3.00	92,341	3.00
BOARD MEMBER	12,027	0.93	28,617	0.00	28,617	0.00	28,617	0.00
PRINCIPAL ASST BOARD/COMMISSON	64,278	1.00	72,897	1.00	72,897	1.00	72,897	1.00
<b>TOTAL - PS</b>	<b>326,521</b>	<b>10.78</b>	<b>375,856</b>	<b>10.00</b>	<b>375,856</b>	<b>10.00</b>	<b>375,856</b>	<b>10.00</b>
TRAVEL, IN-STATE	24,965	0.00	33,917	0.00	33,917	0.00	33,917	0.00
TRAVEL, OUT-OF-STATE	9,442	0.00	16,049	0.00	11,049	0.00	11,049	0.00
FUEL & UTILITIES	0	0.00	100	0.00	100	0.00	100	0.00
SUPPLIES	34,581	0.00	47,000	0.00	52,000	0.00	52,000	0.00
PROFESSIONAL DEVELOPMENT	28,742	0.00	35,350	0.00	42,850	0.00	42,850	0.00
COMMUNICATION SERV & SUPP	4,076	0.00	6,984	0.00	6,984	0.00	6,984	0.00
PROFESSIONAL SERVICES	136,563	0.00	140,386	0.00	147,886	0.00	147,886	0.00
HOUSEKEEPING & JANITORIAL SERV	0	0.00	100	0.00	100	0.00	100	0.00
M&R SERVICES	1,447	0.00	5,508	0.00	5,608	0.00	5,608	0.00
MOTORIZED EQUIPMENT	21,320	0.00	100	0.00	0	0.00	0	0.00
OFFICE EQUIPMENT	842	0.00	20,419	0.00	5,419	0.00	5,419	0.00
OTHER EQUIPMENT	0	0.00	100	0.00	100	0.00	100	0.00
PROPERTY & IMPROVEMENTS	0	0.00	6,568	0.00	4,000	0.00	4,000	0.00
BUILDING LEASE PAYMENTS	2,262	0.00	5,131	0.00	5,131	0.00	5,131	0.00
EQUIPMENT RENTALS & LEASES	1,363	0.00	3,875	0.00	3,875	0.00	3,875	0.00
MISCELLANEOUS EXPENSES	7,119	0.00	10,000	0.00	12,568	0.00	12,568	0.00
<b>TOTAL - EE</b>	<b>272,722</b>	<b>0.00</b>	<b>331,587</b>	<b>0.00</b>	<b>331,587</b>	<b>0.00</b>	<b>331,587</b>	<b>0.00</b>
<b>GRAND TOTAL</b>	<b>\$599,243</b>	<b>10.78</b>	<b>\$707,443</b>	<b>10.00</b>	<b>\$707,443</b>	<b>10.00</b>	<b>\$707,443</b>	<b>10.00</b>
<b>GENERAL REVENUE</b>	<b>\$0</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>
<b>FEDERAL FUNDS</b>	<b>\$0</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>
<b>OTHER FUNDS</b>	<b>\$599,243</b>	<b>10.78</b>	<b>\$707,443</b>	<b>10.00</b>	<b>\$707,443</b>	<b>10.00</b>	<b>\$707,443</b>	<b>10.00</b>

## PROGRAM DESCRIPTION

**Department of Insurance, Financial Institutions and Professional Registration**

**Missouri Board for Architects, Professional Engineers, Professional Land Surveyors and Landscape Architects**

**Program is found in the following core budget(s): Architects, Prof. Engineers, Prof. Land Surveyors and Landscape Architects**

**1. What does this program do?**

The Missouri Board for Architects, Professional Engineers, Professional Land Surveyors and Landscape Architects was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating architects, professional engineers, professional land surveyors and landscape architects in the State of Missouri.

**2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)**

327.011-327.635 RSMo

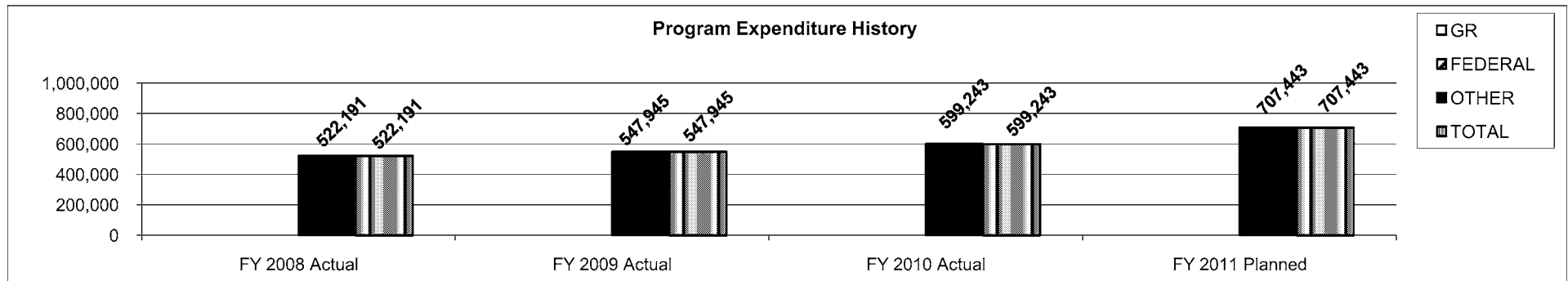
**3. Are there federal matching requirements? If yes, please explain.**

No

**4. Is this a federally mandated program? If yes, please explain.**

No

**5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.**



**6. What are the sources of the "Other " funds?**

State Board for Architects, Professional Engineers, Professional Land Surveyors and Landscape Architects (0678)

## PROGRAM DESCRIPTION

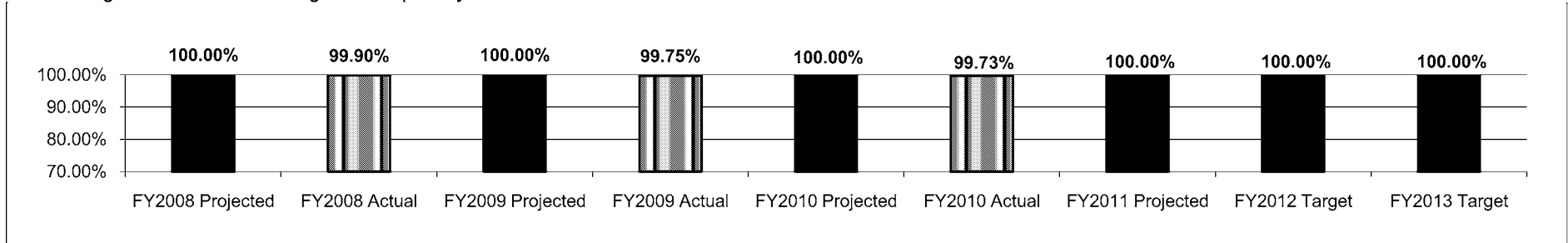
**Department of Insurance, Financial Institutions and Professional Registration**

**Missouri Board for Architects, Professional Engineers, Professional Land Surveyors and Landscape Architects**

**Program is found in the following core budget(s): Architects, Prof. Engineers, Prof. Land Surveyors and Landscape Architects**

**7a. Provide an effectiveness measure.**

Percentage of licensees having no disciplinary action



Note: Measure includes all disciplines ranging from a letter of censure to a revocation. Some disciplines may be open-ended and carry over year-to-year.

**7b. Provide an efficiency measure.**

Not available

**7c. Provide the number of clients/individuals served, if applicable.**

	FY2008		FY2009		FY2010		FY2011	FY2012	FY2013
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Proj.	Target	Target
Applications received	2,973	1,974	2,807	1,994	2,372	2,137	2,572	2,597	2,622
Licensed Professionals	51,846	53,002	51,070	25,702	23,215	26,269	23,587	23,822	24,060

NOTE: The number of licensed professionals reported in FY09 and each year thereafter will not include interns.

**7d. Provide a customer satisfaction measure, if available.**

Not available

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**DIFP****DECISION ITEM SUMMARY**

Budget Unit								
Decision Item	FY 2010	FY 2010	FY 2011	FY 2011	FY 2012	FY 2012	FY 2012	FY 2012
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
<b>BD OF CHIROPRACTIC EXAMINERS</b>								
<b>CORE</b>								
EXPENSE & EQUIPMENT								
BOARD OF CHIROPRACTIC EXAMINER	93,401	0.00	149,567	0.00	149,567	0.00	149,567	0.00
TOTAL - EE	93,401	0.00	149,567	0.00	149,567	0.00	149,567	0.00
<b>TOTAL</b>	<b>93,401</b>	<b>0.00</b>	<b>149,567</b>	<b>0.00</b>	<b>149,567</b>	<b>0.00</b>	<b>149,567</b>	<b>0.00</b>
<b>GRAND TOTAL</b>	<b>\$93,401</b>	<b>0.00</b>	<b>\$149,567</b>	<b>0.00</b>	<b>\$149,567</b>	<b>0.00</b>	<b>\$149,567</b>	<b>0.00</b>

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## CORE DECISION ITEM

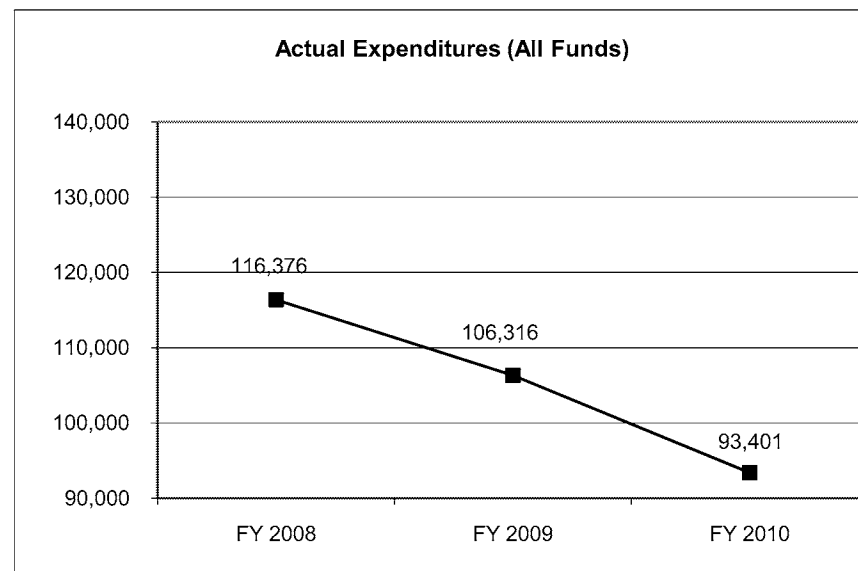
Department of Insurance, Financial Institutions and Professional Registration					Budget Unit <u>42680C</u>				
Professional Registration									
Core - State Board of Chiropractic Examiners									
<b>1. CORE FINANCIAL SUMMARY</b>									
FY 2012 Budget Request					FY 2012 Governor's Recommendation				
	GR	Federal	Other	Total		GR	Fed	Other	Total
PS	0	0	0	0	PS	0	0	0	0
EE	0	0	149,567	149,567	EE	0	0	149,567	149,567
PSD	0	0	0	0	PSD	0	0	0	0
TRF	0	0	0	0	TRF	0	0	0	0
<b>Total</b>	<b>0</b>	<b>0</b>	<b>149,567</b>	<b>149,567</b>	<b>Total</b>	<b>0</b>	<b>0</b>	<b>149,567</b>	<b>149,567</b>
FTE					FTE				
	0.00	0.00	0.00	0.00		0.00	0.00	0.00	0.00
<b>Est. Fringe</b>	0	0	0	0	<b>Est. Fringe</b>	0	0	0	0
<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>					<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>				
Other Funds: State Board of Chiropractic Examiners Fund (0630)					Other Funds: State Board of Chiropractic Examiners Fund (0630)				
<b>2. CORE DESCRIPTION</b>									
The core program request is necessary to ensure the continued high quality of service provided by chiropractors licensed in Missouri.									
<b>3. PROGRAM LISTING (list programs included in this core funding)</b>									
State Board of Chiropractic Examiners									

# **CORE DECISION ITEM**

**Department of Insurance, Financial Institutions and Professional Registration**      **Budget Unit** 42680C  
**Professional Registration**  
**Core - State Board of Chiropractic Examiners**

## **4. FINANCIAL HISTORY**

	<b>FY 2008 Actual</b>	<b>FY 2009 Actual</b>	<b>FY 2010 Actual</b>	<b>FY 2011 Current Yr.</b>
Appropriation (All Funds)	149,567	149,567	149,567	149,567
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	149,567	149,567	149,567	N/A
Actual Expenditures (All Funds)	116,376	106,316	93,401	N/A
Unexpended (All Funds)	33,191	43,251	56,166	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	33,191	43,251	56,166	N/A
	(1)	(2)	(3)	



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

### **NOTES:**

- (1) Unexpended amount due to less than anticipated expenditures and costs associated with disciplinary cases.
- (2) Unexpended amount due to less than anticipated expenditures and costs associated with disciplinary cases.
- (3) Unexpended amount due to less than anticipated expenditures and costs associated with disciplinary cases.

**CORE RECONCILIATION DETAIL**

DIFP  
BD OF CHIROPRACTIC EXAMINERS

**5. CORE RECONCILIATION DETAIL**

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
<b>TAFP AFTER VETOES</b>							
	EE	0.00	0	0	149,567	149,567	
	<b>Total</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>149,567</b>	<b>149,567</b>	
<b>DEPARTMENT CORE REQUEST</b>							
	EE	0.00	0	0	149,567	149,567	
	<b>Total</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>149,567</b>	<b>149,567</b>	
<b>GOVERNOR'S RECOMMENDED CORE</b>							
	EE	0.00	0	0	149,567	149,567	
	<b>Total</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>149,567</b>	<b>149,567</b>	

DIFP

DECISION ITEM DETAIL

Budget Unit	FY 2010	FY 2010	FY 2011	FY 2011	FY 2012	FY 2012	FY 2012	FY 2012
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
<b>BD OF CHIROPRACTIC EXAMINERS</b>								
<b>CORE</b>								
TRAVEL, IN-STATE	8,540	0.00	12,000	0.00	12,000	0.00	12,000	0.00
TRAVEL, OUT-OF-STATE	3,026	0.00	12,000	0.00	10,000	0.00	10,000	0.00
FUEL & UTILITIES	0	0.00	60	0.00	60	0.00	60	0.00
SUPPLIES	8,588	0.00	7,505	0.00	9,505	0.00	9,505	0.00
PROFESSIONAL DEVELOPMENT	2,673	0.00	6,500	0.00	6,400	0.00	6,400	0.00
COMMUNICATION SERV & SUPP	1,268	0.00	2,000	0.00	2,000	0.00	2,000	0.00
PROFESSIONAL SERVICES	67,564	0.00	97,000	0.00	97,000	0.00	97,000	0.00
M&R SERVICES	909	0.00	4,502	0.00	4,502	0.00	4,502	0.00
OFFICE EQUIPMENT	8	0.00	4,000	0.00	4,000	0.00	4,000	0.00
OTHER EQUIPMENT	0	0.00	2,000	0.00	2,000	0.00	2,000	0.00
BUILDING LEASE PAYMENTS	275	0.00	0	0.00	100	0.00	100	0.00
MISCELLANEOUS EXPENSES	550	0.00	2,000	0.00	2,000	0.00	2,000	0.00
<b>TOTAL - EE</b>	<b>93,401</b>	<b>0.00</b>	<b>149,567</b>	<b>0.00</b>	<b>149,567</b>	<b>0.00</b>	<b>149,567</b>	<b>0.00</b>
<b>GRAND TOTAL</b>	<b>\$93,401</b>	<b>0.00</b>	<b>\$149,567</b>	<b>0.00</b>	<b>\$149,567</b>	<b>0.00</b>	<b>\$149,567</b>	<b>0.00</b>
<b>GENERAL REVENUE</b>	<b>\$0</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>
<b>FEDERAL FUNDS</b>	<b>\$0</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>
<b>OTHER FUNDS</b>	<b>\$93,401</b>	<b>0.00</b>	<b>\$149,567</b>	<b>0.00</b>	<b>\$149,567</b>	<b>0.00</b>	<b>\$149,567</b>	<b>0.00</b>

## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

State Board of Chiropractic Examiners

Program is found in the following core budget(s): State Board of Chiropractic Examiners, Professional Registration Administration

	Chiropractic	PR Admin	TOTAL
GR			0
FEDERAL			0
OTHER	149,567	70,559	220,126
TOTAL	149,567	70,559	220,126

### 1. What does this program do?

The State Board of Chiropractic Examiners was established to protect the interests of the citizens of the State of Missouri by licensing and regulating chiropractors in the State of Missouri.

Note: The appropriation for personal service and board member per diem is included in the Division of Professional Registration/Administration core appropriation.

### 2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

331.010-331.100 RSMo

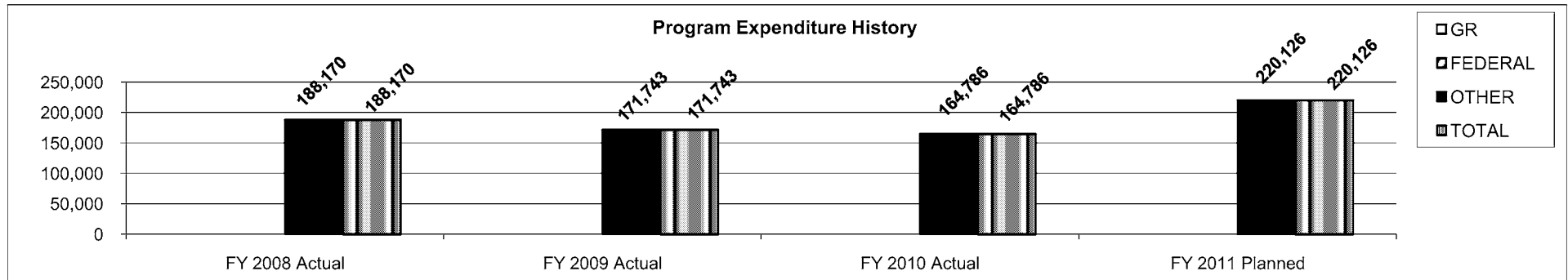
### 3. Are there federal matching requirements? If yes, please explain.

No

### 4. Is this a federally mandated program? If yes, please explain.

No

### 5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



### 6. What are the sources of the "Other " funds?

State Board of Chiropractic Examiners Fund (0630)

## PROGRAM DESCRIPTION

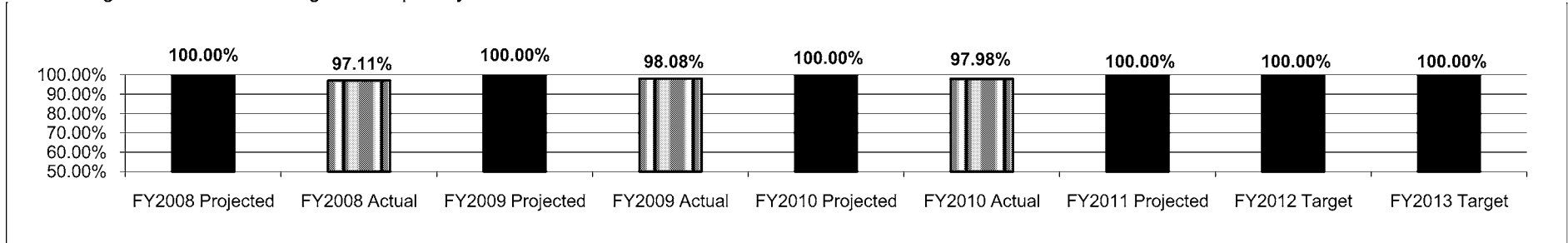
**Department of Insurance, Financial Institutions and Professional Registration**

**State Board of Chiropractic Examiners**

**Program is found in the following core budget(s): State Board of Chiropractic Examiners, Professional Registration Administration**

**7a. Provide an effectiveness measure.**

Percentage of licensees having no disciplinary action



Note: Measure includes all disciplines ranging from a letter of censure to a revocation. Some disciplines may be open-ended and carry over year-to-year.

**7b. Provide an efficiency measure.**

Not available

**7c. Provide the number of clients/individuals served, if applicable.**

	FY2008		FY2009		FY2010		FY2011	FY2012	FY2013
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Proj.	Target	Target
Applications received	130	142	130	127	157	151	140	135	135
Licensed Professionals	2,070	2,217	1,880	2,087	2,068	2,225	2,007	2,070	2,100

**7d. Provide a customer satisfaction measure, if available.**

Not available



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**DIFP****DECISION ITEM SUMMARY**

Budget Unit								
Decision Item	FY 2010	FY 2010	FY 2011	FY 2011	FY 2012	FY 2012	FY 2012	FY 2012
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
<b>BD COSMETOLOGY &amp; BARBERS</b>								
<b>CORE</b>								
EXPENSE & EQUIPMENT								
BRD OF COSMETOLOGY & BARBER EX	273,273	0.00	292,273	0.00	292,273	0.00	292,273	0.00
TOTAL - EE	273,273	0.00	292,273	0.00	292,273	0.00	292,273	0.00
<b>TOTAL</b>	<b>273,273</b>	<b>0.00</b>	<b>292,273</b>	<b>0.00</b>	<b>292,273</b>	<b>0.00</b>	<b>292,273</b>	<b>0.00</b>
<b>GRAND TOTAL</b>	<b>\$273,273</b>	<b>0.00</b>	<b>\$292,273</b>	<b>0.00</b>	<b>\$292,273</b>	<b>0.00</b>	<b>\$292,273</b>	<b>0.00</b>

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# CORE DECISION ITEM

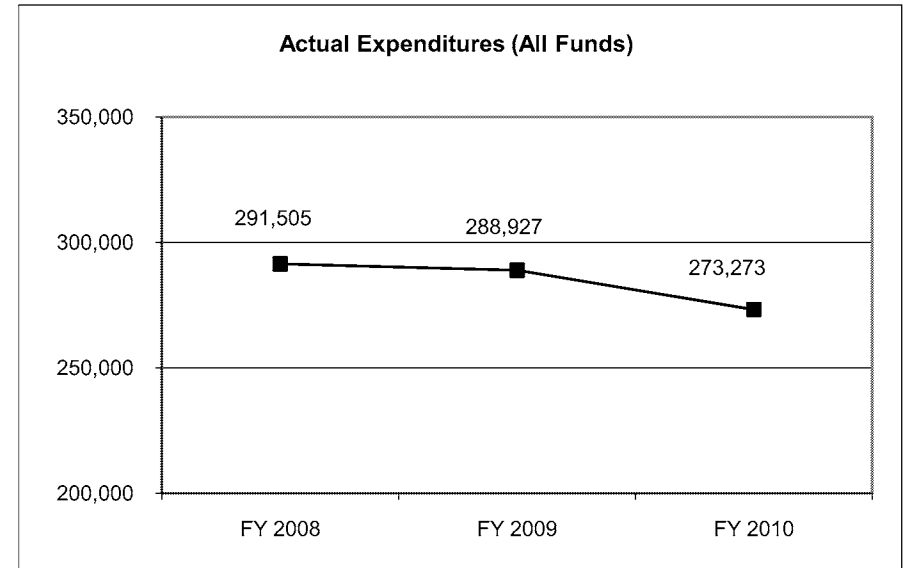
Department of Insurance, Financial Institutions and Professional Registration					Budget Unit 42695C				
Professional Registration									
Core - State Board of Cosmetology and Barber Examiners									
1. CORE FINANCIAL SUMMARY									
FY 2012 Budget Request					FY 2012 Governor's Recommendation				
	GR	Federal	Other	Total		GR	Fed	Other	Total
PS	0	0	0	0	PS	0	0	0	0
EE	0	0	291,273	291,273	EE	0	0	291,273	291,273
PSD	0	0	1,000	1,000 E	PSD	0	0	1,000	1,000 E
TRF	0	0	0	0	TRF	0	0	0	0
Total	0	0	292,273	292,273	Total	0	0	292,273	292,273
FTE	0.00	0.00	0.00	0.00	FTE	0.00	0.00	0.00	0.00
Est. Fringe	0	0	0	0	Est. Fringe	0	0	0	0
Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.					Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.				
Other Funds:	Board of Cosmetology and Barber Examiners Fund (0785)				Other Funds:	Board of Cosmetology and Barber Examiners Fund (0785)			
Notes:	Expense and Equipment includes \$1,000 E for criminal history checks.				Notes:	Expense and Equipment includes \$1,000 E for criminal history checks.			
2. CORE DESCRIPTION									
The core program request is necessary to ensure the continued high quality of service provided by cosmetologists, manicurists, estheticians, cosmetology salons, manicuring schools, esthetians, barbers, and barber schools or colleges licensed in Missouri.									
3. PROGRAM LISTING (list programs included in this core funding)									
State Board of Cosmetology and Barber Examiners									

# CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration      Budget Unit    42695C  
Professional Registration  
Core - State Board of Cosmetology and Barber Examiners

## 4. FINANCIAL HISTORY

	FY 2008 Actual	FY 2009 Actual	FY 2010 Actual	FY 2011 Current Yr.
Appropriation (All Funds)	292,273	292,273	292,273	292,273
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	292,273	292,273	292,273	N/A
Actual Expenditures (All Funds)	291,505	288,927	273,273	N/A
Unexpended (All Funds)	768	3,346	19,000	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	768	3,346	19,000	N/A
			(1)	



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

### NOTES:

(1) Unexpended amount is due to less than anticipated expenditures, investigative costs and legal expenses.

**CORE RECONCILIATION DETAIL**

**DIFP**  
**BD COSMETOLOGY & BARBERS**

**5. CORE RECONCILIATION DETAIL**

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
<b>TAFP AFTER VETOES</b>							
	EE	0.00	0	0	292,273	292,273	
	<b>Total</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>292,273</b>	<b>292,273</b>	
<b>DEPARTMENT CORE REQUEST</b>							
	EE	0.00	0	0	292,273	292,273	
	<b>Total</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>292,273</b>	<b>292,273</b>	
<b>GOVERNOR'S RECOMMENDED CORE</b>							
	EE	0.00	0	0	292,273	292,273	
	<b>Total</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>292,273</b>	<b>292,273</b>	

DIFP

DECISION ITEM DETAIL

Budget Unit	FY 2010	FY 2010	FY 2011	FY 2011	FY 2012	FY 2012	FY 2012	FY 2012
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
<b>BD COSMETOLOGY &amp; BARBERS</b>								
<b>CORE</b>								
TRAVEL, IN-STATE	19,867	0.00	26,073	0.00	29,000	0.00	29,000	0.00
TRAVEL, OUT-OF-STATE	12,070	0.00	16,200	0.00	10,000	0.00	10,000	0.00
SUPPLIES	116,606	0.00	73,800	0.00	73,500	0.00	73,500	0.00
PROFESSIONAL DEVELOPMENT	4,678	0.00	4,000	0.00	4,773	0.00	4,773	0.00
COMMUNICATION SERV & SUPP	16,510	0.00	17,200	0.00	17,000	0.00	17,000	0.00
PROFESSIONAL SERVICES	80,014	0.00	87,500	0.00	90,000	0.00	90,000	0.00
M&R SERVICES	12,646	0.00	10,500	0.00	13,000	0.00	13,000	0.00
MOTORIZED EQUIPMENT	0	0.00	45,000	0.00	45,000	0.00	45,000	0.00
OFFICE EQUIPMENT	369	0.00	900	0.00	400	0.00	400	0.00
BUILDING LEASE PAYMENTS	1,100	0.00	2,400	0.00	1,000	0.00	1,000	0.00
EQUIPMENT RENTALS & LEASES	225	0.00	200	0.00	100	0.00	100	0.00
MISCELLANEOUS EXPENSES	9,188	0.00	8,500	0.00	8,500	0.00	8,500	0.00
<b>TOTAL - EE</b>	<b>273,273</b>	<b>0.00</b>	<b>292,273</b>	<b>0.00</b>	<b>292,273</b>	<b>0.00</b>	<b>292,273</b>	<b>0.00</b>
<b>GRAND TOTAL</b>	<b>\$273,273</b>	<b>0.00</b>	<b>\$292,273</b>	<b>0.00</b>	<b>\$292,273</b>	<b>0.00</b>	<b>\$292,273</b>	<b>0.00</b>
<b>GENERAL REVENUE</b>	<b>\$0</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>
<b>FEDERAL FUNDS</b>	<b>\$0</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>
<b>OTHER FUNDS</b>	<b>\$273,273</b>	<b>0.00</b>	<b>\$292,273</b>	<b>0.00</b>	<b>\$292,273</b>	<b>0.00</b>	<b>\$292,273</b>	<b>0.00</b>

## PROGRAM DESCRIPTION

**Department of Insurance, Financial Institutions and Professional Registration**  
**Board of Cosmetology and Barber Examiners**  
**Program is found in the following core budget(s): Board of Cosmetology and Barber Examiners, Professional Registration Administration**

	Cosmetology Barber	PR Admin	TOTAL
GR			0
FEDERAL			0
OTHER	292,273	679,293	971,566
TOTAL	292,273	679,293	971,566

### 1. What does this program do?

Senate Bill 280 (93rd General Assembly) merged the Board of Cosmetology and the Board of Barber Examiners into the new Board of Cosmetology and Barber Examiners to protect the interests of the citizens of the State of Missouri by licensing and regulating cosmetologists, manicurists, estheticians, cosmetology salons, cosmetology schools, barbers, barber shops and barber schools in the state of Missouri.

Note: The appropriation for personal service and board member per diem is included in the Division of Professional Registration/Administration core appropriation.

### 2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

328.010-328.160, 329.010-329.265 RSMo

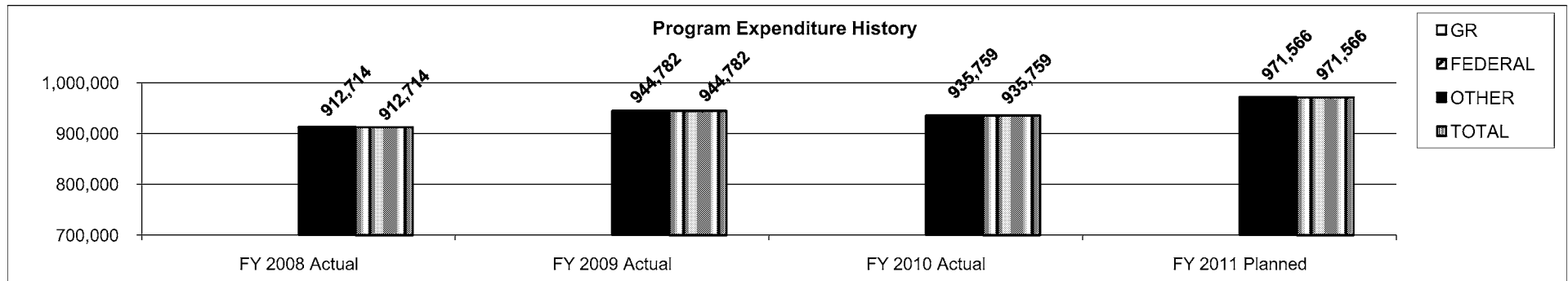
### 3. Are there federal matching requirements? If yes, please explain.

No

### 4. Is this a federally mandated program? If yes, please explain.

No

### 5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



### 6. What are the sources of the "Other " funds?

Board of Cosmetology and Barber Examiners (0785)



## PROGRAM DESCRIPTION

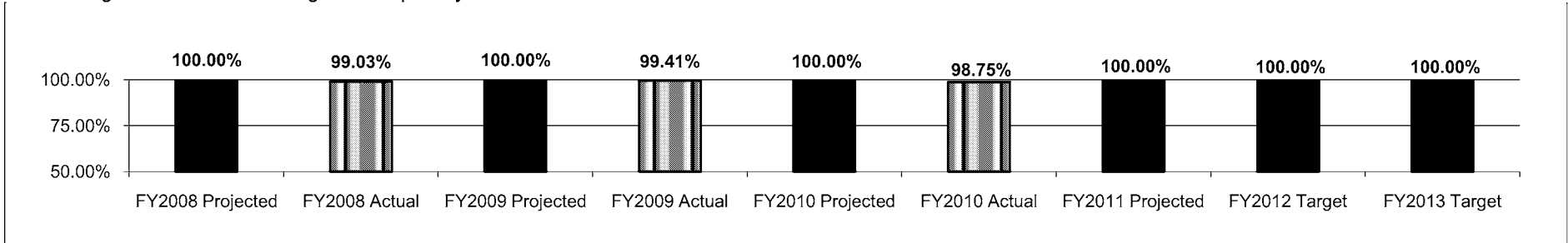
**Department of Insurance, Financial Institutions and Professional Registration**

**Board of Cosmetology and Barber Examiners**

**Program is found in the following core budget(s): Board of Cosmetology and Barber Examiners, Professional Registration Administration**

**7a. Provide an effectiveness measure.**

Percentage of licensees having no disciplinary action



Note: Measure includes all disciplines ranging from a letter of censure to a revocation. Some disciplines may be open-ended and carry over year-to-year.

**7b. Provide an efficiency measure.**

Not available

**7c. Provide the number of clients/individuals served, if applicable.**

	FY2008		FY2009		FY2010		FY2011	FY2012	FY2013
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Proj.	Target	Target
Applications received	9,662	9,848	10,833	10,074	10,603	10,980	11,000	11,000	11,000
Licensed Professionals	81,776	75,921	77,862	80,504	80,100	78,589	80,000	80,000	80,000

**7d. Provide a customer satisfaction measure, if available.**

Not available

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**DIFP****DECISION ITEM SUMMARY**

Budget Unit								
Decision Item	FY 2010	FY 2010	FY 2011	FY 2011	FY 2012	FY 2012	FY 2012	FY 2012
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
<b>MISSOURI DENTAL BOARD</b>								
<b>CORE</b>								
PERSONAL SERVICES								
DENTAL BOARD FUND	283,746	8.84	372,146	8.50	372,146	8.50	372,146	8.50
TOTAL - PS	283,746	8.84	372,146	8.50	372,146	8.50	372,146	8.50
EXPENSE & EQUIPMENT								
DENTAL BOARD FUND	198,628	0.00	262,863	0.00	262,863	0.00	262,863	0.00
TOTAL - EE	198,628	0.00	262,863	0.00	262,863	0.00	262,863	0.00
<b>TOTAL</b>	<b>482,374</b>	<b>8.84</b>	<b>635,009</b>	<b>8.50</b>	<b>635,009</b>	<b>8.50</b>	<b>635,009</b>	<b>8.50</b>
<b>GRAND TOTAL</b>	<b>\$482,374</b>	<b>8.84</b>	<b>\$635,009</b>	<b>8.50</b>	<b>\$635,009</b>	<b>8.50</b>	<b>\$635,009</b>	<b>8.50</b>

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## CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration	Budget Unit	42710C
Professional Registration		
Core - Missouri Dental Board		

### 1. CORE FINANCIAL SUMMARY

FY 2012 Budget Request					FY 2012 Governor's Recommendation				
	GR	Federal	Other	Total		GR	Fed	Other	Total
PS	0	0	372,146	372,146	PS	0	0	372,146	372,146
EE	0	0	262,863	262,863	EE	0	0	262,863	262,863
PSD	0	0	0	0	PSD	0	0	0	0
TRF	0	0	0	0	TRF	0	0	0	0
<b>Total</b>	<b>0</b>	<b>0</b>	<b>635,009</b>	<b>635,009</b>	<b>Total</b>	<b>0</b>	<b>0</b>	<b>635,009</b>	<b>635,009</b>
FTE	0.00	0.00	8.50	8.50	FTE	0.00	0.00	8.50	8.50
<b>Est. Fringe</b>	0	0	207,099	207,099	<b>Est. Fringe</b>	0	0	207,099	207,099
<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>					<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>				
Other Funds:	Dental Board Fund (0677)				Other Funds:	Dental Board Fund (0677)			

### 2. CORE DESCRIPTION

The core program request is necessary to ensure the continued high quality of service provided by dentists and dental hygienists licensed in Missouri.

### 3. PROGRAM LISTING (list programs included in this core funding)

Missouri Dental Board

# CORE DECISION ITEM

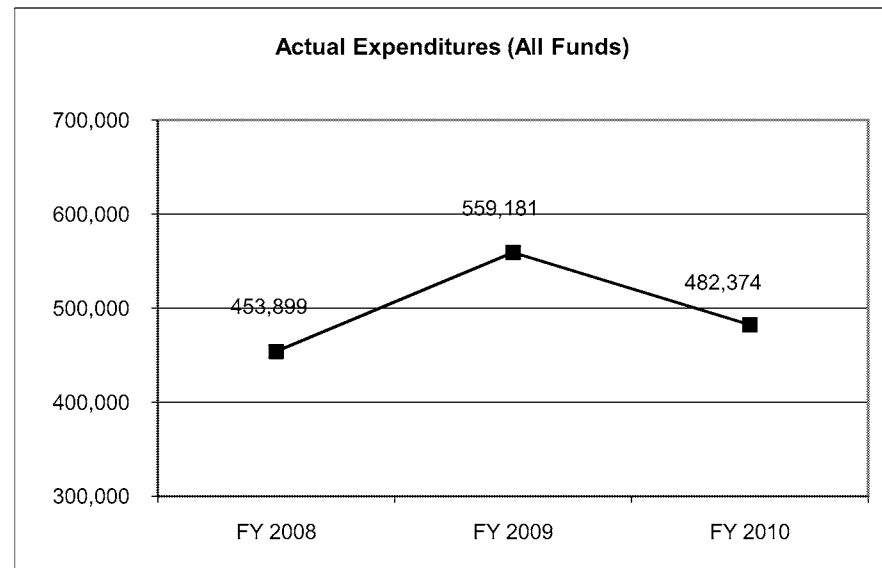
Department of Insurance, Financial Institutions and Professional Registration Budget Unit 42710C

Professional Registration

Core - Missouri Dental Board

## 4. FINANCIAL HISTORY

	FY 2008 Actual	FY 2009 Actual	FY 2010 Actual	FY 2011 Current Yr.
Appropriation (All Funds)	624,168	686,993	635,009	635,009
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	624,168	686,993	635,009	N/A
Actual Expenditures (All Funds)	453,899	559,181	482,374	N/A
Unexpended (All Funds)	170,269	127,812	152,635	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	170,269	127,812	152,635	N/A
	(1)	(1)	(1)	



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

### NOTES:

(1) Unexpended amount due to less than anticipated expenditures and investigative and legal expenses.

**CORE RECONCILIATION DETAIL**

**DIFP  
MISSOURI DENTAL BOARD**

**5. CORE RECONCILIATION DETAIL**

	<b>Budget Class</b>	<b>FTE</b>	<b>GR</b>	<b>Federal</b>	<b>Other</b>	<b>Total</b>	<b>Explanation</b>
<b>TAFP AFTER VETOES</b>							
	PS	8.50	0	0	372,146	372,146	
	EE	0.00	0	0	262,863	262,863	
	<b>Total</b>	<b>8.50</b>	<b>0</b>	<b>0</b>	<b>635,009</b>	<b>635,009</b>	
<b>DEPARTMENT CORE REQUEST</b>							
	PS	8.50	0	0	372,146	372,146	
	EE	0.00	0	0	262,863	262,863	
	<b>Total</b>	<b>8.50</b>	<b>0</b>	<b>0</b>	<b>635,009</b>	<b>635,009</b>	
<b>GOVERNOR'S RECOMMENDED CORE</b>							
	PS	8.50	0	0	372,146	372,146	
	EE	0.00	0	0	262,863	262,863	
	<b>Total</b>	<b>8.50</b>	<b>0</b>	<b>0</b>	<b>635,009</b>	<b>635,009</b>	

DIFP

DECISION ITEM DETAIL

Budget Unit	FY 2010	FY 2010	FY 2011	FY 2011	FY 2012	FY 2012	FY 2012	FY 2012
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
<b>MISSOURI DENTAL BOARD</b>								
<b>CORE</b>								
OFFICE SUPPORT ASST (KEYBRD)	21,433	0.97	29,286	1.00	29,286	1.00	29,286	1.00
ACCOUNT CLERK II	25,417	1.00	28,902	1.00	28,902	1.00	28,902	1.00
EXECUTIVE I	28,154	0.86	37,064	1.00	0	0.00	0	0.00
INVESTIGATOR I	35,316	1.00	35,729	1.00	35,729	1.00	35,729	1.00
INVESTIGATOR II	35,563	0.99	42,520	1.00	42,520	1.00	42,520	1.00
INVESTIGATOR III	39,776	0.99	52,109	1.00	50,109	1.00	50,109	1.00
PROF REG LIC TECH II	30,985	1.22	40,394	1.50	38,894	1.50	38,894	1.50
PROF REG LICENSING/CERT SUPV	0	0.00	0	0.00	37,064	1.00	37,064	1.00
BOARD MEMBER	10,356	0.80	36,770	0.00	36,770	0.00	36,770	0.00
CLERK	3,139	0.15	0	0.00	3,500	0.00	3,500	0.00
PRINCIPAL ASST BOARD/COMMISSON	53,607	0.86	69,372	1.00	69,372	1.00	69,372	1.00
<b>TOTAL - PS</b>	<b>283,746</b>	<b>8.84</b>	<b>372,146</b>	<b>8.50</b>	<b>372,146</b>	<b>8.50</b>	<b>372,146</b>	<b>8.50</b>
TRAVEL, IN-STATE	15,769	0.00	30,000	0.00	26,800	0.00	26,800	0.00
TRAVEL, OUT-OF-STATE	0	0.00	11,000	0.00	11,000	0.00	11,000	0.00
SUPPLIES	8,501	0.00	20,000	0.00	20,000	0.00	20,000	0.00
PROFESSIONAL DEVELOPMENT	7,025	0.00	8,300	0.00	10,000	0.00	10,000	0.00
COMMUNICATION SERV & SUPP	2,863	0.00	6,000	0.00	6,000	0.00	6,000	0.00
PROFESSIONAL SERVICES	156,076	0.00	172,713	0.00	172,713	0.00	172,713	0.00
M&R SERVICES	1,548	0.00	3,500	0.00	3,500	0.00	3,500	0.00
OFFICE EQUIPMENT	0	0.00	1,000	0.00	1,000	0.00	1,000	0.00
OTHER EQUIPMENT	0	0.00	1,500	0.00	1,500	0.00	1,500	0.00
BUILDING LEASE PAYMENTS	1,821	0.00	3,000	0.00	3,000	0.00	3,000	0.00
EQUIPMENT RENTALS & LEASES	0	0.00	350	0.00	350	0.00	350	0.00
MISCELLANEOUS EXPENSES	5,025	0.00	5,500	0.00	7,000	0.00	7,000	0.00
<b>TOTAL - EE</b>	<b>198,628</b>	<b>0.00</b>	<b>262,863</b>	<b>0.00</b>	<b>262,863</b>	<b>0.00</b>	<b>262,863</b>	<b>0.00</b>
<b>GRAND TOTAL</b>	<b>\$482,374</b>	<b>8.84</b>	<b>\$635,009</b>	<b>8.50</b>	<b>\$635,009</b>	<b>8.50</b>	<b>\$635,009</b>	<b>8.50</b>
<b>GENERAL REVENUE</b>	<b>\$0</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>
<b>FEDERAL FUNDS</b>	<b>\$0</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>
<b>OTHER FUNDS</b>	<b>\$482,374</b>	<b>8.84</b>	<b>\$635,009</b>	<b>8.50</b>	<b>\$635,009</b>	<b>8.50</b>	<b>\$635,009</b>	<b>8.50</b>



## PROGRAM DESCRIPTION

**Department of Insurance, Financial Institutions and Professional Registration**

**Missouri Dental Board**

**Program is found in the following core budget(s): Missouri Dental Board**

### 1. What does this program do?

The Missouri Dental Board was established to protect the interests of the citizens of the State of Missouri by licensing and regulating dentists, dental specialists and dental hygienists in the State of Missouri.

### 2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

332.011-332.364 RSMo

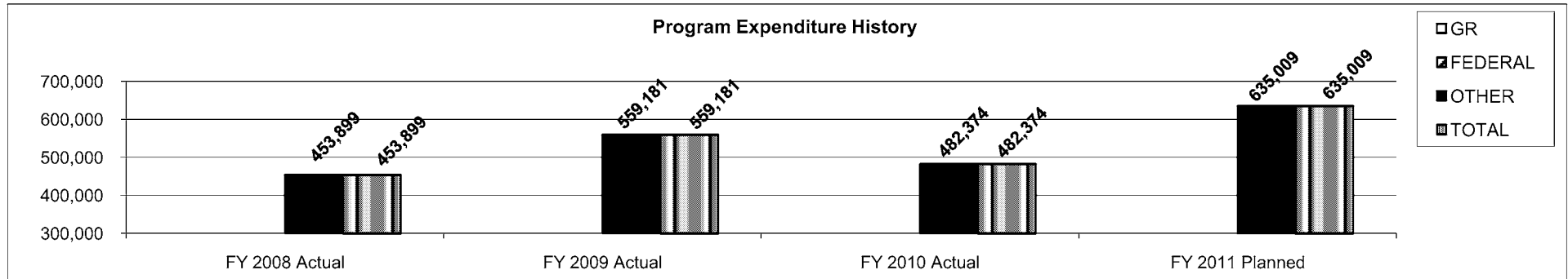
### 3. Are there federal matching requirements? If yes, please explain.

No

### 4. Is this a federally mandated program? If yes, please explain.

No

### 5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



### 6. What are the sources of the "Other " funds?

Dental Board Fund (0677)

## PROGRAM DESCRIPTION

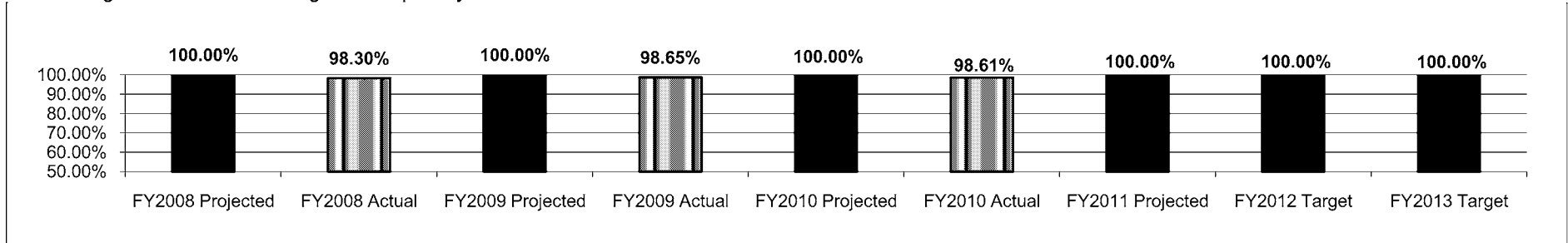
**Department of Insurance, Financial Institutions and Professional Registration**

**Missouri Dental Board**

**Program is found in the following core budget(s): Missouri Dental Board**

**7a. Provide an effectiveness measure.**

Percentage of licensees having no disciplinary action



Note: Measure includes all disciplines ranging from a letter of censure to a revocation. Some disciplines may be open-ended and carry over year-to-year.

**7b. Provide an efficiency measure.**

Not available

**7c. Provide the number of clients/individuals served, if applicable.**

	FY2008		FY2009		FY2010		FY2011	FY2012	FY2013
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Proj.	Target	Target
Applications received	915	588	360	683	635	618	600	600	600
Licensed Professionals	7,367	7,337	6,962	7,460	6,566	8,130	7,500	7,500	7,500

**7d. Provide a customer satisfaction measure, if available.**

Not available

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# DIFP

# DECISION ITEM SUMMARY

Budget Unit									
Decision Item	FY 2010	FY 2010	FY 2011	FY 2011	FY 2012	FY 2012	FY 2012	FY 2012	
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC	
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	
<b>BD OF EMBALMERS &amp; FUNERAL DIR</b>									
<b>CORE</b>									
EXPENSE & EQUIPMENT									
BOARD OF EMBALM & FUN DIR	103,545	0.00	568,844	0.00	209,781	0.00	209,781	0.00	
TOTAL - EE	103,545	0.00	568,844	0.00	209,781	0.00	209,781	0.00	
<b>TOTAL</b>	<b>103,545</b>	<b>0.00</b>	<b>568,844</b>	<b>0.00</b>	<b>209,781</b>	<b>0.00</b>	<b>209,781</b>	<b>0.00</b>	
<b>GRAND TOTAL</b>	<b>\$103,545</b>	<b>0.00</b>	<b>\$568,844</b>	<b>0.00</b>	<b>\$209,781</b>	<b>0.00</b>	<b>\$209,781</b>	<b>0.00</b>	

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## CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration	Budget Unit	42720C
Professional Registration		
Core - State Board of Embalmers and Funeral Directors		

### 1. CORE FINANCIAL SUMMARY

FY 2012 Budget Request					FY 2012 Governor's Recommendation				
	GR	Federal	Other	Total		GR	Fed	Other	Total
PS	0	0	0	0	PS	0	0	0	0
EE	0	0	209,781	209,781	EE	0	0	209,781	209,781
PSD	0	0	0	0	PSD	0	0	0	0
TRF	0	0	0	0	TRF	0	0	0	0
<b>Total</b>	<b>0</b>	<b>0</b>	<b>209,781</b>	<b>209,781</b>	<b>Total</b>	<b>0</b>	<b>0</b>	<b>209,781</b>	<b>209,781</b>
FTE	0.00	0.00	0.00	0.00	FTE	0.00	0.00	0.00	0.00
<b>Est. Fringe</b>	0	0	0	0	<b>Est. Fringe</b>	0	0	0	0
<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>					<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>				

Other Funds: Board of Embalmers & Funeral Directors Fund (0633)

Other Funds: Board of Embalmers & Funeral Directors Fund (0633)

### 2. CORE DESCRIPTION

The core program request is necessary to ensure the continued high quality of service provided by embalmers, funeral directors, funeral establishment, preneed providers and preneed sellers licensed in Missouri.

### 3. PROGRAM LISTING (list programs included in this core funding)

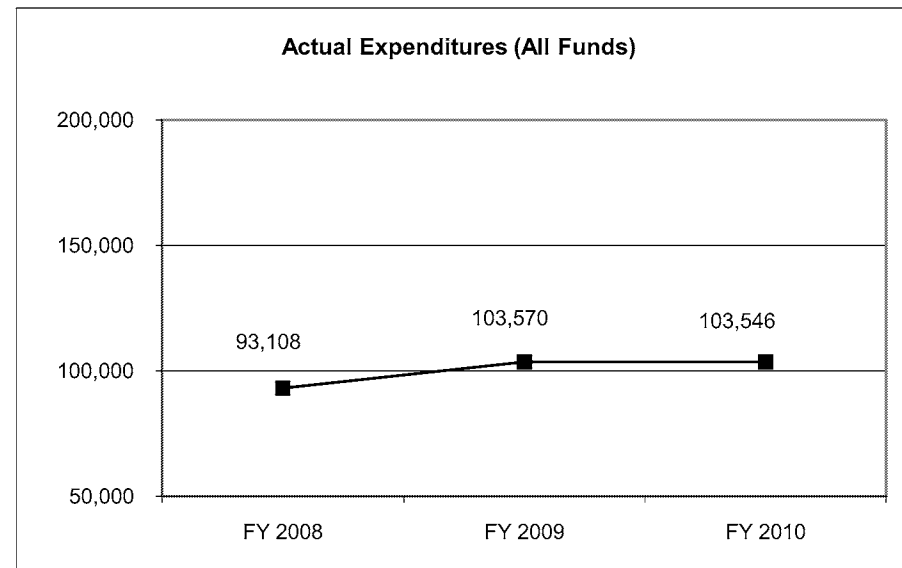
State Board of Embalmers and Funeral Directors

# CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration      Budget Unit 42720C  
Professional Registration  
Core - State Board of Embalmers and Funeral Directors

## 4. FINANCIAL HISTORY

	FY 2008 Actual	FY 2009 Actual	FY 2010 Actual	FY 2011 Current Yr.
Appropriation (All Funds)	145,393	145,393	145,393	568,844
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	145,393	145,393	145,393	N/A
Actual Expenditures (All Funds)	93,108	103,570	103,546	N/A
Unexpended (All Funds)	52,285	41,823	41,847	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	52,285	41,823	41,847	N/A
	(1)	(1)	(1)	(2)



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

### NOTES:

- (1) Unexpended amount due less than anticipated expenditures and investigative and legal expenses.
- (2) FY2011 includes one-time expenditures to implement SB1 (2009).

## CORE RECONCILIATION DETAIL

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### 5. CORE RECONCILIATION DETAIL

		Budget Class	FTE	GR	Federal	Other	Total	Explanation
<b>TAFP AFTER VETOES</b>								
		EE	0.00	0	0	568,844	568,844	
		<b>Total</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>568,844</b>	<b>568,844</b>	
<b>DEPARTMENT CORE ADJUSTMENTS</b>								
1x Expenditures	586 0833	EE	0.00	0	0	(359,063)	(359,063)	1X Expenditures - Implement SB 1 FY2011
<b>NET DEPARTMENT CHANGES</b>			<b>0.00</b>	<b>0</b>	<b>0</b>	<b>(359,063)</b>	<b>(359,063)</b>	
<b>DEPARTMENT CORE REQUEST</b>								
		EE	0.00	0	0	209,781	209,781	
		<b>Total</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>209,781</b>	<b>209,781</b>	
<b>GOVERNOR'S RECOMMENDED CORE</b>								
		EE	0.00	0	0	209,781	209,781	
		<b>Total</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>209,781</b>	<b>209,781</b>	



DIFP

DECISION ITEM DETAIL

Budget Unit	FY 2010	FY 2010	FY 2011	FY 2011	FY 2012	FY 2012	FY 2012	FY 2012
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
<b>BD OF EMBALMERS &amp; FUNERAL DIR</b>								
<b>CORE</b>								
TRAVEL, IN-STATE	20,974	0.00	54,968	0.00	54,968	0.00	54,968	0.00
TRAVEL, OUT-OF-STATE	0	0.00	4,000	0.00	15,000	0.00	15,000	0.00
SUPPLIES	18,420	0.00	13,000	0.00	30,000	0.00	30,000	0.00
PROFESSIONAL DEVELOPMENT	250	0.00	6,300	0.00	15,000	0.00	15,000	0.00
COMMUNICATION SERV & SUPP	2,219	0.00	11,050	0.00	4,057	0.00	4,057	0.00
PROFESSIONAL SERVICES	52,535	0.00	399,885	0.00	80,006	0.00	80,006	0.00
M&R SERVICES	1,322	0.00	1,400	0.00	2,000	0.00	2,000	0.00
COMPUTER EQUIPMENT	0	0.00	16,933	0.00	0	0.00	0	0.00
MOTORIZED EQUIPMENT	0	0.00	28,500	0.00	0	0.00	0	0.00
OFFICE EQUIPMENT	77	0.00	24,930	0.00	200	0.00	200	0.00
PROPERTY & IMPROVEMENTS	2,077	0.00	0	0.00	0	0.00	0	0.00
BUILDING LEASE PAYMENTS	746	0.00	1,550	0.00	1,550	0.00	1,550	0.00
EQUIPMENT RENTALS & LEASES	0	0.00	100	0.00	500	0.00	500	0.00
MISCELLANEOUS EXPENSES	4,925	0.00	6,228	0.00	6,500	0.00	6,500	0.00
<b>TOTAL - EE</b>	<b>103,545</b>	<b>0.00</b>	<b>568,844</b>	<b>0.00</b>	<b>209,781</b>	<b>0.00</b>	<b>209,781</b>	<b>0.00</b>
<b>GRAND TOTAL</b>	<b>\$103,545</b>	<b>0.00</b>	<b>\$568,844</b>	<b>0.00</b>	<b>\$209,781</b>	<b>0.00</b>	<b>\$209,781</b>	<b>0.00</b>
<b>GENERAL REVENUE</b>	<b>\$0</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>
<b>FEDERAL FUNDS</b>	<b>\$0</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>
<b>OTHER FUNDS</b>	<b>\$103,545</b>	<b>0.00</b>	<b>\$568,844</b>	<b>0.00</b>	<b>\$209,781</b>	<b>0.00</b>	<b>\$209,781</b>	<b>0.00</b>

## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

State Board of Embalmers and Funeral Directors

Program is found in the following core budget(s): State Board of Embalmers and Funeral Directors, Professional Registration Administration

	Emb & FDs	PR Admin	TOTAL
GR			0
FEDERAL			0
OTHER	568,844	390,119	958,963
TOTAL	568,844	390,119	958,963

### 1. What does this program do?

The State Board of Embalmers and Funeral Directors was established to protect the interests of the citizens of the state of Missouri by licensing and regulating embalmers, funeral directors, funeral establishments, preneed sellers and preneed providers in the State of Missouri.

Note: The appropriation for board personnel and board member per diem is included in the Division/Administration core appropriation.

### 2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

331.011-331.261 RSMo

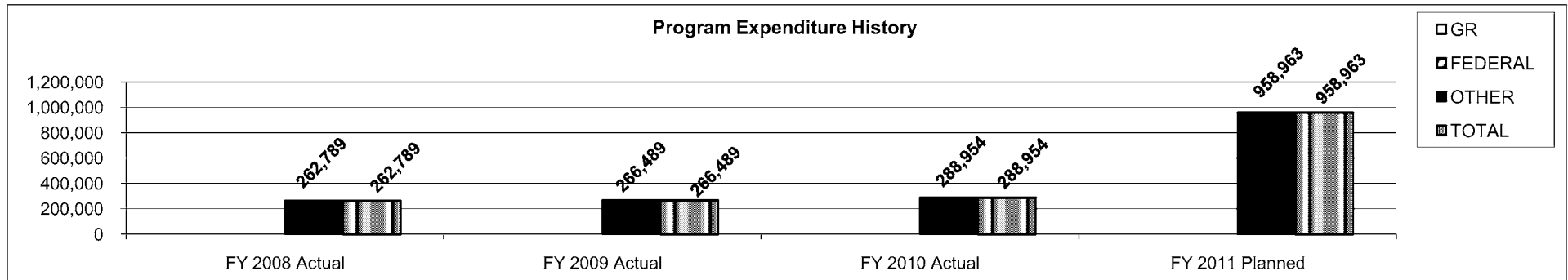
### 3. Are there federal matching requirements? If yes, please explain.

No

### 4. Is this a federally mandated program? If yes, please explain.

No

### 5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



### 6. What are the sources of the "Other " funds?

Board of Embalmers and Funeral Directors (0633)

## PROGRAM DESCRIPTION

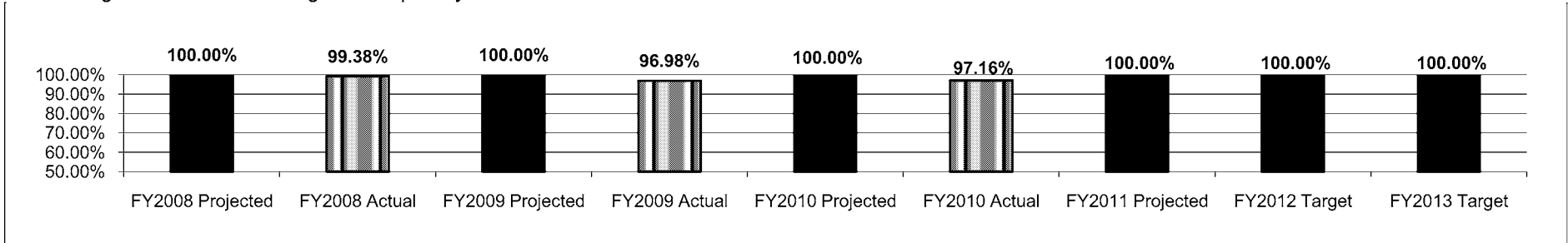
**Department of Insurance, Financial Institutions and Professional Registration**

**State Board of Embalmers and Funeral Directors**

**Program is found in the following core budget(s): State Board of Embalmers and Funeral Directors, Professional Registration Administration**

**7a. Provide an effectiveness measure.**

Percentage of licensees having no disciplinary action



Note: Beginning with the FY2010 budget, the board does not include permanent revocations, public letters of censure, or compliant HB 600 violations.

**7b. Provide an efficiency measure.**

Not available

**7c. Provide the number of clients/individuals served, if applicable.**

	FY2008		FY2009		FY2010		FY2011	FY2012	FY2013
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Proj.	Target	Target
Applications received	327	297	319	241	319	1,460	295	295	295
Licensed Professionals	5,100	5,250	6,183	5,137	6,183	5,995	5,995	5,995	5,995

**7d. Provide a customer satisfaction measure, if available.**

Not available

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**DIFP****DECISION ITEM SUMMARY**

Budget Unit								
Decision Item	FY 2010	FY 2010	FY 2011	FY 2011	FY 2012	FY 2012	FY 2012	FY 2012
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
<b>BD OF REG FOR THE HEALING ART</b>								
<b>CORE</b>								
PERSONAL SERVICES								
BOARD OF REG FOR HEALING ARTS	1,567,402	40.52	1,722,115	43.00	1,722,115	43.00	1,722,115	43.00
TOTAL - PS	1,567,402	40.52	1,722,115	43.00	1,722,115	43.00	1,722,115	43.00
EXPENSE & EQUIPMENT								
BOARD OF REG FOR HEALING ARTS	638,752	0.00	759,494	0.00	759,494	0.00	759,494	0.00
TOTAL - EE	638,752	0.00	759,494	0.00	759,494	0.00	759,494	0.00
<b>TOTAL</b>	<b>2,206,154</b>	<b>40.52</b>	<b>2,481,609</b>	<b>43.00</b>	<b>2,481,609</b>	<b>43.00</b>	<b>2,481,609</b>	<b>43.00</b>
<b>GRAND TOTAL</b>	<b>\$2,206,154</b>	<b>40.52</b>	<b>\$2,481,609</b>	<b>43.00</b>	<b>\$2,481,609</b>	<b>43.00</b>	<b>\$2,481,609</b>	<b>43.00</b>

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## CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration	Budget Unit	42730C
Professional Registration		
Core - State Board of Registration for the Healing Arts		

### 1. CORE FINANCIAL SUMMARY

FY 2012 Budget Request					FY 2012 Governor's Recommendation				
	GR	Federal	Other	Total		GR	Fed	Other	Total
PS	0	0	1,722,115	1,722,115	PS	0	0	1,722,115	1,722,115
EE	0	0	759,494	759,494	EE	0	0	759,494	759,494
PSD	0	0		0	PSD	0	0	0	0
TRF	0	0	0	0	TRF	0	0	0	0
<b>Total</b>	<b>0</b>	<b>0</b>	<b>2,481,609</b>	<b>2,481,609</b>	<b>Total</b>	<b>0</b>	<b>0</b>	<b>2,481,609</b>	<b>2,481,609</b>
FTE	0.00	0.00	43.00	43.00	FTE	0.00	0.00	43.00	43.00
<b>Est. Fringe</b>	0	0	958,357	958,357	<b>Est. Fringe</b>	0	0	958,357	958,357
<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>					<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>				

Other Funds: Board of Registration for the Healing Arts Fund (0634)

Other Funds: Board of Registration for the Healing Arts Fund (0634)

### 2. CORE DESCRIPTION

The core program request is necessary to ensure the continued high quality of service provided by physicians, physician assistants, physical therapists, physical therapist assistants, athletic trainers, speech language pathologists, speech language pathology assistants, speech language pathology aides, audiology aides, perfusionists, audiologists and anesthesiology assistants licensed in Missouri.

### 3. PROGRAM LISTING (list programs included in this core funding)

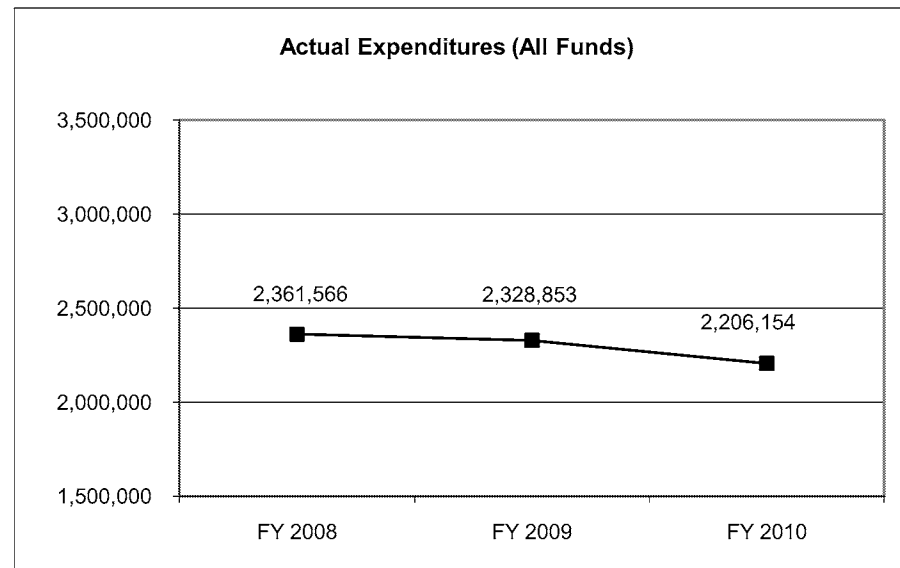
State Board of Registration for the Healing Arts

# CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration      Budget Unit 42730C  
Professional Registration  
Core - State Board of Registration for the Healing Arts

## 4. FINANCIAL HISTORY

	FY 2008 Actual	FY 2009 Actual	FY 2010 Actual	FY 2011 Current Yr.
Appropriation (All Funds)	2,512,803	2,569,569	2,506,569	2,481,609
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	2,512,803	2,569,569	2,506,569	N/A
Actual Expenditures (All Funds)	2,361,566	2,328,853	2,206,154	N/A
Unexpended (All Funds)	151,237	240,716	300,415	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	151,237	240,716	300,415	N/A
	(1)	(1)	(1)	



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

### NOTES:

(1) Unexpended amount due to staff turnover and less than anticipated expenditures and investigative legal expenses.



**CORE RECONCILIATION DETAIL**

DIFP

BD OF REG FOR THE HEALING ART

**5. CORE RECONCILIATION DETAIL**

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
<b>TAFP AFTER VETOES</b>							
	PS	43.00	0	0	1,722,115	1,722,115	
	EE	0.00	0	0	759,494	759,494	
	<b>Total</b>	<b>43.00</b>	<b>0</b>	<b>0</b>	<b>2,481,609</b>	<b>2,481,609</b>	
<b>DEPARTMENT CORE REQUEST</b>							
	PS	43.00	0	0	1,722,115	1,722,115	
	EE	0.00	0	0	759,494	759,494	
	<b>Total</b>	<b>43.00</b>	<b>0</b>	<b>0</b>	<b>2,481,609</b>	<b>2,481,609</b>	
<b>GOVERNOR'S RECOMMENDED CORE</b>							
	PS	43.00	0	0	1,722,115	1,722,115	
	EE	0.00	0	0	759,494	759,494	
	<b>Total</b>	<b>43.00</b>	<b>0</b>	<b>0</b>	<b>2,481,609</b>	<b>2,481,609</b>	

DIFP

DECISION ITEM DETAIL

Budget Unit	FY 2010	FY 2010	FY 2011	FY 2011	FY 2012	FY 2012	FY 2012	FY 2012
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
<b>BD OF REG FOR THE HEALING ART</b>								
<b>CORE</b>								
ADMIN OFFICE SUPPORT ASSISTANT	87,921	3.02	89,887	3.00	89,887	3.00	89,887	3.00
OFFICE SUPPORT ASST (STENO)	45,258	1.88	49,437	2.00	49,437	2.00	49,437	2.00
SR OFC SUPPORT ASST (STENO)	28,056	1.00	28,834	1.00	28,834	1.00	28,834	1.00
OFFICE SUPPORT ASST (KEYBRD)	96,280	4.33	126,389	6.00	133,320	6.00	133,320	6.00
SR OFC SUPPORT ASST (KEYBRD)	26,751	1.09	25,796	1.00	25,796	1.00	25,796	1.00
INFORMATION SUPPORT COOR	27,660	1.00	28,895	1.00	28,895	1.00	28,895	1.00
ACCOUNT CLERK II	12,900	0.50	13,500	0.50	13,500	0.50	13,500	0.50
MEDICAL CNSLT	168,996	1.50	219,708	2.00	219,708	2.00	219,708	2.00
MEDICAL DIR	125,316	1.00	126,830	1.00	126,830	1.00	126,830	1.00
INVESTIGATOR II	528,134	13.96	531,903	14.00	530,650	14.00	530,650	14.00
INVESTIGATOR III	45,984	1.00	47,174	1.00	47,174	1.00	47,174	1.00
PROF REG LIC TECH I	46,478	2.06	59,450	2.50	59,450	2.50	59,450	2.50
PROF REG LIC TECH II	50,760	2.00	56,438	2.00	50,760	2.00	50,760	2.00
PROF REG LICENSING/CERT SUPV	32,856	1.00	34,239	1.00	34,239	1.00	34,239	1.00
PROF REG ADMSTV COOR	37,968	1.00	38,654	1.00	38,654	1.00	38,654	1.00
INVESTIGATION MGR B1	54,236	1.00	54,236	1.00	54,236	1.00	54,236	1.00
PARALEGAL	24,723	0.85	30,093	1.00	30,093	1.00	30,093	1.00
LEGAL COUNSEL	43,390	0.79	57,559	1.00	57,559	1.00	57,559	1.00
BOARD MEMBER	6,409	0.49	16,970	0.00	16,970	0.00	16,970	0.00
CLERK	1,043	0.05	9,835	0.00	9,835	0.00	9,835	0.00
PRINCIPAL ASST BOARD/COMMISSON	76,283	1.00	76,288	1.00	76,288	1.00	76,288	1.00
<b>TOTAL - PS</b>	<b>1,567,402</b>	<b>40.52</b>	<b>1,722,115</b>	<b>43.00</b>	<b>1,722,115</b>	<b>43.00</b>	<b>1,722,115</b>	<b>43.00</b>
TRAVEL, IN-STATE	19,273	0.00	28,000	0.00	28,000	0.00	28,000	0.00
TRAVEL, OUT-OF-STATE	4,893	0.00	8,245	0.00	5,000	0.00	5,000	0.00
SUPPLIES	84,957	0.00	84,500	0.00	92,500	0.00	92,500	0.00
PROFESSIONAL DEVELOPMENT	9,375	0.00	12,500	0.00	12,500	0.00	12,500	0.00
COMMUNICATION SERV & SUPP	35,157	0.00	47,500	0.00	47,500	0.00	47,500	0.00
PROFESSIONAL SERVICES	440,500	0.00	508,094	0.00	516,339	0.00	516,339	0.00
M&R SERVICES	11,770	0.00	17,500	0.00	17,500	0.00	17,500	0.00
MOTORIZED EQUIPMENT	21,320	0.00	35,000	0.00	22,000	0.00	22,000	0.00
OFFICE EQUIPMENT	128	0.00	1,000	0.00	1,000	0.00	1,000	0.00
BUILDING LEASE PAYMENTS	3,924	0.00	4,000	0.00	4,000	0.00	4,000	0.00

# DIFP

# DECISION ITEM DETAIL

Budget Unit	FY 2010	FY 2010	FY 2011	FY 2011	FY 2012	FY 2012	FY 2012	FY 2012
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
<b>BD OF REG FOR THE HEALING ART</b>								
<b>CORE</b>								
EQUIPMENT RENTALS & LEASES	1,520	0.00	3,850	0.00	3,850	0.00	3,850	0.00
MISCELLANEOUS EXPENSES	5,935	0.00	9,305	0.00	9,305	0.00	9,305	0.00
<b>TOTAL - EE</b>	<b>638,752</b>	<b>0.00</b>	<b>759,494</b>	<b>0.00</b>	<b>759,494</b>	<b>0.00</b>	<b>759,494</b>	<b>0.00</b>
<b>GRAND TOTAL</b>	<b>\$2,206,154</b>	<b>40.52</b>	<b>\$2,481,609</b>	<b>43.00</b>	<b>\$2,481,609</b>	<b>43.00</b>	<b>\$2,481,609</b>	<b>43.00</b>
<b>GENERAL REVENUE</b>								
	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
<b>FEDERAL FUNDS</b>								
	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
<b>OTHER FUNDS</b>								
	\$2,206,154	40.52	\$2,481,609	43.00	\$2,481,609	43.00	\$2,481,609	43.00

## PROGRAM DESCRIPTION

**Department of Insurance, Financial Institutions and Professional Registration**

**State Board of Registration for the Healing Arts**

**Program is found in the following core budget(s): State Board of Registration for the Healing Arts**

### 1. What does this program do?

The State Board of Registration for the Healing Arts was established to protect the interests of the citizens of the State of Missouri by licensing and regulating physicians, physician assistants, physical therapists, physical therapist assistants, athletic trainers, speech language pathologists, speech language pathology assistants, speech language pathology aides, audiology aides, perfusionists, audiologists and anesthesiologist assistants in the State of Missouri.

### 2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

324.125-324.183, 334.002-334.749, and 345.010-345.080, RSMo

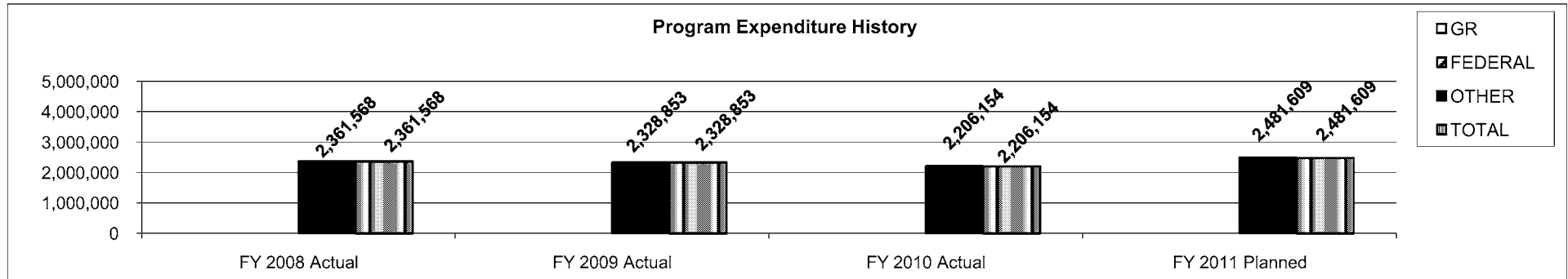
### 3. Are there federal matching requirements? If yes, please explain.

No

### 4. Is this a federally mandated program? If yes, please explain.

No

### 5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



### 6. What are the sources of the "Other " funds?

Board of Registration for the Healing Arts (0634)

## PROGRAM DESCRIPTION

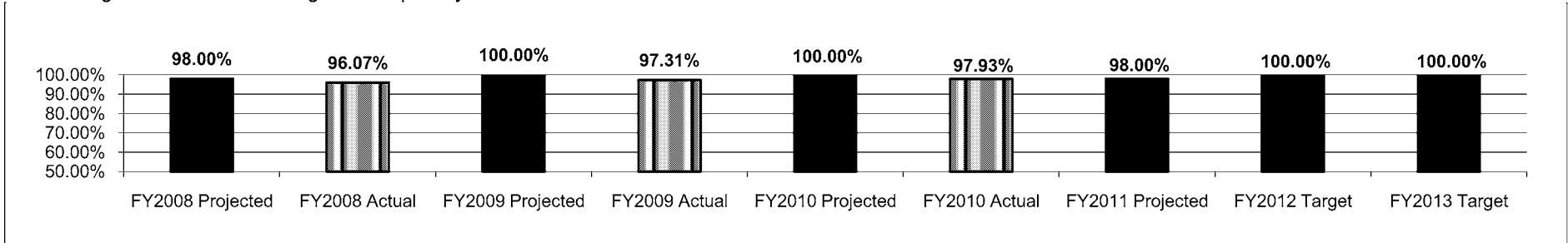
**Department of Insurance, Financial Institutions and Professional Registration**

**State Board of Registration for the Healing Arts**

**Program is found in the following core budget(s): State Board of Registration for the Healing Arts**

**7a. Provide an effectiveness measure.**

Percentage of licensees having no disciplinary action



Note: Measure includes all disciplines ranging from a letter of censure to a revocation. Some disciplines may be open-ended and carry over year-to-year.

**7b. Provide an efficiency measure.**

Not available

**7c. Provide the number of clients/individuals served, if applicable.**

	FY2008		FY2009		FY2010		FY2011	FY2012	FY2013
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Proj.	Target	Target
Applications received	3,510	3,652	3,639	3,683	3,639	3,784	3,766	3,766	3,766
Licensed Professionals	35,500	36,053	34,472	37,516	37,516	38,465	38,465	38,465	38,465

**7d. Provide a customer satisfaction measure, if available.**

Not available



**DIFP**

**DECISION ITEM SUMMARY**

Budget Unit								
Decision Item	FY 2010	FY 2010	FY 2011	FY 2011	FY 2012	FY 2012	FY 2012	FY 2012
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
<b>BOARD OF NURSING</b>								
<b>CORE</b>								
PERSONAL SERVICES								
BOARD OF NURSING	992,303	28.46	1,035,738	28.00	1,035,738	28.00	1,185,738	28.00
TOTAL - PS	992,303	28.46	1,035,738	28.00	1,035,738	28.00	1,185,738	28.00
EXPENSE & EQUIPMENT								
BOARD OF NURSING	518,524	0.00	752,496	0.00	752,496	0.00	602,496	0.00
TOTAL - EE	518,524	0.00	752,496	0.00	752,496	0.00	602,496	0.00
<b>TOTAL</b>	<b>1,510,827</b>	<b>28.46</b>	<b>1,788,234</b>	<b>28.00</b>	<b>1,788,234</b>	<b>28.00</b>	<b>1,788,234</b>	<b>28.00</b>
<b>Caring for Missourians - 1375001</b>								
PROGRAM-SPECIFIC								
BOARD OF NURSING	0	0.00	0	0.00	0	0.00	1,000,000	0.00
TOTAL - PD	0	0.00	0	0.00	0	0.00	1,000,000	0.00
<b>TOTAL</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>1,000,000</b>	<b>0.00</b>
<b>GRAND TOTAL</b>	<b>\$1,510,827</b>	<b>28.46</b>	<b>\$1,788,234</b>	<b>28.00</b>	<b>\$1,788,234</b>	<b>28.00</b>	<b>\$2,788,234</b>	<b>28.00</b>

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## CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration					Budget Unit <u>42740C</u>				
Professional Registration									
Core - State Board of Nursing									
<b>1. CORE FINANCIAL SUMMARY</b>									
<b>FY 2012 Budget Request</b>					<b>FY 2012 Governor's Recommendation</b>				
	GR	Federal	Other	Total		GR	Fed	Other	Total
PS	0	0	1,035,738	1,035,738	PS	0	0	1,185,738	1,185,738
EE	0	0	752,496	752,496	EE	0	0	602,496	602,496
PSD	0	0		0	PSD	0	0	0	0
TRF	0	0	0	0	TRF	0	0	0	0
<b>Total</b>	<b>0</b>	<b>0</b>	<b>1,788,234</b>	<b>1,788,234</b>	<b>Total</b>	<b>0</b>	<b>0</b>	<b>1,788,234</b>	<b>1,788,234</b>
FTE	0.00	0.00	28.00	28.00	FTE	0.00	0.00	28.00	28.00
<b>Est. Fringe</b>	0	0	576,388	576,388	<b>Est. Fringe</b>	0	0	659,863	659,863
<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>					<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>				
Other Funds: State Board of Nursing Fund (0635)					Other Funds: State Board of Nursing Fund (0635)				
<b>2. CORE DESCRIPTION</b>									
<p>The core program request is necessary to ensure the continued high quality of service provided by registered professional nurses and practical nurses licensed in Missouri.</p> <p><u>Core Reallocation</u> \$150,000 in Expense and Equipment appropriation has been reallocated to Personal Service appropriation to allow the board to continue its efforts to timely close cases. The board hired additional professional staff vs. support staff in the past several years (with no increase in FTE) in order to effectively double the amount of cases resolved and shorten the amount of time cases are open.</p>									
<b>3. PROGRAM LISTING (list programs included in this core funding)</b>									
State Board of Nursing									



# CORE DECISION ITEM

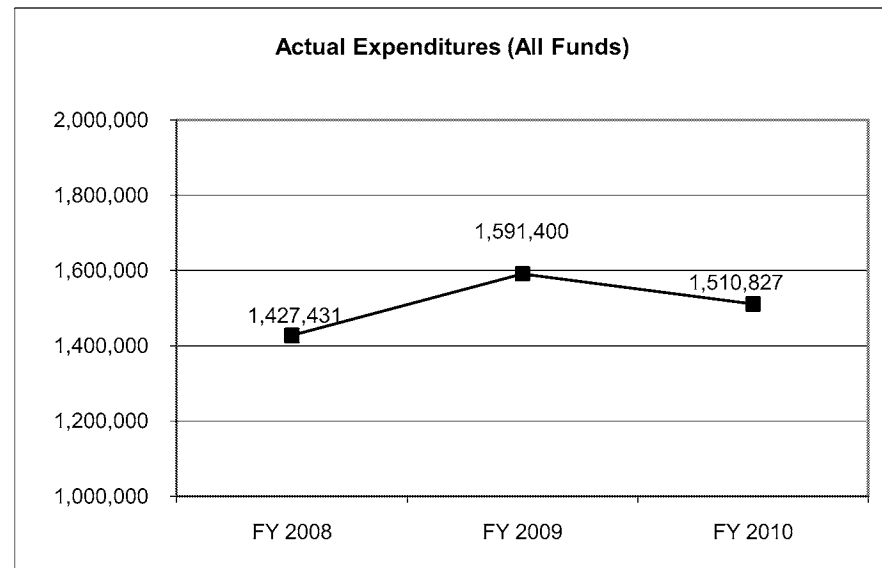
Department of Insurance, Financial Institutions and Professional Registration Budget Unit 42740C

Professional Registration

Core - State Board of Nursing

## 4. FINANCIAL HISTORY

	FY 2008 Actual	FY 2009 Actual	FY 2010 Actual	FY 2011 Current Yr.
Appropriation (All Funds)	1,933,045	2,013,341	1,788,234	1,788,234
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	1,933,045	2,013,341	1,788,234	N/A
Actual Expenditures (All Funds)	1,427,431	1,591,400	1,510,827	N/A
Unexpended (All Funds)	505,614	421,941	277,407	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	505,614	421,941	277,407	N/A
	(1)	(1)	(1)	



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

### NOTES:

(1) Unexpended amount is due to staff turnover, less than anticipated expenditures and investigative and legal expenses.

## CORE RECONCILIATION DETAIL

DIFP  
BOARD OF NURSING

### 5. CORE RECONCILIATION DETAIL

		Budget Class	FTE	GR	Federal	Other	Total	Explanation
<b>TAFP AFTER VETOES</b>								
		PS	28.00	0	0	1,035,738	1,035,738	
		EE	0.00	0	0	752,496	752,496	
		<b>Total</b>	<b>28.00</b>	<b>0</b>	<b>0</b>	<b>1,788,234</b>	<b>1,788,234</b>	
<b>DEPARTMENT CORE REQUEST</b>								
		PS	28.00	0	0	1,035,738	1,035,738	
		EE	0.00	0	0	752,496	752,496	
		<b>Total</b>	<b>28.00</b>	<b>0</b>	<b>0</b>	<b>1,788,234</b>	<b>1,788,234</b>	
<b>GOVERNOR'S ADDITIONAL CORE ADJUSTMENTS</b>								
Core Reallocation	1794 3675	PS	0.00	0	0	150,000	150,000	Reallocate EE to PS to accommodate increased need for in-house legal positions.
Core Reallocation	1794 2244	EE	0.00	0	0	(150,000)	(150,000)	Reallocate EE to PS to accommodate increased need for in-house legal positions.
<b>NET GOVERNOR CHANGES</b>			<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	
<b>GOVERNOR'S RECOMMENDED CORE</b>								
		PS	28.00	0	0	1,185,738	1,185,738	
		EE	0.00	0	0	602,496	602,496	
		<b>Total</b>	<b>28.00</b>	<b>0</b>	<b>0</b>	<b>1,788,234</b>	<b>1,788,234</b>	

DIFP

DECISION ITEM DETAIL

Budget Unit	FY 2010	FY 2010	FY 2011	FY 2011	FY 2012	FY 2012	FY 2012	FY 2012
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
<b>BOARD OF NURSING</b>								
<b>CORE</b>								
OFFICE SUPPORT ASST (KEYBRD)	59,096	2.56	72,000	3.00	70,000	3.00	70,000	3.00
SR OFC SUPPORT ASST (KEYBRD)	98,000	3.77	106,000	4.00	77,000	3.00	77,000	3.00
EXECUTIVE I	31,176	1.00	33,000	1.00	32,000	1.00	32,000	1.00
REGISTERED NURSE VI	171,308	3.02	175,000	3.00	172,000	3.00	172,000	3.00
INVESTIGATOR II	147,983	3.99	150,000	4.00	150,000	4.00	150,000	4.00
INVESTIGATOR III	49,104	1.00	55,000	1.00	53,238	1.00	53,238	1.00
PROF REG LIC TECH I	113,815	5.00	115,000	5.00	115,000	5.00	115,000	5.00
PROF REG LIC TECH II	25,380	1.00	27,000	1.00	27,000	1.00	27,000	1.00
PROF REG LICENSING/CERT SUPV	30,096	1.00	33,000	1.00	33,000	1.00	33,000	1.00
PROF REG ADMSTV COOR	40,212	1.00	40,500	1.00	40,500	1.00	40,500	1.00
PARALEGAL	32,369	1.04	32,500	1.00	61,000	2.00	91,000	2.00
LEGAL COUNSEL	104,667	2.00	106,597	2.00	106,000	2.00	226,000	2.00
BOARD MEMBER	6,774	0.52	18,000	0.00	15,000	0.00	15,000	0.00
CLERK	12,376	0.56	0	0.00	13,000	0.00	13,000	0.00
PRINCIPAL ASST BOARD/COMMISSON	69,947	1.00	72,141	1.00	71,000	1.00	71,000	1.00
<b>TOTAL - PS</b>	<b>992,303</b>	<b>28.46</b>	<b>1,035,738</b>	<b>28.00</b>	<b>1,035,738</b>	<b>28.00</b>	<b>1,185,738</b>	<b>28.00</b>
TRAVEL, IN-STATE	17,015	0.00	40,000	0.00	30,000	0.00	30,000	0.00
TRAVEL, OUT-OF-STATE	13,452	0.00	22,000	0.00	22,000	0.00	22,000	0.00
SUPPLIES	59,459	0.00	136,496	0.00	135,000	0.00	135,000	0.00
PROFESSIONAL DEVELOPMENT	19,044	0.00	45,000	0.00	30,000	0.00	30,000	0.00
COMMUNICATION SERV & SUPP	25,261	0.00	20,000	0.00	20,000	0.00	20,000	0.00
PROFESSIONAL SERVICES	355,398	0.00	454,990	0.00	480,396	0.00	330,396	0.00
M&R SERVICES	6,823	0.00	5,000	0.00	5,000	0.00	5,000	0.00
OFFICE EQUIPMENT	1,475	0.00	10,000	0.00	5,000	0.00	5,000	0.00
OTHER EQUIPMENT	1,297	0.00	10	0.00	100	0.00	100	0.00
PROPERTY & IMPROVEMENTS	0	0.00	5,000	0.00	5,000	0.00	5,000	0.00
BUILDING LEASE PAYMENTS	5,330	0.00	5,000	0.00	10,000	0.00	10,000	0.00
EQUIPMENT RENTALS & LEASES	7,398	0.00	2,000	0.00	3,000	0.00	3,000	0.00

# DIFP

# DECISION ITEM DETAIL

Budget Unit	FY 2010	FY 2010	FY 2011	FY 2011	FY 2012	FY 2012	FY 2012	FY 2012
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
<b>BOARD OF NURSING</b>								
<b>CORE</b>								
MISCELLANEOUS EXPENSES	6,572	0.00	7,000	0.00	7,000	0.00	7,000	0.00
TOTAL - EE	518,524	0.00	752,496	0.00	752,496	0.00	602,496	0.00
GRAND TOTAL	\$1,510,827	28.46	\$1,788,234	28.00	\$1,788,234	28.00	\$1,788,234	28.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
OTHER FUNDS	\$1,510,827	28.46	\$1,788,234	28.00	\$1,788,234	28.00	\$1,788,234	28.00

## PROGRAM DESCRIPTION

**Department of Insurance, Financial Institutions and Professional Registration**

**State Board of Nursing**

**Program is found in the following core budget(s): State Board of Nursing**

**1. What does this program do?**

The State Board of Nursing was established to protect the interests of the citizens of the State of Missouri by licensing and regulating registered professional nurses and licensed practical nurses in the State of Missouri.

**2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)**

335.011-335.257 RSMo

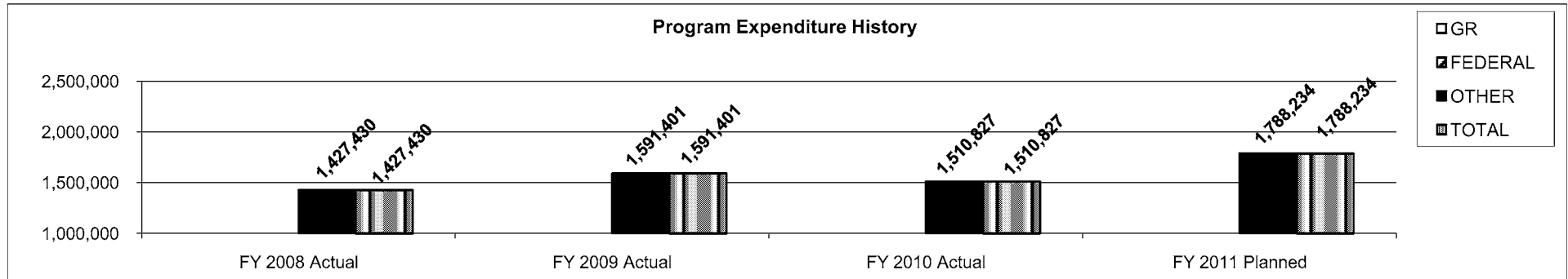
**3. Are there federal matching requirements? If yes, please explain.**

No

**4. Is this a federally mandated program? If yes, please explain.**

No

**5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.**



**6. What are the sources of the "Other " funds?**

State Board of Nursing Fund (0635)

## PROGRAM DESCRIPTION

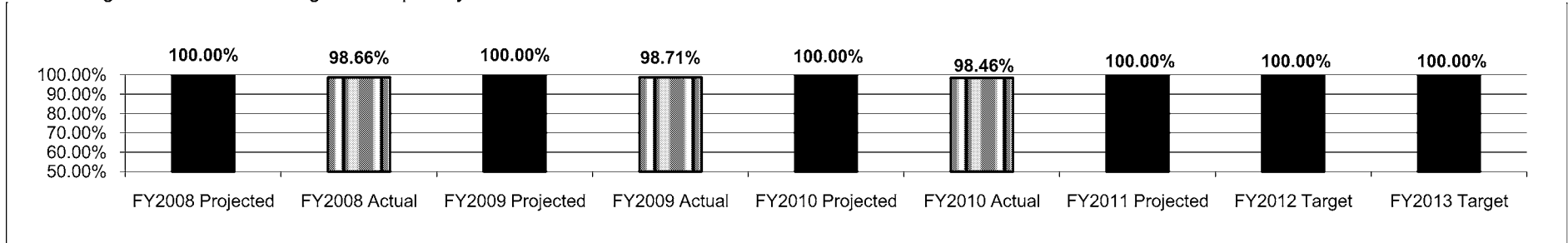
**Department of Insurance, Financial Institutions and Professional Registration**

**State Board of Nursing**

**Program is found in the following core budget(s): State Board of Nursing**

**7a. Provide an effectiveness measure.**

Percentage of licensees having no disciplinary action



Note: Measure includes all disciplines ranging from a letter of censure to a revocation. Some disciplines may be open-ended and carry over year-to-year.

**7b. Provide an efficiency measure.**

Not available

**7c. Provide the number of clients/individuals served, if applicable.**

	FY2008		FY2009		FY2010		FY2011	FY2012	FY2013
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Proj.	Target	Target
Applications received	8,500	8,305	6,750	8,632	8,200	9,250	8,300	8,400	8,500
Licensed Professionals	110,000	115,960	115,000	117,481	110,000	125,302	119,000	120,000	121,000

**7d. Provide a customer satisfaction measure, if available.**

Not available



NEW DECISION ITEM  
RANK: 2 OF 5

Department of Insurance, Financial Institutions & Professional Registration	Budget Unit	42740C
Professional Registration		
Caring for Missourians - State Board of Nursing	DI#1375001	

**1. AMOUNT OF REQUEST**

	FY 2012 Budget Request			
	GR	Federal	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	0	0
TRF	0	0	0	0
<b>Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
 FTE	 0.00	 0.00	 0.00	 0.00

<b>Est. Fringe</b>	0	0	0	0
--------------------	---	---	---	---

*Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.*

Other Funds:

	FY 2012 Governor's Recommendation			
	GR	Federal	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	0	1,000,000
TRF	0	0	0	0
<b>Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1,000,000</b>
 FTE	 0.00	 0.00	 0.00	 0.00

<b>Est. Fringe</b>	0	0	0	0
--------------------	---	---	---	---

*Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.*

Other Funds: State Board of Nursing Fund (0635)

**2. THIS REQUEST CAN BE CATEGORIZED AS:**

<input checked="" type="checkbox"/> New Legislation	<input type="checkbox"/> New Program	<input type="checkbox"/> Fund Switch
<input type="checkbox"/> Federal Mandate	<input type="checkbox"/> Program Expansion	<input type="checkbox"/> Cost to Continue
<input type="checkbox"/> GR Pick-Up	<input type="checkbox"/> Space Request	<input type="checkbox"/> Equipment Replacement
<input type="checkbox"/> Pay Plan	<input type="checkbox"/> Other: _____	

**3. WHY IS THIS FUNDING NEEDED? PROVIDE AN EXPLANATION FOR ITEMS CHECKED IN #2. INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM.**

To fund the Caring for Missourians Program to provide grants to public institutions of higher education that offer nursing programs that meet predetermined categories and areas of need as determined by the State Board of Nursing and the Department of Higher Education. In establishing categories and areas of need, the board and department may consider criteria including, but not limited to:

- (1) Data generated from licensure renewal and the Department of Health and Senior Services;
- (2) National nursing statistical data and trends that have identified nursing shortages; and
- (3) Reports from the Institute of Medicine or Robert Wood Johnson Foundation related to the nursing profession and patient safety.



**NEW DECISION ITEM**

RANK: 2 OF 5

Department of Insurance, Financial Institutions & Professional Registration					Budget Unit <u>42740C</u>																																																																																																																												
Professional Registration																																																																																																																																	
Caring for Missourians - State Board of Nursing					DI#1375001																																																																																																																												
<p><b>4. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why. Detail which portions of the request are one-times and how those amounts were calculated.)</b></p> <p>\$1,000,000 from the State Board of Nursing Fund (0635) will be available for grants to public institutions of higher education in FY2012, FY2013 and FY2014.</p>																																																																																																																																	
<p><b>5. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE. IDENTIFY ONE-TIME COSTS.</b></p> <table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;">Budget Object Class/Job Class</th> <th style="text-align: center;">Dept Req GR DOLLARS</th> <th style="text-align: center;">Dept Req GR FTE</th> <th style="text-align: center;">Dept Req FED DOLLARS</th> <th style="text-align: center;">Dept Req FED FTE</th> <th style="text-align: center;">Dept Req OTHER DOLLARS</th> <th style="text-align: center;">Dept Req OTHER FTE</th> <th style="text-align: center;">Dept Req TOTAL DOLLARS</th> <th style="text-align: center;">Dept Req TOTAL FTE</th> <th style="text-align: center;">Dept Req One-Time DOLLARS</th> </tr> </thead> <tbody> <tr> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td align="right">0</td> <td align="right">0.0</td> <td></td> </tr> <tr> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td align="right">0</td> <td align="right">0.0</td> <td></td> </tr> <tr> <td><b>Total PS</b></td> <td align="right"><u>0</u></td> <td align="right"><u>0.0</u></td> <td align="right"><u>0</u></td> <td align="right"><u>0.0</u></td> <td align="right"><u>0</u></td> <td align="right"><u>0.0</u></td> <td align="right"><u>0</u></td> <td align="right"><u>0.0</u></td> <td align="right"><u>0</u></td> </tr> <tr> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td align="right">0</td> <td></td> <td></td> </tr> <tr> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td align="right">0</td> <td></td> <td></td> </tr> <tr> <td><b>Total EE</b></td> <td align="right"><u>0</u></td> <td></td> <td align="right"><u>0</u></td> <td></td> <td align="right"><u>0</u></td> <td></td> <td align="right"><u>0</u></td> <td></td> <td align="right"><u>0</u></td> </tr> <tr> <td>Program Distributions</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td align="right">0</td> <td></td> <td></td> </tr> <tr> <td><b>Total PSD</b></td> <td align="right"><u>0</u></td> <td></td> <td align="right"><u>0</u></td> <td></td> <td align="right"><u>0</u></td> <td></td> <td align="right"><u>0</u></td> <td></td> <td align="right"><u>0</u></td> </tr> <tr> <td>Transfers</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td><b>Total TRF</b></td> <td align="right"><u>0</u></td> <td></td> <td align="right"><u>0</u></td> <td></td> <td align="right"><u>0</u></td> <td></td> <td align="right"><u>0</u></td> <td></td> <td align="right"><u>0</u></td> </tr> <tr> <td><b>Grand Total</b></td> <td align="right"><u>0</u></td> <td align="right"><u>0.0</u></td> <td align="right"><u>0</u></td> <td align="right"><u>0.0</u></td> <td align="right"><u>0</u></td> <td align="right"><u>0.0</u></td> <td align="right"><u>0</u></td> <td align="right"><u>0.0</u></td> <td align="right"><u>0</u></td> </tr> </tbody> </table>										Budget Object Class/Job Class	Dept Req GR DOLLARS	Dept Req GR FTE	Dept Req FED DOLLARS	Dept Req FED FTE	Dept Req OTHER DOLLARS	Dept Req OTHER FTE	Dept Req TOTAL DOLLARS	Dept Req TOTAL FTE	Dept Req One-Time DOLLARS								0	0.0									0	0.0		<b>Total PS</b>	<u>0</u>	<u>0.0</u>	<u>0</u>	<u>0.0</u>	<u>0</u>	<u>0.0</u>	<u>0</u>	<u>0.0</u>	<u>0</u>								0										0			<b>Total EE</b>	<u>0</u>		<u>0</u>		<u>0</u>		<u>0</u>		<u>0</u>	Program Distributions							0			<b>Total PSD</b>	<u>0</u>		<u>0</u>		<u>0</u>		<u>0</u>		<u>0</u>	Transfers										<b>Total TRF</b>	<u>0</u>		<u>0</u>		<u>0</u>		<u>0</u>		<u>0</u>	<b>Grand Total</b>	<u>0</u>	<u>0.0</u>	<u>0</u>	<u>0.0</u>	<u>0</u>	<u>0.0</u>	<u>0</u>	<u>0.0</u>	<u>0</u>
Budget Object Class/Job Class	Dept Req GR DOLLARS	Dept Req GR FTE	Dept Req FED DOLLARS	Dept Req FED FTE	Dept Req OTHER DOLLARS	Dept Req OTHER FTE	Dept Req TOTAL DOLLARS	Dept Req TOTAL FTE	Dept Req One-Time DOLLARS																																																																																																																								
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NEW DECISION ITEM  
RANK: 2 OF 5

Department of Insurance, Financial Institutions & Professional Registration					Budget Unit <u>42740C</u>				
Professional Registration									
Caring for Missourians - State Board of Nursing			DI#1375001						
Budget Object Class/Job Class	Gov Rec GR DOLLARS	Gov Rec GR FTE	Gov Rec FED DOLLARS	Gov Rec FED FTE	Gov Rec OTHER DOLLARS	Gov Rec OTHER FTE	Gov Rec TOTAL DOLLARS	Gov Rec TOTAL FTE	Gov Rec One-Time DOLLARS
							0	0.0	
							0	0.0	
Total PS	0	0.0	0	0.0	0	0.0	0	0.0	0
							0		
							0		
							0		
Total EE	0		0		0		0		0
Program Distributions							1,000,000		
Total PSD	0		0		0		1,000,000		0
Transfers									
Total TRF	0		0		0		0		0
Grand Total	0	0.0	0	0.0	0	0.0	1,000,000	0.0	0

NEW DECISION ITEM  
RANK: 2 OF 5

Department of Insurance, Financial Institutions & Professional Registration	Budget Unit	<u>42740C</u>
Professional Registration		
Caring for Missourians - State Board of Nursing	DI#1375001	

**6. PERFORMANCE MEASURES (If new decision item has an associated core, separately identify projected performance with & without additional funding.)**

**6a. Provide an effectiveness measure.**

Not yet available.

**6b. Provide an efficiency measure.**

Not yet available.

**6c. Provide the number of clients/individuals served, if applicable.**

Currently unknown.

**6d. Provide a customer satisfaction measure, if available.**

Not yet available.

**NEW DECISION ITEM**

**RANK:** 2 **OF** 5

<b>Department of Insurance, Financial Institutions &amp; Professional Registration</b>	<b>Budget Unit</b> <u>42740C</u>
<b>Professional Registration</b>	
<b>Caring for Missourians - State Board of Nursing</b>	<b>DI#1375001</b>

**7. STRATEGIES TO ACHIEVE THE PERFORMANCE MEASUREMENT TARGETS:**

The Board and the department will research and evaluate criteria including, but not limited to:

- (1) Data generated from licensure renewal and the Department of Health and Senior Services;
- (2) National nursing statistical data and trends that have identified nursing shortages; and
- (3) Reports from the Institute of Medicine or Robert Wood Johnson Foundation related to the nursing profession and patient safety.

# DIFP

# DECISION ITEM DETAIL

Budget Unit	FY 2010	FY 2010	FY 2011	FY 2011	FY 2012	FY 2012	FY 2012	FY 2012
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
<b>BOARD OF NURSING</b>								
<b>Caring for Missourians - 1375001</b>								
PROGRAM DISTRIBUTIONS	0	0.00	0	0.00	0	0.00	1,000,000	0.00
TOTAL - PD	0	0.00	0	0.00	0	0.00	1,000,000	0.00
GRAND TOTAL	\$0	0.00	\$0	0.00	\$0	0.00	\$1,000,000	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
OTHER FUNDS	\$0	0.00	\$0	0.00	\$0	0.00	\$1,000,000	0.00



**DIFP****DECISION ITEM SUMMARY**

Budget Unit								
Decision Item	FY 2010	FY 2010	FY 2011	FY 2011	FY 2012	FY 2012	FY 2012	FY 2012
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
<b>BOARD OF OPTOMETRY</b>								
<b>CORE</b>								
EXPENSE & EQUIPMENT								
BOARD OF OPTOMETRY	12,656	0.00	42,043	0.00	42,043	0.00	42,043	0.00
TOTAL - EE	12,656	0.00	42,043	0.00	42,043	0.00	42,043	0.00
<b>TOTAL</b>	<b>12,656</b>	<b>0.00</b>	<b>42,043</b>	<b>0.00</b>	<b>42,043</b>	<b>0.00</b>	<b>42,043</b>	<b>0.00</b>
<b>GRAND TOTAL</b>	<b>\$12,656</b>	<b>0.00</b>	<b>\$42,043</b>	<b>0.00</b>	<b>\$42,043</b>	<b>0.00</b>	<b>\$42,043</b>	<b>0.00</b>

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im\_disummary

## CORE DECISION ITEM

Department of Insurance, Financial Institution and Professional Registration	Budget Unit	42750C
Professional Registration		
Core - State Board of Optometry		

### 1. CORE FINANCIAL SUMMARY

FY 2012 Budget Request					FY 2012 Governor's Recommendation				
	GR	Federal	Other	Total		GR	Fed	Other	Total
PS	0	0	0	0	PS	0	0	0	0
EE	0	0	42,043	42,043	EE	0	0	42,043	42,043
PSD	0	0	0	0	PSD	0	0	0	0
TRF	0	0	0	0	TRF	0	0	0	0
<b>Total</b>	<b>0</b>	<b>0</b>	<b>42,043</b>	<b>42,043</b>	<b>Total</b>	<b>0</b>	<b>0</b>	<b>42,043</b>	<b>42,043</b>
FTE	0.00	0.00	0.00	0.00	FTE	0.00	0.00	0.00	0.00
<i>Est. Fringe</i>	0	0	0	0	<i>Est. Fringe</i>	0	0	0	0
Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.					Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.				
Other Funds: Optometry Fund (0636)					Other Funds: Optometry Fund (0636)				

### 2. CORE DESCRIPTION

The core program request is necessary to ensure the continued high quality of service provided by optometrists licensed in Missouri.

### 3. PROGRAM LISTING (list programs included in this core funding)

State Board of Optometry

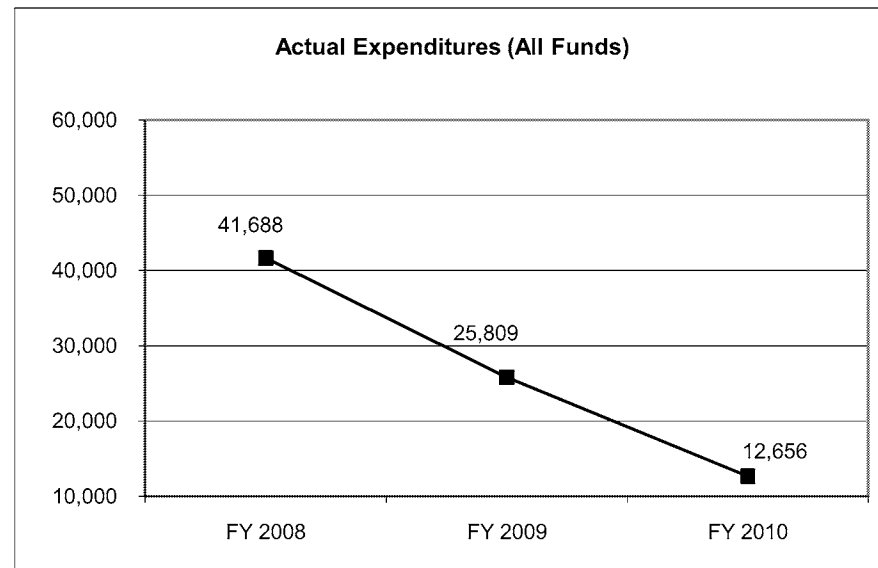


# CORE DECISION ITEM

Department of Insurance, Financial Institution and Professional Registration      Budget Unit 42750C  
Professional Registration  
Core - State Board of Optometry

## 4. FINANCIAL HISTORY

	FY 2008 Actual	FY 2009 Actual	FY 2010 Actual	FY 2011 Current Yr.
Appropriation (All Funds)	42,043	42,043	42,043	42,043
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	42,043	42,043	42,043	N/A
Actual Expenditures (All Funds)	41,688	25,809	12,656	N/A
Unexpended (All Funds)	355	16,234	29,387	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	355	16,234	29,387	N/A
	(1)	(1)	(1)	



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

### NOTES:

(1) Unexpended amount is due to less than anticipated expenditures

**CORE RECONCILIATION DETAIL**

DIFP  
BOARD OF OPTOMETRY

**5. CORE RECONCILIATION DETAIL**

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
<b>TAFP AFTER VETOES</b>							
	EE	0.00	0	0	42,043	42,043	
	<b>Total</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>42,043</b>	<b>42,043</b>	
<b>DEPARTMENT CORE REQUEST</b>							
	EE	0.00	0	0	42,043	42,043	
	<b>Total</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>42,043</b>	<b>42,043</b>	
<b>GOVERNOR'S RECOMMENDED CORE</b>							
	EE	0.00	0	0	42,043	42,043	
	<b>Total</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>42,043</b>	<b>42,043</b>	

**DIFP**

**DECISION ITEM DETAIL**

Budget Unit	FY 2010	FY 2010	FY 2011	FY 2011	FY 2012	FY 2012	FY 2012	FY 2012
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
<b>BOARD OF OPTOMETRY</b>								
<b>CORE</b>								
TRAVEL, IN-STATE	4,693	0.00	8,154	0.00	8,154	0.00	8,154	0.00
TRAVEL, OUT-OF-STATE	1,235	0.00	4,000	0.00	2,000	0.00	2,000	0.00
SUPPLIES	1,799	0.00	4,500	0.00	5,500	0.00	5,500	0.00
PROFESSIONAL DEVELOPMENT	1,830	0.00	2,700	0.00	3,000	0.00	3,000	0.00
COMMUNICATION SERV & SUPP	792	0.00	789	0.00	800	0.00	800	0.00
PROFESSIONAL SERVICES	1,194	0.00	18,500	0.00	18,500	0.00	18,500	0.00
M&R SERVICES	356	0.00	800	0.00	800	0.00	800	0.00
OFFICE EQUIPMENT	0	0.00	400	0.00	400	0.00	400	0.00
BUILDING LEASE PAYMENTS	0	0.00	900	0.00	900	0.00	900	0.00
MISCELLANEOUS EXPENSES	757	0.00	1,300	0.00	1,989	0.00	1,989	0.00
<b>TOTAL - EE</b>	<b>12,656</b>	<b>0.00</b>	<b>42,043</b>	<b>0.00</b>	<b>42,043</b>	<b>0.00</b>	<b>42,043</b>	<b>0.00</b>
<b>GRAND TOTAL</b>	<b>\$12,656</b>	<b>0.00</b>	<b>\$42,043</b>	<b>0.00</b>	<b>\$42,043</b>	<b>0.00</b>	<b>\$42,043</b>	<b>0.00</b>
<b>GENERAL REVENUE</b>	<b>\$0</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>
<b>FEDERAL FUNDS</b>	<b>\$0</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>
<b>OTHER FUNDS</b>	<b>\$12,656</b>	<b>0.00</b>	<b>\$42,043</b>	<b>0.00</b>	<b>\$42,043</b>	<b>0.00</b>	<b>\$42,043</b>	<b>0.00</b>

## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

State Board of Optometry

Program is found in the following core budget(s): State Board of Optometry, Professional Registration Administration

	Optometry	PR Admin	TOTAL
GR			0
FEDERAL			0
OTHER	42,043	55,363	97,406
TOTAL	42,043	55,363	97,406

### 1. What does this program do?

The State Board of Optometry was established to protect the interests of the citizens of the State of Missouri by licensing and regulating optometrists in the State of Missouri.

Note: The appropriation for board personnel and board member per diem is included in the Division/Administration core appropriation.

### 2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

336.010-336.225 RSMo

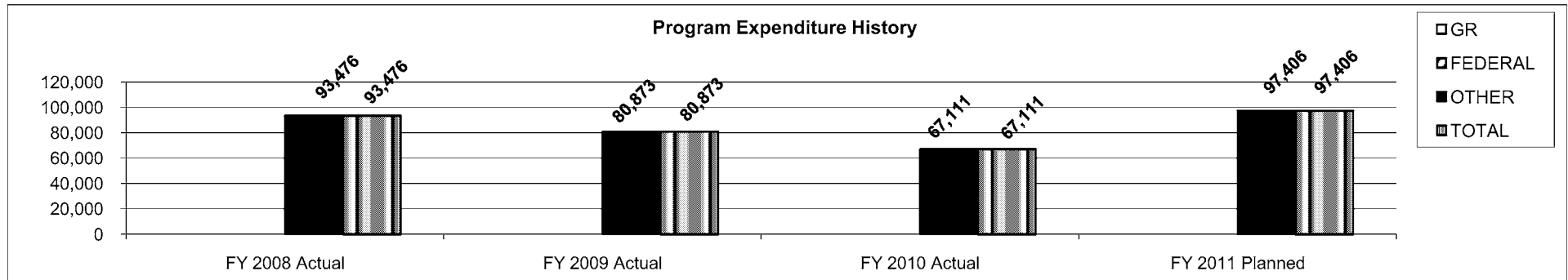
### 3. Are there federal matching requirements? If yes, please explain.

No

### 4. Is this a federally mandated program? If yes, please explain.

No

### 5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



### 6. What are the sources of the "Other " funds?

State Board of Optometry (0636)

## PROGRAM DESCRIPTION

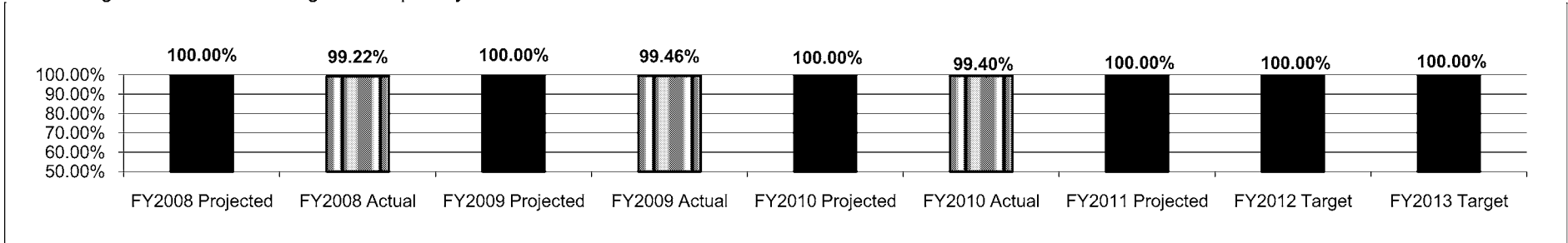
**Department of Insurance, Financial Institutions and Professional Registration**

**State Board of Optometry**

**Program is found in the following core budget(s): State Board of Optometry, Professional Registration Administration**

**7a. Provide an effectiveness measure.**

Percentage of licensees having no disciplinary action



Note: Measure includes all disciplines ranging from a letter of censure to a revocation. Some disciplines may be open-ended and carry over year-to-year.

**7b. Provide an efficiency measure.**

Not available

**7c. Provide the number of clients/individuals served, if applicable.**

	FY2008		FY2009		FY2010		FY2011	FY2012	FY2013
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Proj.	Target	Target
Applications received	68	139	66	143	51	80	50	50	50
Licensed Professionals	1,300	1,287	1,311	1,287	1,271	1,333	1,310	1,360	1,380

**7d. Provide a customer satisfaction measure, if available.**

Not available

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**DIFP****DECISION ITEM SUMMARY**

Budget Unit									
Decision Item	FY 2010	FY 2010	FY 2011	FY 2011	FY 2012	FY 2012	FY 2012	FY 2012	
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC	
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	
<b>BOARD OF PHARMACY</b>									
<b>CORE</b>									
PERSONAL SERVICES									
BOARD OF PHARMACY	875,051	15.00	940,068	14.00	940,068	14.00	940,068	14.00	
TOTAL - PS	875,051	15.00	940,068	14.00	940,068	14.00	940,068	14.00	
EXPENSE & EQUIPMENT									
BOARD OF PHARMACY	277,305	0.00	657,948	0.00	657,948	0.00	657,948	0.00	
TOTAL - EE	277,305	0.00	657,948	0.00	657,948	0.00	657,948	0.00	
PROGRAM-SPECIFIC									
BOARD OF PHARMACY	20,757	0.00	20,000	0.00	20,000	0.00	20,000	0.00	
TOTAL - PD	20,757	0.00	20,000	0.00	20,000	0.00	20,000	0.00	
<b>TOTAL</b>	<b>1,173,113</b>	<b>15.00</b>	<b>1,618,016</b>	<b>14.00</b>	<b>1,618,016</b>	<b>14.00</b>	<b>1,618,016</b>	<b>14.00</b>	
<b>GRAND TOTAL</b>	<b>\$1,173,113</b>	<b>15.00</b>	<b>\$1,618,016</b>	<b>14.00</b>	<b>\$1,618,016</b>	<b>14.00</b>	<b>\$1,618,016</b>	<b>14.00</b>	

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## CORE DECISION ITEM

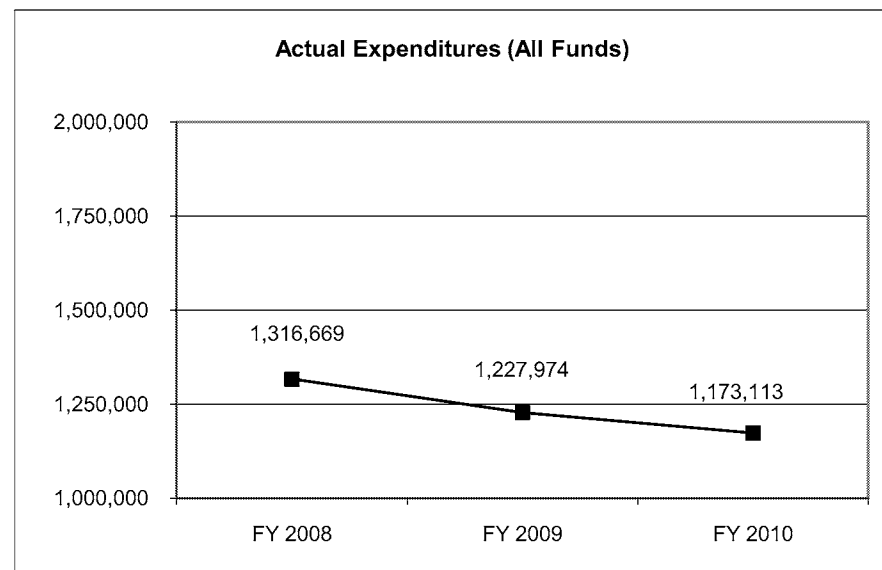
Department of Insurance, Financial Institution and Professional Registration					Budget Unit <u>42760C</u>				
Professional Registration									
Core - Missouri Board of Pharmacy									
<b>1. CORE FINANCIAL SUMMARY</b>									
FY 2012 Budget Request					FY 2012 Governor's Recommendation				
	GR	Federal	Other	Total		GR	Fed	Other	Total
PS	0	0	940,068	940,068	PS	0	0	940,068	940,068
EE	0	0	672,948	672,948	EE	0	0	672,948	672,948
PSD	0	0	5,000	5,000 E	PSD	0	0	5,000	5,000 E
TRF	0	0	0	0	TRF	0	0	0	0
<b>Total</b>	<b>0</b>	<b>0</b>	<b>1,618,016</b>	<b>1,618,016</b>	<b>Total</b>	<b>0</b>	<b>0</b>	<b>1,618,016</b>	<b>1,618,016</b>
 FTE	 0.00	 0.00	 14.00	 14.00	 FTE	 0.00	 0.00	 14.00	 14.00
<b>Est. Fringe</b>	<b>0</b>	<b>0</b>	<b>523,148</b>	<b>523,148</b>	<b>Est. Fringe</b>	<b>0</b>	<b>0</b>	<b>523,148</b>	<b>523,148</b>
<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>					<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>				
Other Funds: Board of Pharmacy Fund (0637)					Other Funds: Board of Pharmacy Fund (0637)				
Notes: Expense and Equipment includes \$5,000 E for criminal history checks.					Notes: Expense and Equipment includes \$5,000 E for criminal history checks.				
<b>2. CORE DESCRIPTION</b>									
The core program request is necessary to ensure the continued high quality of service provided by pharmacies, pharmacists, pharmacy interns, pharmacy technicians, drug distributors and drug distributor registrants licensed in Missouri.									
<b>3. PROGRAM LISTING (list programs included in this core funding)</b>									
Missouri Board of Pharmacy									

# **CORE DECISION ITEM**

<b>Department of Insurance, Financial Institution and Professional Registration</b>	<b>Budget Unit</b> <u>42760C</u>
<b>Professional Registration</b>	
<b>Core - Missouri Board of Pharmacy</b>	

## **4. FINANCIAL HISTORY**

	<b>FY 2008 Actual</b>	<b>FY 2009 Actual</b>	<b>FY 2010 Actual</b>	<b>FY 2011 Current Yr.</b>
Appropriation (All Funds)	1,566,035	1,763,016	1,618,016	1,618,016
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	1,566,035	1,763,016	1,618,016	N/A
Actual Expenditures (All Funds)	1,316,669	1,227,974	1,173,113	N/A
Unexpended (All Funds)	249,366	535,042	444,903	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	249,366	535,042	444,903	N/A
	(1)	(1)	(1)	(2)



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

### **NOTES:**

- (1) Unexpended amount due to staff turnover and less than anticipated expenditures and investigative legal expenses
- (2) Includes an estimated appropriation of \$5,000 E for criminal history checks

**CORE RECONCILIATION DETAIL**

DIFP  
BOARD OF PHARMACY

**5. CORE RECONCILIATION DETAIL**

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
<b>TAFP AFTER VETOES</b>							
	PS	14.00	0	0	940,068	940,068	
	EE	0.00	0	0	657,948	657,948	
	PD	0.00	0	0	20,000	20,000	
	<b>Total</b>	<b>14.00</b>	<b>0</b>	<b>0</b>	<b>1,618,016</b>	<b>1,618,016</b>	
<b>DEPARTMENT CORE REQUEST</b>							
	PS	14.00	0	0	940,068	940,068	
	EE	0.00	0	0	657,948	657,948	
	PD	0.00	0	0	20,000	20,000	
	<b>Total</b>	<b>14.00</b>	<b>0</b>	<b>0</b>	<b>1,618,016</b>	<b>1,618,016</b>	
<b>GOVERNOR'S RECOMMENDED CORE</b>							
	PS	14.00	0	0	940,068	940,068	
	EE	0.00	0	0	657,948	657,948	
	PD	0.00	0	0	20,000	20,000	
	<b>Total</b>	<b>14.00</b>	<b>0</b>	<b>0</b>	<b>1,618,016</b>	<b>1,618,016</b>	

DIFP

DECISION ITEM DETAIL

Budget Unit	FY 2010	FY 2010	FY 2011	FY 2011	FY 2012	FY 2012	FY 2012	FY 2012
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
<b>BOARD OF PHARMACY</b>								
<b>CORE</b>								
EXECUTIVE I	35,067	1.01	38,701	1.00	36,701	1.00	36,701	1.00
PHARMACEUTICAL CNSLT	655,404	8.00	692,861	8.00	692,861	8.00	692,861	8.00
PROF REG LIC TECH I	45,572	1.94	49,773	2.00	47,773	2.00	47,773	2.00
PROF REG LIC TECH II	50,827	1.97	55,573	2.00	53,573	2.00	53,573	2.00
BOARD MEMBER	3,047	0.23	26,877	0.00	16,877	0.00	16,877	0.00
CLERK	18,203	0.85	0	0.00	20,000	0.00	20,000	0.00
PRINCIPAL ASST BOARD/COMMISSON	66,931	1.00	76,283	1.00	72,283	1.00	72,283	1.00
<b>TOTAL - PS</b>	<b>875,051</b>	<b>15.00</b>	<b>940,068</b>	<b>14.00</b>	<b>940,068</b>	<b>14.00</b>	<b>940,068</b>	<b>14.00</b>
TRAVEL, IN-STATE	23,217	0.00	40,000	0.00	40,000	0.00	40,000	0.00
TRAVEL, OUT-OF-STATE	4,228	0.00	20,000	0.00	20,000	0.00	20,000	0.00
SUPPLIES	45,498	0.00	58,878	0.00	60,000	0.00	60,000	0.00
PROFESSIONAL DEVELOPMENT	5,131	0.00	12,000	0.00	10,000	0.00	10,000	0.00
COMMUNICATION SERV & SUPP	14,380	0.00	15,000	0.00	16,000	0.00	16,000	0.00
PROFESSIONAL SERVICES	164,429	0.00	465,348	0.00	448,600	0.00	448,600	0.00
M&R SERVICES	6,271	0.00	12,000	0.00	13,000	0.00	13,000	0.00
MOTORIZED EQUIPMENT	0	0.00	16,122	0.00	20,000	0.00	20,000	0.00
OFFICE EQUIPMENT	0	0.00	2,000	0.00	4,000	0.00	4,000	0.00
PROPERTY & IMPROVEMENTS	0	0.00	1,000	0.00	9,000	0.00	9,000	0.00
BUILDING LEASE PAYMENTS	992	0.00	1,100	0.00	1,500	0.00	1,500	0.00
EQUIPMENT RENTALS & LEASES	0	0.00	500	0.00	500	0.00	500	0.00
MISCELLANEOUS EXPENSES	13,159	0.00	14,000	0.00	15,348	0.00	15,348	0.00
<b>TOTAL - EE</b>	<b>277,305</b>	<b>0.00</b>	<b>657,948</b>	<b>0.00</b>	<b>657,948</b>	<b>0.00</b>	<b>657,948</b>	<b>0.00</b>
PROGRAM DISTRIBUTIONS	20,757	0.00	20,000	0.00	20,000	0.00	20,000	0.00
<b>TOTAL - PD</b>	<b>20,757</b>	<b>0.00</b>	<b>20,000</b>	<b>0.00</b>	<b>20,000</b>	<b>0.00</b>	<b>20,000</b>	<b>0.00</b>
<b>GRAND TOTAL</b>	<b>\$1,173,113</b>	<b>15.00</b>	<b>\$1,618,016</b>	<b>14.00</b>	<b>\$1,618,016</b>	<b>14.00</b>	<b>\$1,618,016</b>	<b>14.00</b>
<b>GENERAL REVENUE</b>	<b>\$0</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>
<b>FEDERAL FUNDS</b>	<b>\$0</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>
<b>OTHER FUNDS</b>	<b>\$1,173,113</b>	<b>15.00</b>	<b>\$1,618,016</b>	<b>14.00</b>	<b>\$1,618,016</b>	<b>14.00</b>	<b>\$1,618,016</b>	<b>14.00</b>

## PROGRAM DESCRIPTION

**Department of Insurance, Financial Institutions and Professional Registration**

**Missouri Board of Pharmacy**

**Program is found in the following core budget(s): Missouri Board of Pharmacy**

**1. What does this program do?**

The Missouri Board of Pharmacy was established to protect the interests of the citizens of the State of Missouri by licensing and regulating pharmacies, pharmacists, pharmacy interns, pharmacy technicians, drug distributors and drug distributor registrants in the State of Missouri.

**2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)**

338.010-338.550 RSMo

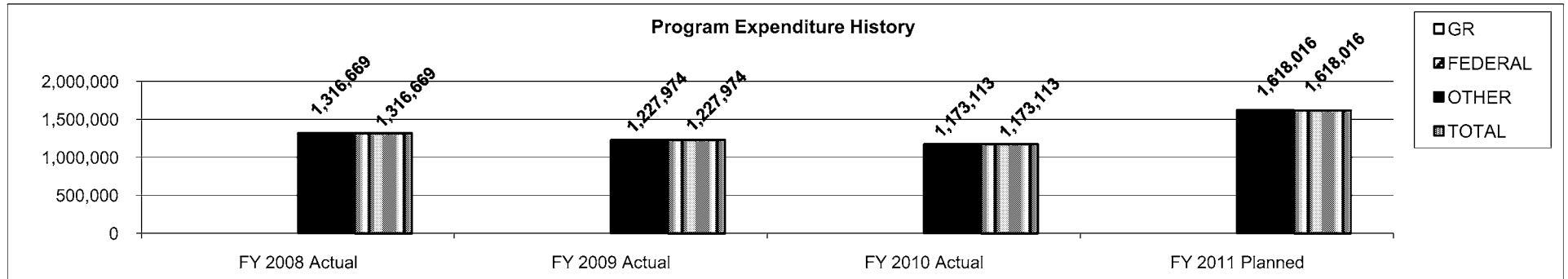
**3. Are there federal matching requirements? If yes, please explain.**

No

**4. Is this a federally mandated program? If yes, please explain.**

No

**5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.**



**6. What are the sources of the "Other " funds?**

Board of Pharmacy Fund (0637)

## PROGRAM DESCRIPTION

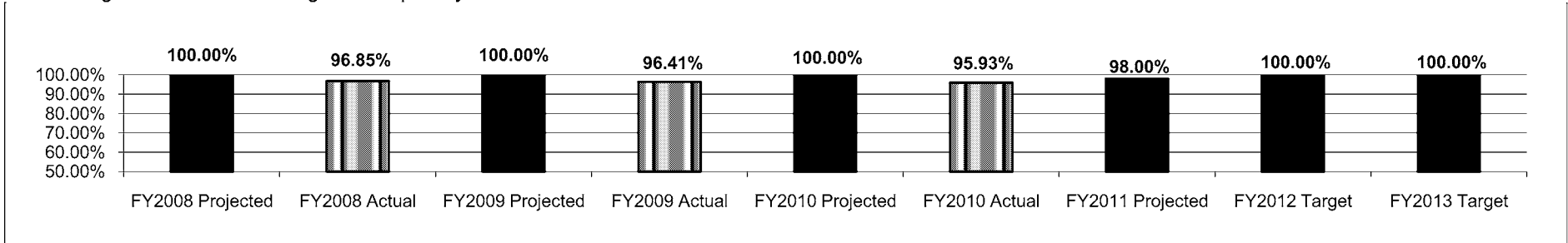
**Department of Insurance, Financial Institutions and Professional Registration**

**Missouri Board of Pharmacy**

**Program is found in the following core budget(s): Missouri Board of Pharmacy**

**7a. Provide an effectiveness measure.**

Percentage of licensees having no disciplinary action



Note: Measure includes all disciplines ranging from a letter of censure to a revocation. Some disciplines may be open-ended and carry over year-to-year.

**7b. Provide an efficiency measure.**

Not available

**7c. Provide the number of clients/individuals served, if applicable.**

	FY2008		FY2009		FY2010		FY2011	FY2012	FY2013
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Proj.	Target	Target
Applications received	6,381	6,071	6,145	6,212	6,275	6,233	6,275	6,310	6,335
Licensed Professionals	29,982	29,082	29,842	29,206	29,242	31,074	31,044	31,099	31,149

**7d. Provide a customer satisfaction measure, if available.**

Not available

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**DIFP****DECISION ITEM SUMMARY**

Budget Unit								
Decision Item	FY 2010	FY 2010	FY 2011	FY 2011	FY 2012	FY 2012	FY 2012	FY 2012
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
<b>BOARD OF PODIATRIC MEDICINE</b>								
<b>CORE</b>								
EXPENSE & EQUIPMENT								
BOARD OF PODIATRIC MEDICINE	7,658	0.00	20,669	0.00	20,669	0.00	20,669	0.00
TOTAL - EE	7,658	0.00	20,669	0.00	20,669	0.00	20,669	0.00
<b>TOTAL</b>	<b>7,658</b>	<b>0.00</b>	<b>20,669</b>	<b>0.00</b>	<b>20,669</b>	<b>0.00</b>	<b>20,669</b>	<b>0.00</b>
<b>GRAND TOTAL</b>								
	<b>\$7,658</b>	<b>0.00</b>	<b>\$20,669</b>	<b>0.00</b>	<b>\$20,669</b>	<b>0.00</b>	<b>\$20,669</b>	<b>0.00</b>

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# CORE DECISION ITEM

Department of Insurance, Financial Institution and Professional Registration	Budget Unit	42770C
Professional Registration		
Core - State Board of Podiatric Medicine		

## 1. CORE FINANCIAL SUMMARY

FY 2012 Budget Request					FY 2012 Governor's Recommendation				
	GR	Federal	Other	Total		GR	Fed	Other	Total
PS	0	0	0	0	PS	0	0	0	0
EE	0	0	20,669	20,669	EE	0	0	20,669	20,669
PSD	0	0	0	0	PSD	0	0	0	0
TRF	0	0	0	0	TRF	0	0	0	0
Total	0	0	20,669	20,669	Total	0	0	20,669	20,669
FTE	0.00	0.00	0.00	0.00	FTE	0.00	0.00	0.00	0.00
<i>Est. Fringe</i>	0	0	0	0	<i>Est. Fringe</i>	0	0	0	0
<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>					<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>				
Other Funds: State Board of Podiatric Medicine Fund (0629)					Other Funds: State Board of Podiatric Medicine Fund (0629)				

## 2. CORE DESCRIPTION

The core program request is necessary to ensure the continued high quality of service provided by podiatrists licensed in Missouri.

## 3. PROGRAM LISTING (list programs included in this core funding)

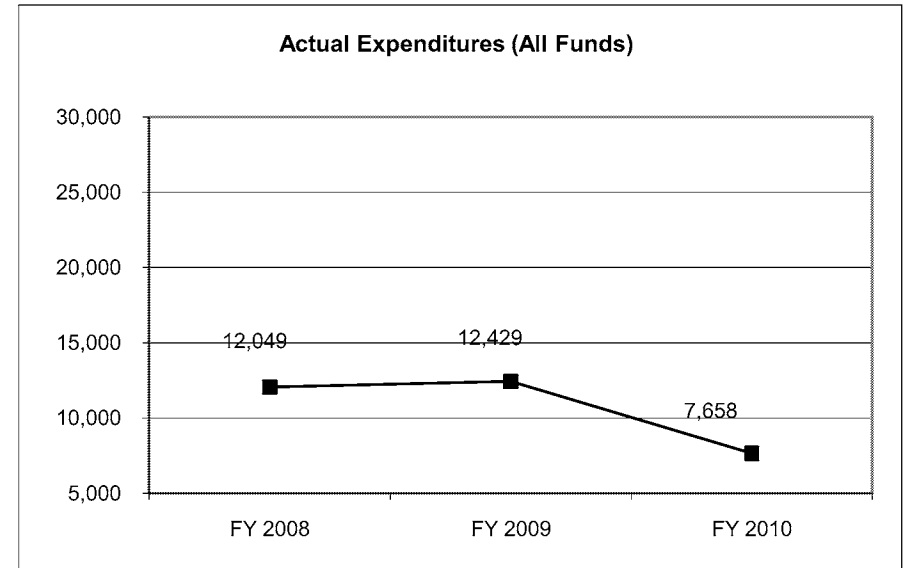
State Board of Podiatric Medicine

# CORE DECISION ITEM

Department of Insurance, Financial Institution and Professional Registration	Budget Unit	42770C
Professional Registration		
Core - State Board of Podiatric Medicine		

## 4. FINANCIAL HISTORY

	FY 2008 Actual	FY 2009 Actual	FY 2010 Actual	FY 2011 Current Yr.
Appropriation (All Funds)	20,669	20,669	20,669	20,669
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	20,669	20,669	20,669	N/A
Actual Expenditures (All Funds)	12,049	12,429	7,658	N/A
Unexpended (All Funds)	8,620	8,240	13,011	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	8,620	8,240	13,011	N/A
	(1)	(1)	(1)	



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

### NOTES:

(1) Unexpended amount due to less than anticipated expenditures

**CORE RECONCILIATION DETAIL**

DIFP  
BOARD OF PODIATRIC MEDICINE

**5. CORE RECONCILIATION DETAIL**

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
<b>TAFP AFTER VETOES</b>							
	EE	0.00	0	0	20,669	20,669	
	<b>Total</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>20,669</b>	<b>20,669</b>	
<b>DEPARTMENT CORE REQUEST</b>							
	EE	0.00	0	0	20,669	20,669	
	<b>Total</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>20,669</b>	<b>20,669</b>	
<b>GOVERNOR'S RECOMMENDED CORE</b>							
	EE	0.00	0	0	20,669	20,669	
	<b>Total</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>20,669</b>	<b>20,669</b>	

DIFP

DECISION ITEM DETAIL

Budget Unit	FY 2010	FY 2010	FY 2011	FY 2011	FY 2012	FY 2012	FY 2012	FY 2012
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
<b>BOARD OF PODIATRIC MEDICINE</b>								
<b>CORE</b>								
TRAVEL, IN-STATE	831	0.00	4,000	0.00	4,000	0.00	4,000	0.00
TRAVEL, OUT-OF-STATE	0	0.00	3,000	0.00	3,000	0.00	3,000	0.00
SUPPLIES	1,211	0.00	2,000	0.00	2,000	0.00	2,000	0.00
PROFESSIONAL DEVELOPMENT	1,550	0.00	3,000	0.00	3,000	0.00	3,000	0.00
COMMUNICATION SERV & SUPP	713	0.00	720	0.00	720	0.00	720	0.00
PROFESSIONAL SERVICES	2,821	0.00	5,499	0.00	5,499	0.00	5,499	0.00
M&R SERVICES	196	0.00	300	0.00	300	0.00	300	0.00
OFFICE EQUIPMENT	232	0.00	1,000	0.00	1,000	0.00	1,000	0.00
PROPERTY & IMPROVEMENTS	0	0.00	1,000	0.00	1,000	0.00	1,000	0.00
MISCELLANEOUS EXPENSES	104	0.00	150	0.00	150	0.00	150	0.00
<b>TOTAL - EE</b>	<b>7,658</b>	<b>0.00</b>	<b>20,669</b>	<b>0.00</b>	<b>20,669</b>	<b>0.00</b>	<b>20,669</b>	<b>0.00</b>
<b>GRAND TOTAL</b>	<b>\$7,658</b>	<b>0.00</b>	<b>\$20,669</b>	<b>0.00</b>	<b>\$20,669</b>	<b>0.00</b>	<b>\$20,669</b>	<b>0.00</b>
<b>GENERAL REVENUE</b>	<b>\$0</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>
<b>FEDERAL FUNDS</b>	<b>\$0</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>
<b>OTHER FUNDS</b>	<b>\$7,658</b>	<b>0.00</b>	<b>\$20,669</b>	<b>0.00</b>	<b>\$20,669</b>	<b>0.00</b>	<b>\$20,669</b>	<b>0.00</b>

## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

State Board of Podiatric Medicine

Program is found in the following core budget(s): State Board of Podiatric Medicine, Professional Registration Administration

	Podiatry	PR Admin	TOTAL
GR			0
FEDERAL			0
OTHER	20,669	23,982	44,651
TOTAL	20,669	23,982	44,651

### 1. What does this program do?

The State Board of Podiatric Medicine was established to protect the interests of the citizens of the State of Missouri by licensing and regulating podiatrists in the State of Missouri.

Note: The appropriation for personal service and board member per diem is included in the Division of Professional Registration/Administration core appropriation.

### 2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

330.010-330.210 RSMo

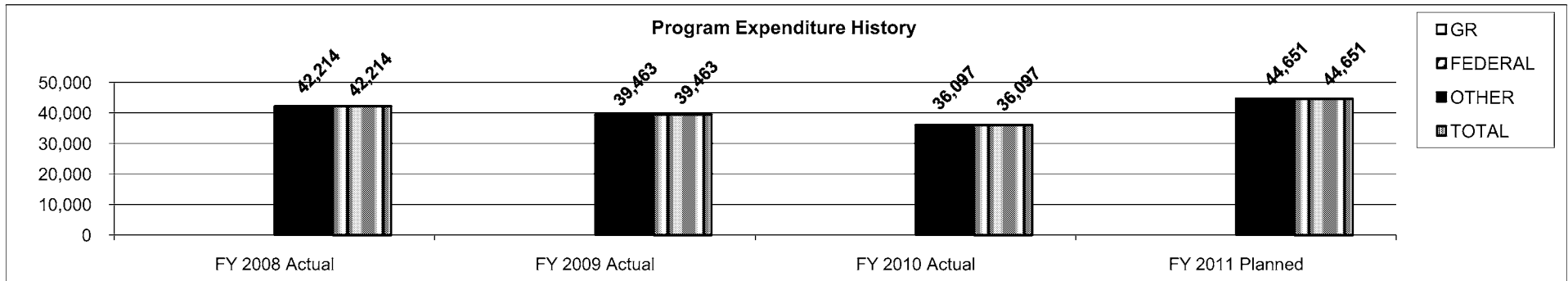
### 3. Are there federal matching requirements? If yes, please explain.

No

### 4. Is this a federally mandated program? If yes, please explain.

No

### 5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



### 6. What are the sources of the "Other " funds?

State Board of Podiatric Medicine (0629)

## PROGRAM DESCRIPTION

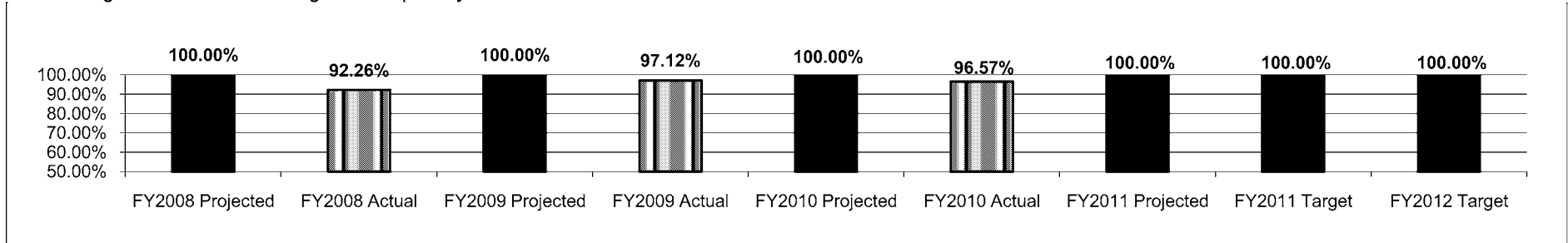
**Department of Insurance, Financial Institutions and Professional Registration**

**State Board of Podiatric Medicine**

**Program is found in the following core budget(s): State Board of Podiatric Medicine, Professional Registration Administration**

**7a. Provide an effectiveness measure.**

Percentage of licensees having no disciplinary action



Note: Beginning with the FY2010 budget, the board does not include permanent revocations, public letters of censure, or compliant HB 600 violations.

**7b. Provide an efficiency measure.**

Not available

**7c. Provide the number of clients/individuals served, if applicable.**

	FY2008		FY2009		FY2010		FY2011	FY2012	FY2013
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Proj.	Target	Target
Applications received	21	17	19	28	21	28	21	21	21
Licensed Professionals	300	323	315	313	338	321	305	305	305

**7d. Provide a customer satisfaction measure, if available.**

Not available

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# DIFP

# DECISION ITEM SUMMARY

Budget Unit								
Decision Item	FY 2010	FY 2010	FY 2011	FY 2011	FY 2012	FY 2012	FY 2012	FY 2012
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
<b>MO REAL ESTATE COMMISSION</b>								
<b>CORE</b>								
PERSONAL SERVICES								
MO REAL ESTATE COMMISSION	719,573	21.09	897,447	25.00	897,447	25.00	897,447	25.00
TOTAL - PS	719,573	21.09	897,447	25.00	897,447	25.00	897,447	25.00
EXPENSE & EQUIPMENT								
MO REAL ESTATE COMMISSION	186,564	0.00	317,544	0.00	317,544	0.00	317,544	0.00
TOTAL - EE	186,564	0.00	317,544	0.00	317,544	0.00	317,544	0.00
<b>TOTAL</b>	<b>906,137</b>	<b>21.09</b>	<b>1,214,991</b>	<b>25.00</b>	<b>1,214,991</b>	<b>25.00</b>	<b>1,214,991</b>	<b>25.00</b>
<b>GRAND TOTAL</b>	<b>\$906,137</b>	<b>21.09</b>	<b>\$1,214,991</b>	<b>25.00</b>	<b>\$1,214,991</b>	<b>25.00</b>	<b>\$1,214,991</b>	<b>25.00</b>

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# CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration					Budget Unit 42780C				
Professional Registration									
Core - Missouri Real Estate Commission									
1. CORE FINANCIAL SUMMARY									
FY 2012 Budget Request					FY 2012 Governor's Recommendation				
	GR	Federal	Other	Total		GR	Fed	Other	Total
PS	0	0	897,447	897,447	PS	0	0	897,447	897,447
EE	0	0	317,544	317,544 E	EE	0	0	317,544	317,544 E
PSD	0	0	0	0	PSD	0	0	0	0
TRF	0	0	0	0	TRF	0	0	0	0
Total	0	0	1,214,991	1,214,991	Total	0	0	1,214,991	1,214,991
FTE	0.00	0.00	25.00	25.00	FTE	0.00	0.00	25.00	25.00
Est. Fringe	0	0	499,429	499,429	Est. Fringe	0	0	499,429	499,429
Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.					Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.				
Other Funds:	Missouri Real Estate Commission Fund (0638)				Other Funds:	Missouri Real Estate Commission Fund (0638)			
Notes:	Expense and Equipment includes \$30,000 E for criminal history checks				Notes:	Expense and Equipment includes \$30,000 E for criminal history checks			
2. CORE DESCRIPTION									
The core program request is necessary to ensure the continued high quality of service provided by real estate brokers and salespersons licensed in Missouri.									
3. PROGRAM LISTING (list programs included in this core funding)									
Missouri Real Estate Commission									

# CORE DECISION ITEM

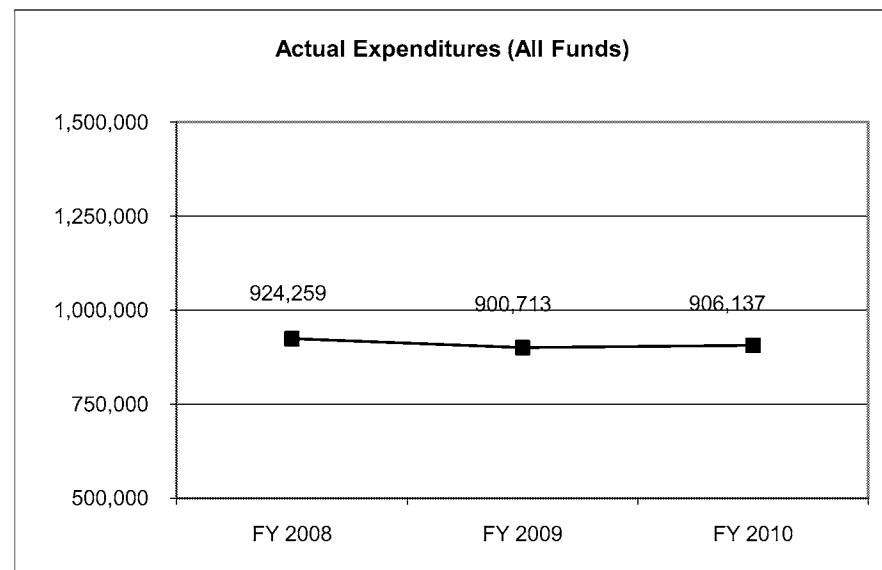
Department of Insurance, Financial Institutions and Professional Registration Budget Unit 42780C

Professional Registration

Core - Missouri Real Estate Commission

## 4. FINANCIAL HISTORY

	FY 2008 Actual	FY 2009 Actual	FY 2010 Actual	FY 2011 Current Yr.
Appropriation (All Funds)	1,213,124	1,239,991	1,214,991	1,214,991
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	1,213,124	1,239,991	1,214,991	N/A
Actual Expenditures (All Funds)	924,259	900,713	906,137	N/A
Unexpended (All Funds)	288,865	339,278	308,854	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	288,865	339,278	308,854	N/A
	(1)	(1)	(1)	(2)



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

### NOTES:

(1) Unexpended amount due to staff turnover and less than anticipated expenditures

(2) Includes an estimated appropriation of \$30,000 E for criminal history background checks

**CORE RECONCILIATION DETAIL**

**DIFP  
MO REAL ESTATE COMMISSION**

**5. CORE RECONCILIATION DETAIL**

	<b>Budget Class</b>	<b>FTE</b>	<b>GR</b>	<b>Federal</b>	<b>Other</b>	<b>Total</b>	<b>Explanation</b>
<b>TAFP AFTER VETOES</b>							
	PS	25.00	0	0	897,447	897,447	
	EE	0.00	0	0	317,544	317,544	
	<b>Total</b>	<b>25.00</b>	<b>0</b>	<b>0</b>	<b>1,214,991</b>	<b>1,214,991</b>	
<b>DEPARTMENT CORE REQUEST</b>							
	PS	25.00	0	0	897,447	897,447	
	EE	0.00	0	0	317,544	317,544	
	<b>Total</b>	<b>25.00</b>	<b>0</b>	<b>0</b>	<b>1,214,991</b>	<b>1,214,991</b>	
<b>GOVERNOR'S RECOMMENDED CORE</b>							
	PS	25.00	0	0	897,447	897,447	
	EE	0.00	0	0	317,544	317,544	
	<b>Total</b>	<b>25.00</b>	<b>0</b>	<b>0</b>	<b>1,214,991</b>	<b>1,214,991</b>	

DIFP

DECISION ITEM DETAIL

Budget Unit	FY 2010	FY 2010	FY 2011	FY 2011	FY 2012	FY 2012	FY 2012	FY 2012
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
<b>MO REAL ESTATE COMMISSION</b>								
<b>CORE</b>								
OFFICE SUPPORT ASST (KEYBRD)	24,168	1.00	25,000	1.00	25,000	1.00	25,000	1.00
SR OFC SUPPORT ASST (KEYBRD)	28,524	1.00	30,000	1.00	30,000	1.00	30,000	1.00
ACCOUNT CLERK II	4,223	0.17	27,500	1.00	27,500	1.00	27,500	1.00
EXECUTIVE I	39,468	1.00	17,000	1.00	41,000	1.00	41,000	1.00
INVESTIGATOR II	78,936	2.00	120,000	3.00	125,000	3.00	125,000	3.00
INVESTIGATOR III	43,344	1.00	45,000	1.00	45,000	1.00	45,000	1.00
PROF REG LIC TECH I	72,368	3.00	135,000	5.00	130,000	5.00	130,000	5.00
PROF REG LIC TECH II	28,524	1.00	35,000	1.00	30,000	1.00	30,000	1.00
REAL ESTATE EXAMINER I	135,472	4.52	150,000	5.00	150,000	5.00	150,000	5.00
REAL ESTATE EXAMINER II	34,032	1.00	40,000	1.00	36,000	1.00	36,000	1.00
REAL ESTATE EXAMINER SUPV	45,060	1.00	50,000	1.00	50,000	1.00	50,000	1.00
REAL ESTATE EXAMINER FIELD SPV	74,652	2.00	90,000	2.00	83,000	2.00	83,000	2.00
REAL ESTATE EDUCATION SPEC	33,420	1.00	40,000	1.00	35,000	1.00	35,000	1.00
BOARD MEMBER	3,596	0.18	15,000	0.00	15,000	0.00	15,000	0.00
CLERK	5,520	0.22	7,947	0.00	4,947	0.00	4,947	0.00
PRINCIPAL ASST BOARD/COMMISSON	68,266	1.00	70,000	1.00	70,000	1.00	70,000	1.00
<b>TOTAL - PS</b>	<b>719,573</b>	<b>21.09</b>	<b>897,447</b>	<b>25.00</b>	<b>897,447</b>	<b>25.00</b>	<b>897,447</b>	<b>25.00</b>
TRAVEL, IN-STATE	24,137	0.00	35,000	0.00	33,000	0.00	33,000	0.00
TRAVEL, OUT-OF-STATE	7,298	0.00	10,000	0.00	9,000	0.00	9,000	0.00
SUPPLIES	48,963	0.00	85,044	0.00	90,000	0.00	90,000	0.00
PROFESSIONAL DEVELOPMENT	8,035	0.00	25,000	0.00	25,000	0.00	25,000	0.00
COMMUNICATION SERV & SUPP	21,101	0.00	25,000	0.00	27,044	0.00	27,044	0.00
PROFESSIONAL SERVICES	61,046	0.00	88,000	0.00	95,000	0.00	95,000	0.00
M&R SERVICES	9,502	0.00	10,000	0.00	18,000	0.00	18,000	0.00
MOTORIZED EQUIPMENT	0	0.00	20,000	0.00	0	0.00	0	0.00
OFFICE EQUIPMENT	921	0.00	5,000	0.00	6,000	0.00	6,000	0.00
OTHER EQUIPMENT	0	0.00	1,000	0.00	1,000	0.00	1,000	0.00
PROPERTY & IMPROVEMENTS	0	0.00	1,000	0.00	1,000	0.00	1,000	0.00
BUILDING LEASE PAYMENTS	688	0.00	1,500	0.00	1,500	0.00	1,500	0.00
EQUIPMENT RENTALS & LEASES	0	0.00	1,000	0.00	1,000	0.00	1,000	0.00

# DIFP

# DECISION ITEM DETAIL

Budget Unit	FY 2010	FY 2010	FY 2011	FY 2011	FY 2012	FY 2012	FY 2012	FY 2012
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
<b>MO REAL ESTATE COMMISSION</b>								
<b>CORE</b>								
MISCELLANEOUS EXPENSES	4,873	0.00	10,000	0.00	10,000	0.00	10,000	0.00
TOTAL - EE	186,564	0.00	317,544	0.00	317,544	0.00	317,544	0.00
<b>GRAND TOTAL</b>	<b>\$906,137</b>	<b>21.09</b>	<b>\$1,214,991</b>	<b>25.00</b>	<b>\$1,214,991</b>	<b>25.00</b>	<b>\$1,214,991</b>	<b>25.00</b>
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
OTHER FUNDS	\$906,137	21.09	\$1,214,991	25.00	\$1,214,991	25.00	\$1,214,991	25.00

## PROGRAM DESCRIPTION

**Department of Insurance, Financial Institutions and Professional Registration**  
**Missouri Real Estate Commission**  
**Program is found in the following core budget(s): Missouri Real Estate Commission**

**1. What does this program do?**

The Missouri Real Estate Commission was established to protect the interests of the citizens of the State of Missouri by licensing and regulating real estate brokers and salespersons in the State of Missouri.

**2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)**

339.010-339.860 RSMo

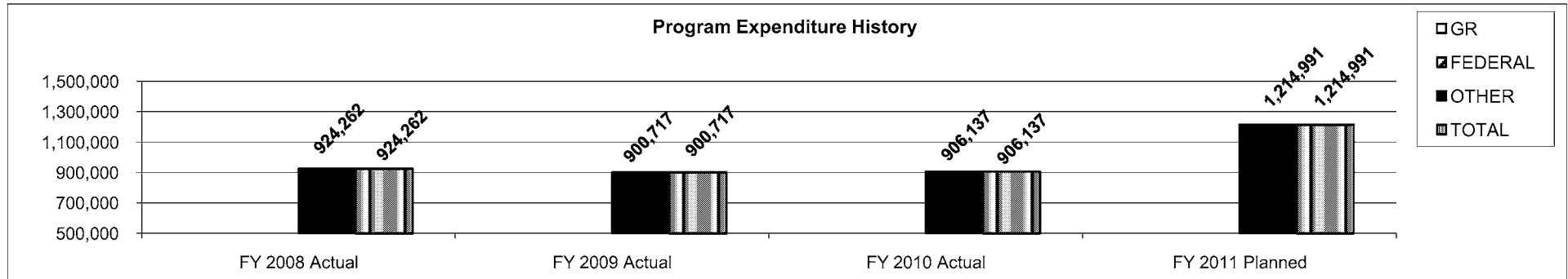
**3. Are there federal matching requirements? If yes, please explain.**

No

**4. Is this a federally mandated program? If yes, please explain.**

No

**5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.**



**6. What are the sources of the "Other " funds?**

Missouri Real Estate Commission Fund (0638)

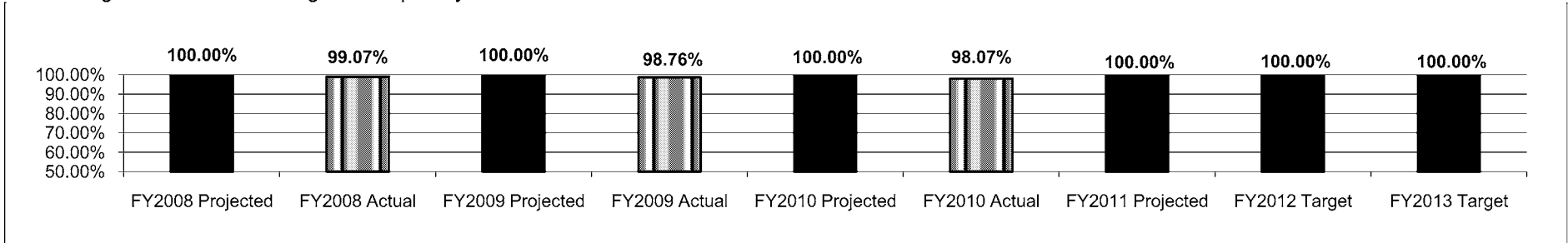


## PROGRAM DESCRIPTION

**Department of Insurance, Financial Institutions and Professional Registration**  
**Missouri Real Estate Commission**  
**Program is found in the following core budget(s): Missouri Real Estate Commission**

**7a. Provide an effectiveness measure.**

Percentage of licensees having no disciplinary action



Note: Measure includes all disciplines ranging from a letter of censure to a revocation. Some disciplines may be open-ended and carry over year-to-year.

**7b. Provide an efficiency measure.**

Not available

**7c. Provide the number of clients/individuals served, if applicable.**

	FY2008		FY2009		FY2010		FY2011	FY2012	FY2013
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Proj.	Target	Target
Applications received	6,300	6,339	3,000	4,722	2,700	4,612	3,000	3,000	3,000
Licensed Professionals	53,000	53,186	52,000	48,119	48,750	43,343	45,000	42,000	40,000

**7d. Provide a customer satisfaction measure, if available.**

Not available

**Veterinary**

**DIFP****DECISION ITEM SUMMARY**

Budget Unit									
Decision Item	FY 2010	FY 2010	FY 2011	FY 2011	FY 2012	FY 2012	FY 2012	FY 2012	FY 2012
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC	GOV REC
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	FTE
<b>MO VETERINARY MEDICAL BOARD</b>									
<b>CORE</b>									
EXPENSE & EQUIPMENT									
VETERINARY MEDICAL BOARD	82,695	0.00	109,579	0.00	109,579	0.00	109,579	0.00	0.00
TOTAL - EE	82,695	0.00	109,579	0.00	109,579	0.00	109,579	0.00	0.00
<b>TOTAL</b>	<b>82,695</b>	<b>0.00</b>	<b>109,579</b>	<b>0.00</b>	<b>109,579</b>	<b>0.00</b>	<b>109,579</b>	<b>0.00</b>	<b>0.00</b>
<b>GRAND TOTAL</b>	<b>\$82,695</b>	<b>0.00</b>	<b>\$109,579</b>	<b>0.00</b>	<b>\$109,579</b>	<b>0.00</b>	<b>\$109,579</b>	<b>0.00</b>	<b>0.00</b>

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# CORE DECISION ITEM

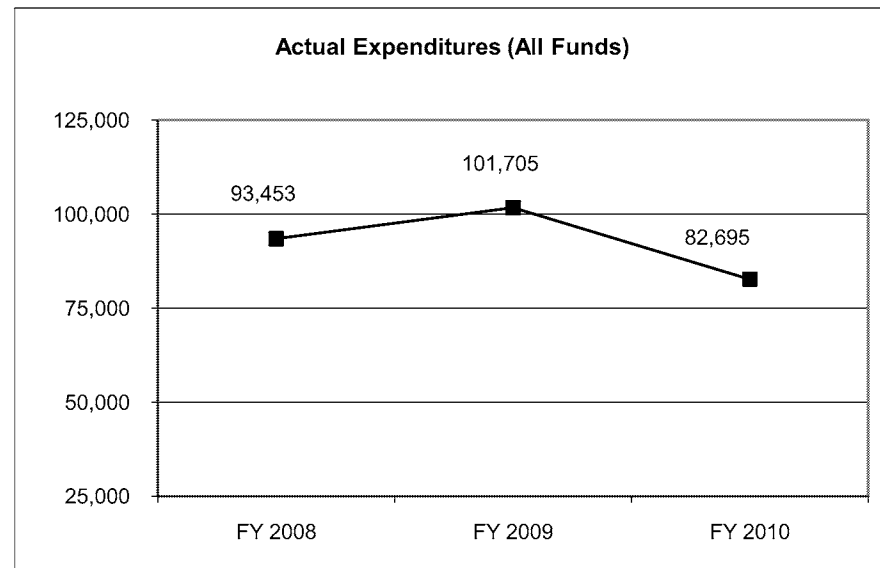
Department of Insurance, Financial Institution and Professional Registration					Budget Unit <u>42790C</u>				
Professional Registration									
Core - Missouri Veterinary Medical Board									
<b>1. CORE FINANCIAL SUMMARY</b>									
FY 2012 Budget Request					FY 2012 Governor's Recommendation				
	GR	Federal	Other	Total		GR	Fed	Other	Total
PS	0	0	0	0	PS	0	0	0	0
EE	0	0	69,579	69,579	EE	0	0	69,579	69,579
PSD	0	0	40,000	40,000 E	PSD	0	0	40,000	40,000 E
TRF	0	0	0	0	TRF	0	0	0	0
Total	<u>0</u>	<u>0</u>	<u>109,579</u>	<u>109,579</u>	Total	<u>0</u>	<u>0</u>	<u>109,579</u>	<u>109,579</u>
FTE	0.00	0.00	0.00	0.00	FTE	0.00	0.00	0.00	0.00
<b>Est. Fringe</b>	0	0	0	0	<b>Est. Fringe</b>	0	0	0	0
<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>					<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>				
Other Funds:	Veterinary Medical Board Fund (0639)				Other Funds:	Veterinary Medical Board Fund (0639)			
Notes:	Expense and Equipment includes \$40,000 E for testing services.				Notes:	Expense and Equipment includes \$40,000 E for testing services.			
<b>2. CORE DESCRIPTION</b>									
The core program request is necessary to ensure the continued high quality of service provided by veterinarians and veterinary technicians licensed in Missouri.									
<b>3. PROGRAM LISTING (list programs included in this core funding)</b>									
Missouri Veterinary Medical Board									

# CORE DECISION ITEM

Department of Insurance, Financial Institution and Professional Registration      Budget Unit 42790C  
Professional Registration  
Core - Missouri Veterinary Medical Board

## 4. FINANCIAL HISTORY

	FY 2008 Actual	FY 2009 Actual	FY 2010 Actual	FY 2011 Current Yr.
Appropriation (All Funds)	109,579	109,579	109,579	109,579
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	109,579	109,579	109,579	N/A
Actual Expenditures (All Funds)	93,453	101,705	82,695	N/A
Unexpended (All Funds)	16,126	7,874	26,884	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	16,126	7,874	26,884	N/A
	(1)	(1)	(1)	(2)



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

### NOTES:

- (1) Unexpended amount due to less than anticipated expenditures, examination services and investigative expenses.  
(2) Includes an estimated appropriation of \$40,000 E for testing services.

**CORE RECONCILIATION DETAIL**

**DIFP**  
**MO VETERINARY MEDICAL BOARD**

**5. CORE RECONCILIATION DETAIL**

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
<b>TAFP AFTER VETOES</b>							
	EE	0.00	0	0	109,579	109,579	
	<b>Total</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>109,579</b>	<b>109,579</b>	
<b>DEPARTMENT CORE REQUEST</b>							
	EE	0.00	0	0	109,579	109,579	
	<b>Total</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>109,579</b>	<b>109,579</b>	
<b>GOVERNOR'S RECOMMENDED CORE</b>							
	EE	0.00	0	0	109,579	109,579	
	<b>Total</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>109,579</b>	<b>109,579</b>	

DIFP

DECISION ITEM DETAIL

Budget Unit	FY 2010	FY 2010	FY 2011	FY 2011	FY 2012	FY 2012	FY 2012	FY 2012
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
<b>MO VETERINARY MEDICAL BOARD</b>								
<b>CORE</b>								
TRAVEL, IN-STATE	6,791	0.00	12,500	0.00	12,500	0.00	12,500	0.00
TRAVEL, OUT-OF-STATE	0	0.00	3,000	0.00	1,500	0.00	1,500	0.00
SUPPLIES	11,767	0.00	12,500	0.00	13,000	0.00	13,000	0.00
PROFESSIONAL DEVELOPMENT	2,258	0.00	3,000	0.00	3,000	0.00	3,000	0.00
COMMUNICATION SERV & SUPP	1,479	0.00	1,983	0.00	2,000	0.00	2,000	0.00
PROFESSIONAL SERVICES	57,684	0.00	68,696	0.00	70,479	0.00	70,479	0.00
M&R SERVICES	675	0.00	1,500	0.00	1,500	0.00	1,500	0.00
OFFICE EQUIPMENT	0	0.00	1,000	0.00	1,000	0.00	1,000	0.00
BUILDING LEASE PAYMENTS	121	0.00	2,000	0.00	1,400	0.00	1,400	0.00
MISCELLANEOUS EXPENSES	1,920	0.00	3,400	0.00	3,200	0.00	3,200	0.00
<b>TOTAL - EE</b>	<b>82,695</b>	<b>0.00</b>	<b>109,579</b>	<b>0.00</b>	<b>109,579</b>	<b>0.00</b>	<b>109,579</b>	<b>0.00</b>
<b>GRAND TOTAL</b>	<b>\$82,695</b>	<b>0.00</b>	<b>\$109,579</b>	<b>0.00</b>	<b>\$109,579</b>	<b>0.00</b>	<b>\$109,579</b>	<b>0.00</b>
<b>GENERAL REVENUE</b>	<b>\$0</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>
<b>FEDERAL FUNDS</b>	<b>\$0</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>	<b>\$0</b>	<b>0.00</b>
<b>OTHER FUNDS</b>	<b>\$82,695</b>	<b>0.00</b>	<b>\$109,579</b>	<b>0.00</b>	<b>\$109,579</b>	<b>0.00</b>	<b>\$109,579</b>	<b>0.00</b>

## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

Missouri Veterinary Medical Board

Program is found in the following core budget(s): Missouri Veterinary Medical Board, Professional Registration Administration

	Veterinary	PR Admin	TOTAL
GR			0
FEDERAL			0
OTHER	109,579	94,491	204,070
TOTAL	109,579	94,491	204,070

### 1. What does this program do?

The Missouri Veterinary Medical Board was established to protect the interests of the citizens of the State of Missouri by licensing and regulating veterinarians and veterinary technicians in the State of Missouri.

Note: The appropriation for personal service and board member per diem is included in the Division of Professional Registration/Administration core appropriation.

### 2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

340.200-340.350 RSMo

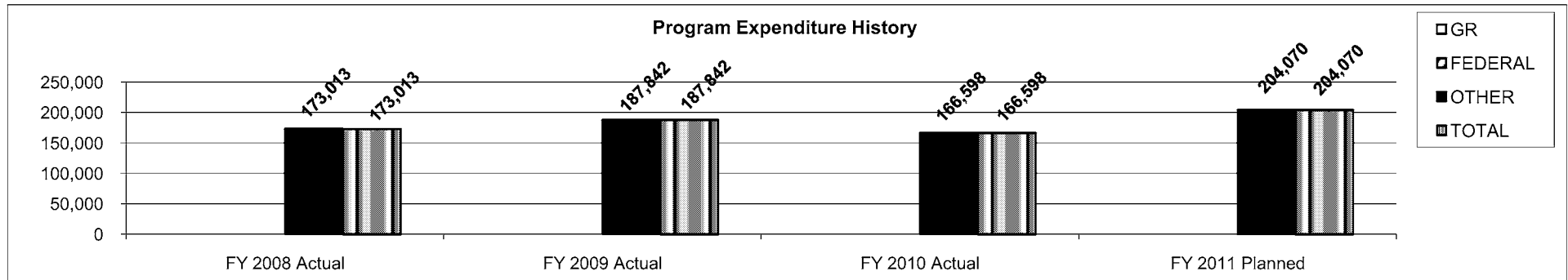
### 3. Are there federal matching requirements? If yes, please explain.

No

### 4. Is this a federally mandated program? If yes, please explain.

No

### 5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



### 6. What are the sources of the "Other " funds?

Veterinary Medical Board Fund (0639)



## PROGRAM DESCRIPTION

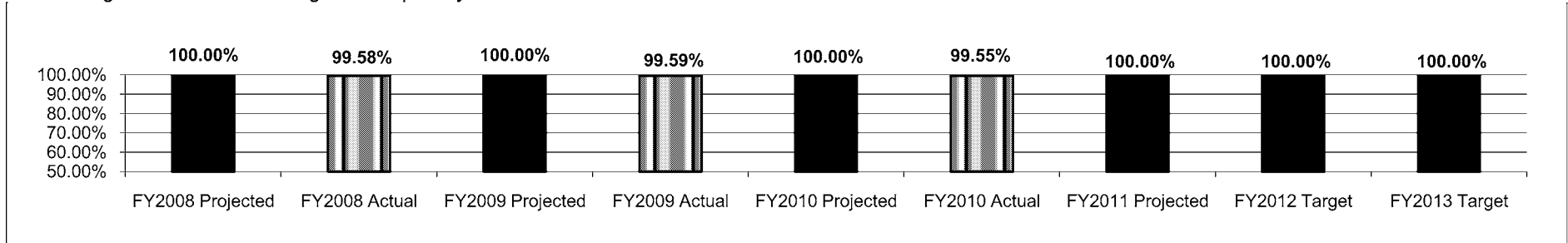
**Department of Insurance, Financial Institutions and Professional Registration**

**Missouri Veterinary Medical Board**

**Program is found in the following core budget(s): Missouri Veterinary Medical Board, Professional Registration Administration**

**7a. Provide an effectiveness measure.**

Percentage of licensees having no disciplinary action



Note: Measure includes all disciplines ranging from a letter of censure to a revocation. Some disciplines may be open-ended and carry over year-to-year.

**7b. Provide an efficiency measure.**

Not available

**7c. Provide the number of clients/individuals served, if applicable.**

	FY2008		FY2009		FY2010		FY2011	FY2012	FY2013
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Proj.	Target	Target
Applications received	400	447	360	399	390	434	400	400	400
Licensed Professionals	4,445	4,524	4,495	4,609	4,624	4,681	4,722	4,722	4,722

**7d. Provide a customer satisfaction measure, if available.**

Not available

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Transfer-PR Fee to GR

DIFP

DECISION ITEM SUMMARY

Budget Unit								
Decision Item	FY 2010	FY 2010	FY 2011	FY 2011	FY 2012	FY 2012	FY 2012	FY 2012
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
<b>PR FUND TRANSFER TO GR</b>								
<b>CORE</b>								
FUND TRANSFERS								
HEARING INSTRUMENT SPECIALIST	8,736	0.00	7,700	0.00	7,700	0.00	7,700	0.00
STATE COMMITTEE OF INTERPRETER	847	0.00	7,800	0.00	7,800	0.00	7,800	0.00
BRD OF GEOLOGIST REGISTRATION	904	0.00	7,200	0.00	7,200	0.00	7,200	0.00
REAL ESTATE APPRAISERS	109,491	0.00	51,000	0.00	51,000	0.00	51,000	0.00
ENDOWED CARE CEMETERY AUDIT	3,404	0.00	9,100	0.00	9,100	0.00	9,100	0.00
LICENSED SOCIAL WORKERS	8,171	0.00	9,064	0.00	9,064	0.00	9,064	0.00
STATE COMMITTEE OF PSYCHOLOGST	16,857	0.00	26,000	0.00	26,000	0.00	26,000	0.00
BOARD OF ACCOUNTANCY	6,624	0.00	28,000	0.00	28,000	0.00	28,000	0.00
BOARD OF PODIATRIC MEDICINE	8,077	0.00	7,700	0.00	7,700	0.00	7,700	0.00
BOARD OF CHIROPRACTIC EXAMINER	606	0.00	8,000	0.00	8,000	0.00	8,000	0.00
BOARD OF EMBALM & FUN DIR	35,871	0.00	85,000	0.00	85,000	0.00	85,000	0.00
BOARD OF REG FOR HEALING ARTS	27,810	0.00	190,000	0.00	190,000	0.00	190,000	0.00
BOARD OF NURSING	54,563	0.00	135,000	0.00	135,000	0.00	135,000	0.00
BOARD OF OPTOMETRY	0	0.00	13,408	0.00	13,408	0.00	13,408	0.00
BOARD OF PHARMACY	38,868	0.00	119,000	0.00	119,000	0.00	119,000	0.00
MO REAL ESTATE COMMISSION	166,441	0.00	150,000	0.00	150,000	0.00	150,000	0.00
VETERINARY MEDICAL BOARD	1,758	0.00	22,200	0.00	22,200	0.00	22,200	0.00
COMMITTEE OF PROF COUNSELORS	18,113	0.00	15,000	0.00	15,000	0.00	15,000	0.00
DENTAL BOARD FUND	953	0.00	31,200	0.00	31,200	0.00	31,200	0.00
BRD OF ARCH,ENG,LND SUR,LND AR	51,323	0.00	122,100	0.00	122,100	0.00	122,100	0.00
ATHLETIC FUND	4,400	0.00	14,400	0.00	14,400	0.00	14,400	0.00
ATHLETIC AGENT	0	0.00	1	0.00	1	0.00	1	0.00
BRD OF COSMETOLOGY & BARBER EX	7,306	0.00	91,250	0.00	91,250	0.00	91,250	0.00
BOARD OF P.I. EXAMINERS	97	0.00	1	0.00	1	0.00	1	0.00
MARITAL & FAMILY THERAPISTS	1,154	0.00	2,200	0.00	2,200	0.00	2,200	0.00
RESPIRATORY CARE PRACTITIONERS	19,478	0.00	6,250	0.00	6,250	0.00	6,250	0.00
MO BRD OCCUPATIONAL THERAPY	2,738	0.00	8,960	0.00	8,960	0.00	8,960	0.00
DIETITIAN	0	0.00	1,200	0.00	1,200	0.00	1,200	0.00
INTERIOR DESIGNER COUNCIL	0	0.00	1,200	0.00	1,200	0.00	1,200	0.00
ACUPUNCTURIST	0	0.00	3,000	0.00	3,000	0.00	3,000	0.00
TATTOO	22,356	0.00	5,047	0.00	5,047	0.00	5,047	0.00

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# DIFP

# DECISION ITEM SUMMARY

Budget Unit									
Decision Item	FY 2010	FY 2010	FY 2011	FY 2011	FY 2012	FY 2012	FY 2012	FY 2012	
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC	
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	
<b>PR FUND TRANSFER TO GR</b>									
<b>CORE</b>									
FUND TRANSFERS									
MESSAGE THERAPY	10,988	0.00	5,200	0.00	5,200	0.00	5,200	0.00	
TOTAL - TRF	627,934	0.00	1,183,181	0.00	1,183,181	0.00	1,183,181	0.00	
<b>TOTAL</b>	<b>627,934</b>	<b>0.00</b>	<b>1,183,181</b>	<b>0.00</b>	<b>1,183,181</b>	<b>0.00</b>	<b>1,183,181</b>	<b>0.00</b>	
<b>GRAND TOTAL</b>	<b>\$627,934</b>	<b>0.00</b>	<b>\$1,183,181</b>	<b>0.00</b>	<b>\$1,183,181</b>	<b>0.00</b>	<b>\$1,183,181</b>	<b>0.00</b>	

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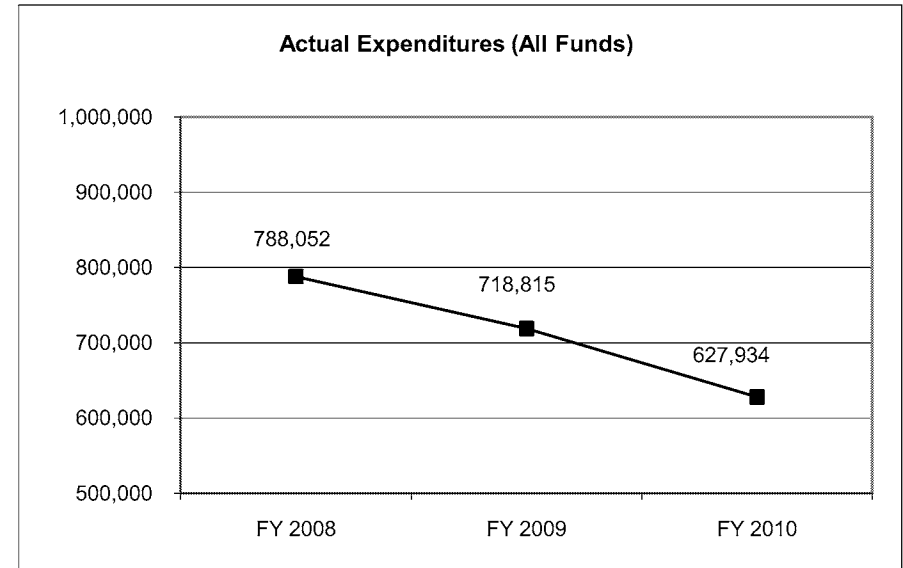


**CORE DECISION ITEM**

**Department of Insurance, Financial Institutions and Professional Registration**      **Budget Unit** 42820C  
**Division of Professional Registration**  
**Core - Transfers to General Revenue**

**4. FINANCIAL HISTORY**

	<b>FY 2008 Actual</b>	<b>FY 2009 Actual</b>	<b>FY 2010 Actual</b>	<b>FY 2011 Current Yr.</b>	
Appropriation (All Funds)	1,183,181	1,183,181	1,183,181	1,183,181	E
Less Reverted (All Funds)	0	0	0	N/A	
Budget Authority (All Funds)	1,183,181	1,183,181	1,183,181	N/A	
Actual Expenditures (All Funds)	788,052	718,815	627,934	N/A	
Unexpended (All Funds)	395,129	464,366	555,247	N/A	
Unexpended, by Fund:					
General Revenue	0	0	0	N/A	
Federal	0	0	0	N/A	
Other	395,129	464,366	555,247	N/A	
	(1)	(1)	(1)		



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

**NOTES:**

(1) Unexpended amounts reflect variations in actual expenditures for services provided from GR funded agencies

**CORE RECONCILIATION DETAIL**

DIFP

PR FUND TRANSFER TO GR

**5. CORE RECONCILIATION DETAIL**

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
<b>TAFP AFTER VETOES</b>							
	TRF	0.00	0	0	1,183,181	1,183,181	
	<b>Total</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>1,183,181</b>	<b>1,183,181</b>	
<b>DEPARTMENT CORE REQUEST</b>							
	TRF	0.00	0	0	1,183,181	1,183,181	
	<b>Total</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>1,183,181</b>	<b>1,183,181</b>	
<b>GOVERNOR'S RECOMMENDED CORE</b>							
	TRF	0.00	0	0	1,183,181	1,183,181	
	<b>Total</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>1,183,181</b>	<b>1,183,181</b>	



# DIFP

# DECISION ITEM DETAIL

Budget Unit	FY 2010	FY 2010	FY 2011	FY 2011	FY 2012	FY 2012	FY 2012	FY 2012
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
<b>PR FUND TRANSFER TO GR</b>								
<b>CORE</b>								
TRANSFERS OUT	627,934	0.00	1,183,181	0.00	1,183,181	0.00	1,183,181	0.00
TOTAL - TRF	627,934	0.00	1,183,181	0.00	1,183,181	0.00	1,183,181	0.00
<b>GRAND TOTAL</b>	<b>\$627,934</b>	<b>0.00</b>	<b>\$1,183,181</b>	<b>0.00</b>	<b>\$1,183,181</b>	<b>0.00</b>	<b>\$1,183,181</b>	<b>0.00</b>
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
OTHER FUNDS	\$627,934	0.00	\$1,183,181	0.00	\$1,183,181	0.00	\$1,183,181	0.00

## PROGRAM DESCRIPTION

**Department of Insurance, Financial Institutions and Professional Registration**

**Professional Registration Funds Transfer to General Revenue**

**Program is found in the following core budget(s): Division of Professional Registration Funds Transfer to General Revenue**

**1. What does this program do?**

The General Revenue Fund Transfer Core is necessary to carry out the provisions of Chapter 620.010.14 (4) which requires the General Assembly to appropriate to other state agencies from each board's funds, moneys sufficient to reimburse those other state agencies for all services rendered and all facilities and supplies furnished to that board. These transfers allow for reimbursement to General Revenue supported agencies (i.e. Attorney General, State Auditor Office, Administrative Hearings Commission), for legal services, audit services and hearing services.

**2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)**

620.010.14 (4), RSMo

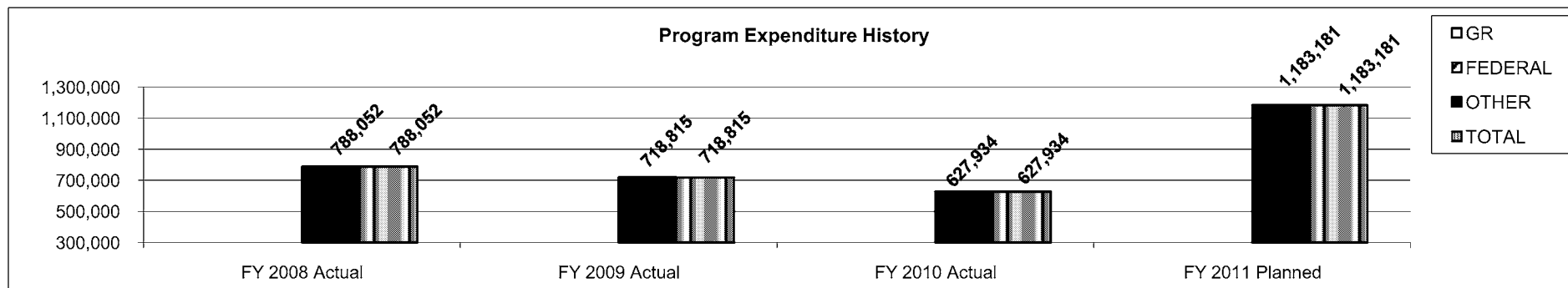
**3. Are there federal matching requirements? If yes, please explain.**

No.

**4. Is this a federally mandated program? If yes, please explain.**

No.

**5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.**



**6. What are the sources of the "Other " funds?**

Various PR Funds

**7a. Provide an effectiveness measure.**

Not Applicable.

**7b.**

**Provide an efficiency measure.**

Not Applicable.

**7c. Provide the number of clients/individuals served, if applicable.**

Not Applicable.

**7d.**

**Provide a customer satisfaction measure, if available.**

Not Applicable.

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DECISION ITEM SUMMARY

Budget Unit								
Decision Item	FY 2010	FY 2010	FY 2011	FY 2011	FY 2012	FY 2012	FY 2012	FY 2012
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
<b>PR ADMINISTRATION TRANSFER</b>								
<b>CORE</b>								
FUND TRANSFERS								
HEARING INSTRUMENT SPECIALIST	64,737	0.00	88,470	0.00	88,470	0.00	88,470	0.00
STATE COMMITTEE OF INTERPRETER	55,747	0.00	48,475	0.00	48,475	0.00	48,475	0.00
BRD OF GEOLOGIST REGISTRATION	52,231	0.00	71,215	0.00	71,215	0.00	71,215	0.00
REAL ESTATE APPRAISERS	233,245	0.00	419,574	0.00	419,574	0.00	419,574	0.00
ENDOWED CARE CEMETERY AUDIT	86,147	0.00	122,879	0.00	122,879	0.00	122,879	0.00
LICENSED SOCIAL WORKERS	189,463	0.00	214,657	0.00	214,657	0.00	214,657	0.00
STATE COMMITTEE OF PSYCHOLOGST	313,097	0.00	348,058	0.00	348,058	0.00	348,058	0.00
BOARD OF ACCOUNTANCY	105,193	0.00	133,938	0.00	133,938	0.00	133,938	0.00
BOARD OF PODIATRIC MEDICINE	44,887	0.00	27,269	0.00	27,269	0.00	27,269	0.00
BOARD OF CHIROPRACTIC EXAMINER	139,309	0.00	133,850	0.00	133,850	0.00	133,850	0.00
BOARD OF EMBALM & FUN DIR	406,757	0.00	363,579	0.00	363,579	0.00	363,579	0.00
BOARD OF REG FOR HEALING ARTS	282,337	0.00	430,439	0.00	430,439	0.00	430,439	0.00
BOARD OF NURSING	656,416	0.00	1,104,260	0.00	1,104,260	0.00	1,104,260	0.00
BOARD OF OPTOMETRY	96,199	0.00	79,961	0.00	79,961	0.00	79,961	0.00
BOARD OF PHARMACY	258,110	0.00	274,379	0.00	274,379	0.00	274,379	0.00
MO REAL ESTATE COMMISSION	341,388	0.00	540,206	0.00	540,206	0.00	540,206	0.00
VETERINARY MEDICAL BOARD	173,057	0.00	171,129	0.00	171,129	0.00	171,129	0.00
COMMITTEE OF PROF COUNSELORS	209,232	0.00	283,797	0.00	283,797	0.00	283,797	0.00
DENTAL BOARD FUND	52	0.00	69,800	0.00	69,800	0.00	69,800	0.00
BRD OF ARCH,ENG,LND SUR,LND AR	176,901	0.00	278,472	0.00	278,472	0.00	278,472	0.00
ATHLETIC FUND	194,522	0.00	189,295	0.00	189,295	0.00	189,295	0.00
ATHLETIC AGENT	2,772	0.00	888	0.00	888	0.00	888	0.00
BRD OF COSMETOLOGY & BARBER EX	1,539,557	0.00	1,622,527	0.00	1,622,527	0.00	1,622,527	0.00
BOARD OF P.I. EXAMINERS	539	0.00	1	0.00	1	0.00	1	0.00
MARITAL & FAMILY THERAPISTS	16,024	0.00	17,211	0.00	17,211	0.00	17,211	0.00
RESPIRATORY CARE PRACTITIONERS	111,380	0.00	137,692	0.00	137,692	0.00	137,692	0.00
MO BRD OCCUPATIONAL THERAPY	73,984	0.00	138,152	0.00	138,152	0.00	138,152	0.00
DIETITIAN	32,361	0.00	56,348	0.00	56,348	0.00	56,348	0.00
INTERIOR DESIGNER COUNCIL	12,570	0.00	42,037	0.00	42,037	0.00	42,037	0.00
ACUPUNCTURIST	7,559	0.00	8,298	0.00	8,298	0.00	8,298	0.00
TATTOO	96,734	0.00	51,460	0.00	51,460	0.00	51,460	0.00

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# DIFP

# DECISION ITEM SUMMARY

Budget Unit									
Decision Item	FY 2010	FY 2010	FY 2011	FY 2011	FY 2012	FY 2012	FY 2012	FY 2012	
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC	
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	
<b>PR ADMINSTRATION TRANSFER</b>									
<b>CORE</b>									
FUND TRANSFERS									
MESSAGE THERAPY	245,329	0.00	146,278	0.00	146,278	0.00	146,278	0.00	
TOTAL - TRF	6,217,836	0.00	7,614,594	0.00	7,614,594	0.00	7,614,594	0.00	
<b>TOTAL</b>	<b>6,217,836</b>	<b>0.00</b>	<b>7,614,594</b>	<b>0.00</b>	<b>7,614,594</b>	<b>0.00</b>	<b>7,614,594</b>	<b>0.00</b>	
<b>GRAND TOTAL</b>	<b>\$6,217,836</b>	<b>0.00</b>	<b>\$7,614,594</b>	<b>0.00</b>	<b>\$7,614,594</b>	<b>0.00</b>	<b>\$7,614,594</b>	<b>0.00</b>	

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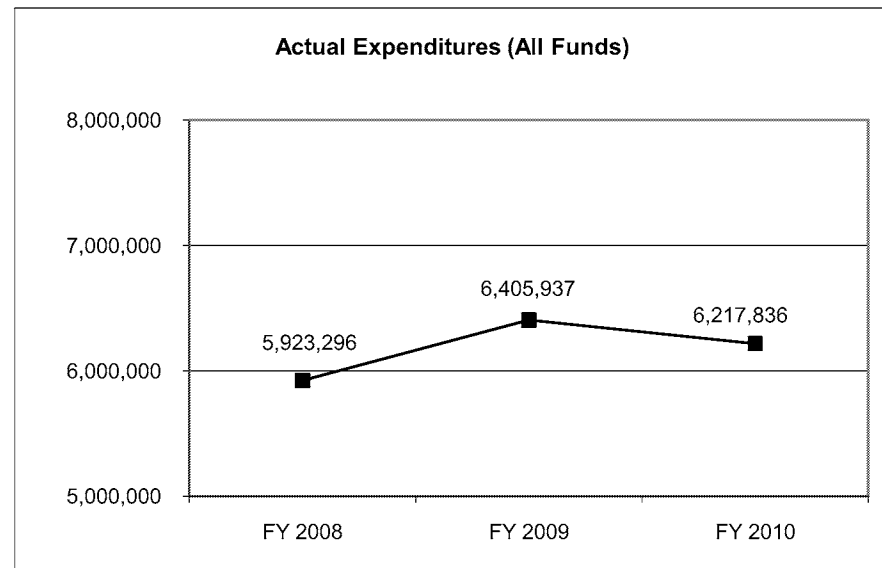


# **CORE DECISION ITEM**

**Department of Insurance, Financial Institutions and Professional Registration**      **Budget Unit** 42830C  
**Division of Professional Registration**  
**Core - Transfers to Professional Registration Fees Fund**

## **4. FINANCIAL HISTORY**

	<b>FY 2008 Actual</b>	<b>FY 2009 Actual</b>	<b>FY 2010 Actual</b>	<b>FY 2011 Current Yr.</b>	
Appropriation (All Funds)	7,614,594	7,614,594	7,614,594	7,614,594	E
Less Reverted (All Funds)	0	0	0	N/A	
Budget Authority (All Funds)	7,614,594	7,614,594	7,614,594	N/A	
Actual Expenditures (All Funds)	5,923,296	6,405,937	6,217,836	N/A	
Unexpended (All Funds)	1,691,298	1,208,657	1,396,758	N/A	
Unexpended, by Fund:					
General Revenue	0	0	0	N/A	
Federal	0	0	0	N/A	
Other	1,691,298	1,208,657	1,396,758	N/A	
	(1)	(1)	(1)		



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

## **NOTES:**

(1) Unexpended amounts reflect variations in actual expenditures for services provided from PR Administration



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**CORE RECONCILIATION DETAIL**

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DIFP  
PR ADMINISTRATION TRANSFER

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**5. CORE RECONCILIATION DETAIL**

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	Budget Class	FTE	GR	Federal	Other	Total	Explanation
<b>TAFP AFTER VETOES</b>							
	TRF	0.00	0	0	7,614,594	7,614,594	
	<b>Total</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>7,614,594</b>	<b>7,614,594</b>	
<b>DEPARTMENT CORE REQUEST</b>							
	TRF	0.00	0	0	7,614,594	7,614,594	
	<b>Total</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>7,614,594</b>	<b>7,614,594</b>	
<b>GOVERNOR'S RECOMMENDED CORE</b>							
	TRF	0.00	0	0	7,614,594	7,614,594	
	<b>Total</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>7,614,594</b>	<b>7,614,594</b>	

# DIFP

# DECISION ITEM DETAIL

Budget Unit	FY 2010	FY 2010	FY 2011	FY 2011	FY 2012	FY 2012	FY 2012	FY 2012
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
PR ADMINISTRATION TRANSFER								
CORE								
TRANSFERS OUT	6,217,836	0.00	7,614,594	0.00	7,614,594	0.00	7,614,594	0.00
TOTAL - TRF	6,217,836	0.00	7,614,594	0.00	7,614,594	0.00	7,614,594	0.00
GRAND TOTAL	\$6,217,836	0.00	\$7,614,594	0.00	\$7,614,594	0.00	\$7,614,594	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
OTHER FUNDS	\$6,217,836	0.00	\$7,614,594	0.00	\$7,614,594	0.00	\$7,614,594	0.00

## PROGRAM DESCRIPTION

**Department of Insurance, Financial Institutions and Professional Registration**

**Professional Registration Funds Transfer to Professional Registration Fees Fund**

**Program is found in the following core budget(s): Transfer to Professional Registration Fees Fund**

**1. What does this program do?**

The Professional Registration Fees Fund Transfer Core is necessary to carry out the provisions of Chapter 620.010.14 (4), which requires the General Assembly to appropriate to the Division of Professional Registration from each board's funds, moneys sufficient to reimburse the division for all services rendered and all facilities and supplies furnished to the board.

**2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)**

620.010.14 (4), RSMo

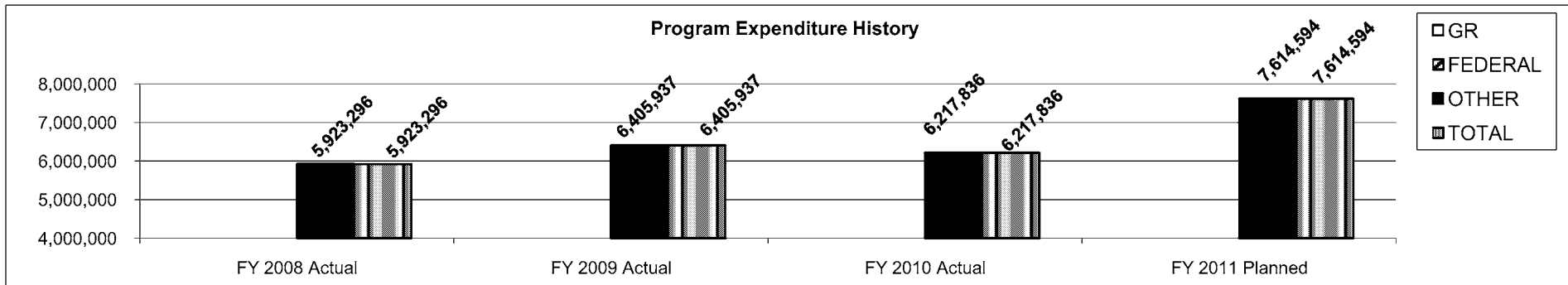
**3. Are there federal matching requirements? If yes, please explain.**

No.

**4. Is this a federally mandated program? If yes, please explain.**

No.

**5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.**



**6. What are the sources of the "Other " funds?**

Various PR Funds

**7a. Provide an effectiveness measure.**

Not Applicable.

**7b.**

**Provide an efficiency measure.**

Not Applicable.

**7c. Provide the number of clients/individuals served, if applicable.**

Not Applicable.

**7d.**

**Provide a customer satisfaction measure, if available.**

Not Applicable.

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**DIFP****DECISION ITEM SUMMARY**

Budget Unit								
Decision Item	FY 2010	FY 2010	FY 2011	FY 2011	FY 2012	FY 2012	FY 2012	FY 2012
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
<b>PR STARTUP LOANS</b>								
<b>CORE</b>								
FUND TRANSFERS								
BOARD OF REG FOR HEALING ARTS	0	0.00	1	0.00	1	0.00	1	0.00
TOTAL - TRF	0	0.00	1	0.00	1	0.00	1	0.00
<b>TOTAL</b>	<b>0</b>	<b>0.00</b>	<b>1</b>	<b>0.00</b>	<b>1</b>	<b>0.00</b>	<b>1</b>	<b>0.00</b>
<b>GRAND TOTAL</b>	<b>\$0</b>	<b>0.00</b>	<b>\$1</b>	<b>0.00</b>	<b>\$1</b>	<b>0.00</b>	<b>\$1</b>	<b>0.00</b>

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## CORE DECISION ITEM

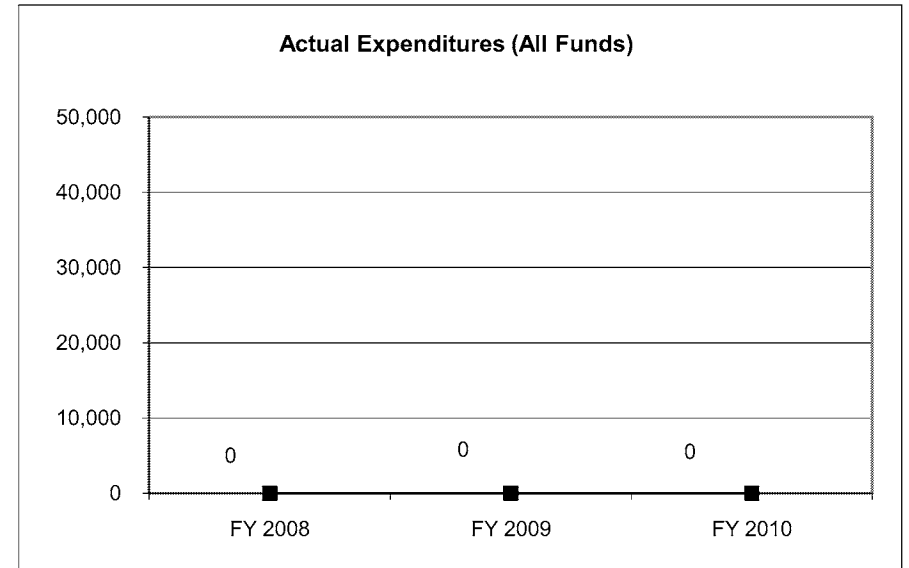
Department of Insurance, Financial Institutions and Professional Registration					Budget Unit 42850C				
Division of Professional Registration									
Core - Transfers for Start Up Loans for New Board Programs									
1. CORE FINANCIAL SUMMARY									
FY 2012 Budget Request					FY 2012 Governor's Recommendation				
	GR	Federal	Other	Total		GR	Fed	Other	Total
PS	0	0	0	0	PS	0	0	0	0
EE	0	0	0	0	EE	0	0	0	0
PSD	0	0	0	0	PSD	0	0	0	0
TRF	0	0	1	1 E	TRF	0	0	1	1 E
Total	0	0	1	1 E	Total	0	0	1	1 E
FTE	0.00	0.00	0.00	0.00	FTE	0.00	0.00	0.00	0.00
Est. Fringe	0	0	0	0	Est. Fringe	0	0	0	0
Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.					Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.				
Other Funds:	Various PR Funds				Other Funds:	Various PR Funds			
Notes:	An "E" is requested on the various funds to allow for transfer to allow for funding of new licensing activity pursuant to Section324.016, RSMo.				Notes:	An "E" is requested on the various funds to allow for transfer to allow for funding of new licensing activity pursuant to Section324.016, RSMo.			
2. CORE DESCRIPTION									
The Professional Registration Transfer for Startup Loans Core is necessary to carry out the provisions of Chapter 324.016, which requires the General Assembly to appropriate to the Division of Professional Registration from each board's funds, moneys sufficient to reimburse the division for all services rendered and all facilities and supplies furnished to that board. When a new board is established 324.016, RSMo states, "The director of the division of professional registration shall have the authority to borrow funds from any agency within the division to commence operations upon appropriation for such purpose. This authority shall cease at such time that a sufficient fund has been established by the agency to fund its operations and repay the amount borrowed."									
3. PROGRAM LISTING (list programs included in this core funding)									
Transfer for Startup Loans for New Board Programs									

# **CORE DECISION ITEM**

**Department of Insurance, Financial Institutions and Professional Registration**      **Budget Unit** 42850C  
**Division of Professional Registration**  
**Core - Transfers for Start Up Loans for New Board Programs**

## **4. FINANCIAL HISTORY**

	<b>FY 2008 Actual</b>	<b>FY 2009 Actual</b>	<b>FY 2010 Actual</b>	<b>FY 2011 Current Yr.</b>
Appropriation (All Funds)	1	1	1	1 E
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	1	1	1	N/A
Actual Expenditures (All Funds)	0	0	0	N/A
Unexpended (All Funds)	1	1	1	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	1	1	1	N/A
	(1)	(1)	(1)	



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

### **NOTES:**

(1) No startup funds were borrowed from other board to new boards in FY2008-FY2010



## CORE RECONCILIATION DETAIL

DIFP  
PR STARTUP LOANS

### 5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
<b>TAFP AFTER VETOES</b>							
	TRF	0.00	0	0	1	1	
	<b>Total</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>1</b>	<b>1</b>	
<b>DEPARTMENT CORE REQUEST</b>							
	TRF	0.00	0	0	1	1	
	<b>Total</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>1</b>	<b>1</b>	
<b>GOVERNOR'S RECOMMENDED CORE</b>							
	TRF	0.00	0	0	1	1	
	<b>Total</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>1</b>	<b>1</b>	

# DIFP

# DECISION ITEM DETAIL

Budget Unit	FY 2010	FY 2010	FY 2011	FY 2011	FY 2012	FY 2012	FY 2012	FY 2012
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
<b>PR STARTUP LOANS</b>								
<b>CORE</b>								
TRANSFERS OUT	0	0.00	1	0.00	1	0.00	1	0.00
TOTAL - TRF	0	0.00	1	0.00	1	0.00	1	0.00
<b>GRAND TOTAL</b>	<b>\$0</b>	<b>0.00</b>	<b>\$1</b>	<b>0.00</b>	<b>\$1</b>	<b>0.00</b>	<b>\$1</b>	<b>0.00</b>
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
OTHER FUNDS	\$0	0.00	\$1	0.00	\$1	0.00	\$1	0.00

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**DIFP****DECISION ITEM SUMMARY**

Budget Unit								
Decision Item	FY 2010	FY 2010	FY 2011	FY 2011	FY 2012	FY 2012	FY 2012	FY 2012
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
<b>PR STARTUP LOANS PAYBACK</b>								
<b>CORE</b>								
FUND TRANSFERS								
PROFESSIONAL REGISTRATION FEES	12,500	0.00	1	0.00	1	0.00	1	0.00
TOTAL - TRF	12,500	0.00	1	0.00	1	0.00	1	0.00
<b>TOTAL</b>	<b>12,500</b>	<b>0.00</b>	<b>1</b>	<b>0.00</b>	<b>1</b>	<b>0.00</b>	<b>1</b>	<b>0.00</b>
<b>GRAND TOTAL</b>	<b>\$12,500</b>	<b>0.00</b>	<b>\$1</b>	<b>0.00</b>	<b>\$1</b>	<b>0.00</b>	<b>\$1</b>	<b>0.00</b>

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# CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration					Budget Unit 42860C				
Division of Professional Registration									
Core - Transfers for Start Up Loan Payback									
1. CORE FINANCIAL SUMMARY									
FY 2012 Budget Request					FY 2012 Governor's Recommendation				
	GR	Federal	Other	Total		GR	Fed	Other	Total
PS	0	0	0	0	PS	0	0	0	0
EE	0	0	0	0	EE	0	0	0	0
PSD	0	0	0	0	PSD	0	0	0	0
TRF	0	0	1	1 E	TRF	0	0	1	1 E
Total	0	0	1	1 E	Total	0	0	1	1 E
FTE	0.00	0.00	0.00	0.00	FTE	0.00	0.00	0.00	0.00
Est. Fringe	0	0	0	0	Est. Fringe	0	0	0	0
Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.					Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.				
Other Funds: Various PR Funds					Other Funds: Various PR Funds				
Notes: An "E" is requested to allow for transfer to allow for payback of loans to new licensing activity pursuant to Section 324.016, RSMo.					Notes: An "E" is requested to allow for transfer to allow for payback of loans to new licensing activity pursuant to Section 324.016, RSMo.				
2. CORE DESCRIPTION									
The Professional Registration Transfer for Payback of Startup Loans Core is necessary to carry out the provisions of Chapter 324.016, which requires the General Assembly to appropriate to the Division of Professional Registration from each board's funds, moneys sufficient to reimburse the division for all services rendered and all facilities and supplies furnished to that board. When a new board is established 324.016, RSMo states, "The director of the division of professional registration shall have the authority to borrow funds from any agency within the division to commence operations upon appropriation for such purpose. This authority shall cease at such time that a sufficient fund has been established by the agency to fund its operations and repay the amount borrowed."									
3. PROGRAM LISTING (list programs included in this core funding)									
Transfer for Startup Loans Payback									

# CORE DECISION ITEM

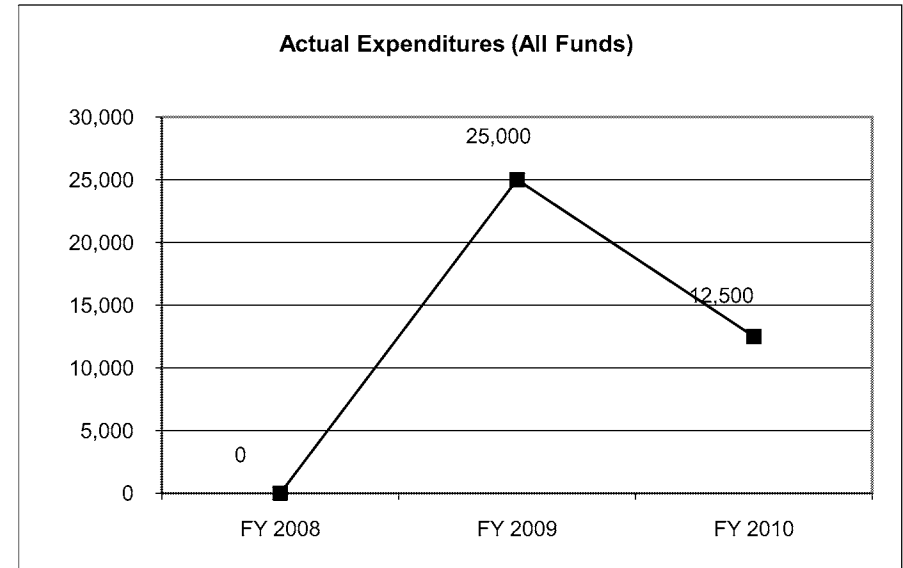
Department of Insurance, Financial Institutions and Professional Registration Budget Unit 42860C

Division of Professional Registration

Core - Transfers for Start Up Loan Payback

## 4. FINANCIAL HISTORY

	FY 2008 Actual	FY 2009 Actual	FY 2010 Actual	FY 2011 Current Yr.
Appropriation (All Funds)	1	25,000	12,500	1 E
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	1	25,000	12,500	N/A
Actual Expenditures (All Funds)	0	25,000	12,500	N/A
Unexpended (All Funds)	1	0	0	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	1	0	0	
	(1)	(2)	(2)	



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

### NOTES:

(1) No paybacks paid in FY08.

(2) Startup loans paybacks included Interior Design and Interpreters.

## CORE RECONCILIATION DETAIL

DIFP

PR STARTUP LOANS PAYBACK

### 5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
<b>TAFP AFTER VETOES</b>							
	TRF	0.00	0	0	1	1	
	<b>Total</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>1</b>	<b>1</b>	
<b>DEPARTMENT CORE REQUEST</b>							
	TRF	0.00	0	0	1	1	
	<b>Total</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>1</b>	<b>1</b>	
<b>GOVERNOR'S RECOMMENDED CORE</b>							
	TRF	0.00	0	0	1	1	
	<b>Total</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>1</b>	<b>1</b>	



# DIFP

# DECISION ITEM DETAIL

Budget Unit	FY 2010	FY 2010	FY 2011	FY 2011	FY 2012	FY 2012	FY 2012	FY 2012
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	GOV REC	GOV REC
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE
<b>PR STARTUP LOANS PAYBACK</b>								
<b>CORE</b>								
TRANSFERS OUT	12,500	0.00	1	0.00	1	0.00	1	0.00
TOTAL - TRF	12,500	0.00	1	0.00	1	0.00	1	0.00
<b>GRAND TOTAL</b>	<b>\$12,500</b>	<b>0.00</b>	<b>\$1</b>	<b>0.00</b>	<b>\$1</b>	<b>0.00</b>	<b>\$1</b>	<b>0.00</b>
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00	\$0	0.00
OTHER FUNDS	\$12,500	0.00	\$1	0.00	\$1	0.00	\$1	0.00

## PROGRAM DESCRIPTION

**Department of Insurance, Financial Institutions and Professional Registration**

**Transfer for Startup Loans Payback**

**Program is found in the following core budget(s): Transfer for Startup Loans Payback**

### 1. What does this program do?

The Professional Registration Transfer for Startup Loans Payback Program is necessary to carry out the provisions of Chapter 620.106, which requires the General Assembly to appropriate to the Division of Professional Registration from each board's funds, moneys sufficient to reimburse the division for all services rendered and all facilities and supplies furnished to that board. When a new board is established 620.160, RSMo states, "The director of the division of professional registration shall have the authority to borrow funds from any agency within the division to commence operations upon appropriation for such purpose. This authority shall cease at such time that a sufficient fund has been established by the agency to fund its operations and repay the amount borrowed."

### 2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

620.106, RSMo

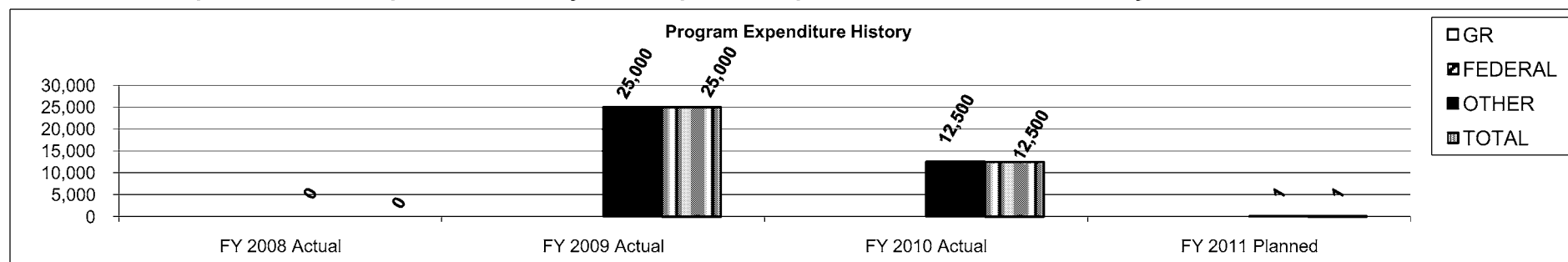
### 3. Are there federal matching requirements? If yes, please explain.

No.

### 4. Is this a federally mandated program? If yes, please explain.

No.

### 5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



### 6. What are the sources of the "Other " funds?

Various PR Funds

### 7a. Provide an effectiveness measure.

Not Applicable.

### 7b.

### Provide an efficiency measure.

Not Applicable.

### 7c. Provide the number of clients/individuals served, if applicable.

Not Applicable.

### 7d.

### Provide a customer satisfaction measure, if available.

Not Applicable.

